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Access Graph Visualization: A step towards better understanding of static access control

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Abstract
In object-oriented software development, design and implementation of static access control is a tricky task that has currently received few attention in the framework of development environments. In a previous work, we have defined a graph-based access control formalism and specified a suite of tools (AGATE) using this formalism as a foundation. In this paper, we investigate the implementation and the use of the visualization aspect. We describe how visualization is achieved thanks to Royere, a framework dedicated to graph visualization, and we outline results of a case study.

1 Introduction
Software development is supported by environments more and more sophisticated that support not only common design and programming tasks, but also software measurement or reverse engineering. In such tools, visualization is a crucial point which guides developers during software construction and interpretation. In this paper, we investigate implementation and use of a visualization tool which is part of a tool suite, AGATE [2], dedicated to static access

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control in object-oriented development. Static access control is a feature which receives very few attention in current development environments, though it is the main basis for ensuring encapsulation and modularity.[14] In current object-oriented languages, it is implemented thanks to specific mechanisms that interpret special keywords which denote the level of static protection which applies. These keywords are mainly applied to properties, namely instance attributes, class (static) attributes, instance methods, class (static) methods), but may also be used to protect classes, internal types or inheritance links. Kinds of accesses are also involved, as "read" or "write" access for attributes, "call" for methods, "use" for internal types. Well known examples of such access control mechanisms are export in Eiffel[15] or public, protected in Java[5] and C++[16], which are used to achieve implementation hiding or to define particular interfaces adapted to different client classes. Static access control mechanisms unfortunately are not so easy to understand and use, leading to soft wires where they are either under-used, or a source of confusion. Furthermore, each programming language has specific mechanisms, making tricky to design an access control policy for a software independently of the target programming language, or to transfer a policy from a language to another during reverse engineering. In a previous work [3,4], we have proposed a new graph-based formalism, language-independent and with clear semantics, that we think adapted to static access control in the various steps of a development, for designing, characterizing, evaluating and comparing access rights. The graphical aspect of the formalism should help integration in notations like UML and support developer intuition. Nevertheless, visualization of such graphs requires elaborated layout algorithms and the definition of different views that help in understanding them. This paper describes an ongoing research on access graph visualization. We successively develop a simplified definition of access graph notation section 2, suggestions for coping with the graph complexity when displayed section 3, implementation using visualization framework Royere[13] section 4, and a case study that highlights the role of access graph visualization in software understanding section 5. We conclude with some perspectives of this work.

2 Access graphs

We present here a simplified definition of access graphs, the whole model description [4] being out of the scope of this paper. Access Graphs are labelled oriented graphs where nodes represent classes, while edges convey access information. In the general case, access information can indicate allowed accesses as well as effective accesses. In this paper, we focus on allowed accesses.

An edge \((C_1, C_2)\) is labelled by a set of 3-tuples \((m, n, ak)\) denoting the feasibility of a class-level access from \(C_1\) to \(C_2\) i.e an access such that:

* \(m\) is a method of \(C_1\) containing an access expression \(e\)
Fig. 1. A graph-based representation of allowed static accesses

- $e$ denotes an access of kind $ak$ to the property $p$ of $C_2$
- $p$ is accessed by applying the name $n$ to:
  - $C_2$ when $p$ is a class property
  - an object statically typed as a $C_2$ if $p$ is an instance property.

We will denote a class-level access by the 5-tuple $(C_1, C_2, m, n, ak)$. In Figure 1, $A_1$ and $A_2$ are class-level accesses allowed by Edges $E_1$ and $E_2$.

For the special case where $C_1 = C_2$, an additional set of 3-tuples represents instance-level accesses that are accesses where the name $n$ is applied on special variables like this, self or super. We will denote an instance-level access by the 4-tuple $(C_1, m, n, ak)$. In Figure 1, $A_3$ is an instance-level access allowed by Edge $E_3$.

The access graph is then a natural representation of the sets $A_C$ of class-level accesses (5-tuples) and $A_I$ of instance-level accesses (4-tuples).

We use the following simplification that Factorizes Accessing Methods (FAM): If all methods in a class $C_1$ have the same access rights, then class-level accesses can be denoted by $(C_1, n, ak)$ and instance-level accesses by $(C_1, n, ak)$.

3 Towards visualization

In order to represent clearly the information contained in the access graphs, we use several techniques that simplify the graph or highlight some properties, which are detailed below.

3.1 Factorization

We factorize access rights shared by several classes on a meta-node representing them. This concentrates information and makes the graph more readable.
This technique is mainly used in the specific and common case where the meta-node represents all the classes. Export to the ANY class in Eiffel and public mechanisms in Java and C++ generate concrete cases where such ANY factorization is useful. More precisely, we add to the set of classes \( \mathcal{C} \) the meta-node ANY that represent all classes. Then we define the new set \( \mathcal{R} \mathcal{A}_\mathcal{C} \) of class-level accesses that can be factorized. \( \mathcal{R} \mathcal{A}_\mathcal{C} = \{(C_1, C_2, m, n, ak) s.t.(C_1, C_2, m, n, ak) \in \mathcal{A}_\mathcal{C}\} \), where \( Methods(C') \) denotes the sets of methods of \( C' \). Next, the set of factorization edges \( \mathcal{F} \mathcal{A}_\mathcal{C} \) is defined as: \( \{(ANY, C_2, n, ak) s.t.(C_1, C_2, m, n, ak) \in \mathcal{R} \mathcal{A}_\mathcal{C}\} \). Finally, the new set of class-level accesses \( \mathcal{A}'_\mathcal{C} \) is \( \mathcal{A}_\mathcal{C} \setminus \mathcal{R} \mathcal{A}_\mathcal{C} \cup \mathcal{F} \mathcal{A}_\mathcal{C} \).

3.2 Metrics

According to J. Hogan [10] there are three main classes of metrics distinguished by their context: first is reusability, second is productivity and last are complexity, cohesion and coupling. Metrics concerning access control should belong to the first and third class, because they’re expressing constraints on a system structure (by enforcing modularity) and evolution. Because the nodes of an access graph represent classes, we studied metrics that concern classes, and more precisely those about cohesion [7,12] and coupling [6,9].

Our conclusion is that Access control is seldom taken into account in metrics. M. Lanza’s approach [11] which defines metrics counting number of private, protected and public properties, is the relevant but language specific.

Since the access graphs are language independent, so should be metrics concerning access graphs. We propose several language independent metrics for access control that we use in our tool:

- **NAA** (Number of Allowed Accesses) for a class \( C_1 \) is the number of 5-tuple \((C_2, C_1, m, n, ak)\) in \( \mathcal{A}_\mathcal{C} \).

- **AAR** (Allowed Accesses Ratio) for a class \( C_1 \) is NAA divided by the number of properties of \( C_1 \).

Even if these metrics take into account access control in a language independent way, they do not exploit the expressivity of the access graph model. Metrics should distinguish class-level access from instance-level access (see Section 2), class properties from instance properties and different access kinds.

We propose the **WAA** and **WAAR** metrics that are weighted by a function \( weight\) (Level \( \times \) AccessKind \( \times \) PropertyKind \( \times \) Target \( ) \Rightarrow \mathbb{R} \) where Level is either class-level or instance-level, PropertyKind is either Attribute or Method and Target is Intern if Level is instance level or Level is class and the access concerns a property of the same class as the accessing one; Target is Extern otherwise.

We can obtain an order from the following considerations:

- class-level accesses to properties from another class (Extern) should require higher rights than class-level accesses to properties from the same class.
• accesses to attributes should require higher rights than accesses to methods
• write accesses should require higher rights than read accesses
• class-level accesses should require higher rights than instance-level accesses

Now that weight is determined we can define more precise metrics on access graphs using : \( \text{AccessWeight} : \mathcal{A} \rightarrow \mathbb{R} \) where \( \text{AccessWeight}((C_2, C_1, n, ak)) = \text{weight}(\text{Class, ak, PropertyKind(n), Intern if } C_1 = C_2 \text{ Extern otherwise}) \).
\( \text{AccessWeight}((C_1, n, ak)) = \text{weight}(\text{Instance, ak, PropertyKind(n), Intern}) \).

• \text{WAA} (Weighted Allowed Accesses) for a class \( C_1 \) is the sum of the valuation of all tuples \( (C_2, C_1, n, ak) \) in \( \mathcal{A} \).

• \text{WAAR} (Weighted Allowed Accesses Ratio) for a class \( C_1 \) is WAA divided by the number of properties of \( C_1 \).

4 Implementation with Royere

Our objective is to extend the suite tool AGATE [2] which general purpose is to help in design, understanding and managing static access control. AGATE currently offers services like automatic extraction of access graphs from code (currently implemented for Java and Eiffel), adaptation of any access graph to the rules of a specific language, code generation or check of high-level rules. Visualization clearly is decisive for the success of such tools.

We chose the open source Royere[13] as it provides several relevant features for access graph visualization:

• layout algorithms included admit the size of our graphs (50-1000 nodes),
• metrics and filtering can be integrated,
• the format GraphXML allows to exchange graphs with other visualization frameworks like Tulip\(^5\),
• node labels can be edited.

Figure 2 outlines the architecture of AGATE (left) and its connection (interface) with Royere (right). The format used for store and exchange access and inheritance graphs in AGATE is XML following the AccessGraph DTD, while Royere uses another DTD, namely GraphXML DTD. Three new tools have been added for visualization:

• the "Inheritance Graph Converter" takes an inheritance graph (IG) as input and translates it into GraphXML,
• the "Access Graph Converter" calculates metrics and filters an access graph (AG) and encodes the result in a GraphXML file,
• the "Inheritance Graph Position Mapper" uses an inheritance graph and an access graph of the same set of classes where coordinates have been set by

\(^4\) http://gvf.sourceforge.net
\(^5\) http://www.tulip-software.com
Royere. It produces a GraphXML file encoding the inheritance graph with coordinates that come from the access graph. Filtering is done outside Royere for efficiency reasons.

5 Case study

Access graph visualization has been applied to several software developed in Eiffel or Java (Royere 518 classes, Mars-Sim\(^6\) 205 classes, MegaMek\(^7\) 182 classes, Agate 69 classes). We detail here the analysis of ResynAssistant [8], a tool suite dedicated to graph-based modelling molecule. This Java soft-

\(^6\) http://mars-sim.sourceforge.net
\(^7\) http://megamek.sourceforge.net
ware has several interesting features from a reengineering point of view: it is medium-sized being composed of 291 classes, it is frequently modified or extended and several programmers have successively been in charge of the development.

5.1 Global view

Figure 4 shows a global view of the access graph generated from ResynAssistant classes. Edge and Node color is determined by WAA. Color ranges from yellow for low values to blue for high values. The layout algorithm is GEM [8]. The central node is the meta-node ANY added by the factorization described in 3.1. Some node groups, like ZONE 1 correspond to packages. Packages may be connected by small sets of classes. As most of the nodes are connected to ANY, revealing that most of the classes possess at least one public property, removing the node ANY facilitates interpretation by clarifying the graph.

5.2 Selective views of ResynAssistant

Figure 5, in which the removal of ANY has been done, shows the set of packages labelled ZONE2 in Figure 4. Most packages can now be easily identified and named, and two interesting areas might catch an attentive eye.

First, the symmetry package has a strong blue coloration that suggest a higher WAAR value. We focus on this package in Figure 6. The code source of this package reveals a lot of default (package level) properties in some of its classes. These properties, and especially the attributes, increase the WAAR value because they can be accessed by all the classes in the package. This high number of default properties is due to a misconception of the programmer, who decided to change it after seeing the graph.

A second zone seems rather surprising because despite of its aspect it
Fig. 5. ResynAssistant. Selected views

couldn't be identified to only one package. Figure 7 shows a more detailed view of this zone.

Actually the zone is composed by three packages tightly coupled: connaissances chimiques (chemical knowledge), concepts and graph. This situation is better explained by having some knowledge about Java access control mechanisms. In Java, the only way of allowing access from outside the declaring package without giving access to all the classes is to have protected properties inherited in a subclass declared outside the package of the base class. By using the Position Mapper we generate the inheritance graph and position its nodes like the access graphs nodes. Figure 7 shows this rather complex inheritance graph spanning over the three packages. Such an intricate of accesses be-
Fig. 7. The entangled packages and their inheritance graph

tween these pack ages does not favor easy understanding, maintainability and secure extension.

6 Conclusion

In this paper we presented a visualization tool for access graphs, a graph-based representation of access control in Object-Oriented Programming. We are currently applying this visualization to find defects in the use of access control in software. Our first analyses with this tool show that visualization should be improved in different ways. Different layout algorithms could be used or implemented, including algorithms that combines hierarchical display of the inheritance graph and clustering guided by accesses. New filtering techniques should be investigated. Facilities to compare call graphs [1] and access graphs would show distance between allowed and effective accesses as well as measure expressive power provided by a given programming language. We expect from this work a new perspective on access control which would enhance its understanding and improve the design of access control policies in object-oriented software.

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