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# Choquet integrals as projection operators for quantified tomographic reconstruction.

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## Abstract

In this paper, we propose to investigate and analyze a new method for performing quantified projection and back-projection in emission tomography. This method is based on using non-summative kernels, capacities and asymmetric Choquet integral to obtain imprecise projected values (i.e. intervals instead of usual reconstructed pixel values).

Validation studies using numerical and physical SPECT phantoms were used to demonstrate links between the length of these reconstructed intervals and the stochastic noise level in reconstructed slices.

*Key words:* Quantification, Radon transform, Hough transform, Choquet integral, capacity, single photon emission computed tomography.

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## 1 Introduction

Diagnosis, prognostic assessment and therapeutic monitoring of various cancers, cardiovascular or neurological diseases require in-vivo evaluation of some biological or metabolic functions in patients. The recent development of specific radioactive tracers in single photon computed emission tomography (SPECT) or in positron emission tomography (PET) have made such measurements

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possible through tomographic reconstruction of projections acquired by these imagers. The reconstruction algorithms, along with specific algorithms dedicated to the correction of attenuation artefacts or imager responses, which are available in routine clinical settings, provide increasingly accurate reconstructions.

However, whatever the algorithm used, the ill-posedness of the reconstruction problem leads to unstable solutions and the various regularisation functions suggested in the literature are difficult to adjust in routine clinical settings. One of the practical challenges, in nuclear tomographic image analysis, is to be able to robustly estimate image reconstruction error induced by discretization and measurement variations due to acquisition Poisson noise. Robust error estimation enables the physician to objectively compare reconstructed activities within two different regions of interest in a reconstructed slice. The reconstruction error could be estimated by repeating the reconstruction process using a large number of acquisitions. However, experimental constraints limit the number of experiments, and such a method does not apply in clinical settings. Usual reconstruction methods try to transfer knowledge on the nature of the noise acquired in projections to the reconstructed voxels. Many of these reconstruction noise estimation methods usually assume that the reconstructed image is close enough to the real image to use a Jacobian-based variance transfer [25,20,2]. For this method to be efficient, the residual random error must have suitable statistical properties (i.e. zero-mean, close to normal distribution, etc.) which is somewhat difficult.

We are currently investigating a completely different approach involving a new formulation of the tomographic reconstruction problem. We aim at designing reconstruction algorithms in which error estimation will be part of the estimation process. This paper presents one of the very first steps of this work, i.e. a new projection operator, based on non-summative kernels and non-additive confidence measures. The Choquet integral [3], which is a key tool for aggregating values associated with non-additive confidence measure, is used as projection operator. Using a concave capacity and its conjugate, we obtain an interval of projected value instead of a single value [10]. We stress that quantitative information associated with the length of this interval value is highly correlated with the level of the (back-) projected noise. Then we come up with a method for quantifying the noise level that is simpler than the conventional method using Jacobian-based variance transfer. The concept underlying this work is as follows: when estimating a piece of information from a set of noisy data with a kernel-based approach, variations due to measurement error can be estimated by slightly varying the shape (but not the size) of the kernel. Such a variation can be simply achieved by replacing a conventional summative kernel by a non-summative kernel approach [13].

The paper is organized as follows: in Section 2, we briefly review the meth-

ods for computing operators in the additive case. In section 3, we present the framework and notations: the first subsection deals with summative versus non-summative kernels. The second one presents the Choquet integral according to a capacity and to its conjugate capacity and their relations. The third one presents the asymmetric Choquet integral as an aggregation operator. Section 4 is dedicated to the presentation of the new projection and backprojection operators and their properties. Section 5 describes how the projection operator can be implemented. Using simulated and physical SPECT phantoms, in Section 6 we show the close correlation between the length of the intervals given by this alternative method and the random noise in the projections. Even though the results presented in this paper were obtained using emission tomographic data, the theoretical framework presented here has a wide range of applications and may be used for various tomographic devices.

## 2 Kernel-based discrete tomography

Since the measured activity in a particular direction  $(\rho, \theta)$  consists of a stochastic sum of the activity along (or around) a line, the Radon transform is usually used to model the physical phenomenon [15]. Let  $I(x, y)$  be the slice to be reconstructed, and  $S(\rho, \theta)$  be the integral of the stochastic activity  $I(x, y)$  along a line whose polar coordinate is  $(\rho, \theta)$ . The analytical expression of the Radon transform is:

$$S(\rho, \theta) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(x, y) \delta(x \cos \theta + y \sin \theta - \rho) dx dy$$

where  $\delta$  is the Dirac delta distribution. The activity  $S(\rho, \theta)$ , that has been detected, is the signature of a sought after density (Hounsfield number, radioactivity, etc.). However, the analytical expression of the Radon transform has to be modified to account for measurement noise, missing data, discretization and quantification. Moreover, the problem of practical interest is to find an implementation of a discrete reconstruction algorithm.

The so-called discrete Radon transform [11,12] makes use of summative kernels [16,27] for handling the interplay between continuous and discrete. A summative (or probabilistic) kernel of  $\mathbb{R}^m$  is an integrable function  $\kappa$  that satisfies:  $\int_{w \in \mathbb{R}^m} \kappa(w) dw$ . It is usually separable, centered, symmetric, bounded and positive. A summative kernel  $\kappa$  is usually scaled by a bandwidth parameter that determines the local amount of smoothing [27]. The role of the kernel is to define a weighted neighborhood of each sampled location, thus providing interplay between continuous and discrete. But it also makes use of a hypothesized ergodicity to reduce the effect of random noise by locally regularizing

the reconstruction process.

Let  $I_n$  be the sought after value of the  $n^{th}$  pixel centered on the location  $(x_n, y_n)$  of the digital image to be reconstructed related by the kernel  $\kappa(x - x_n, y - y_n)$  to the sought after somewhat continuous value  $I(x, y)$ . Let  $S_k$  be the integrated signal provided by the  $k^{th}$  detector (or dexel) centered on the location  $(\rho_k, \theta_k)$  and  $\eta(\rho - \rho_k, \theta - \theta_k)$  be the kernel modeling the measurement process. If no noise is assumed in the acquisition process, then the relation between  $S_k$  and  $I_n$  is:

$$S_k = \sum_{n=1}^N I_n r_{k,n} \text{ with } r_{k,n} \text{ equal to} \quad (1)$$

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_0^{\infty} \int_{-\pi}^{\pi} \eta(\rho - \rho_k, \theta - \theta_k) \kappa(x - x_n, y - y_n) \delta(x \cos \theta + y \sin \theta - \rho) dx dy d\rho d\theta.$$

The discrete Radon matrix could be considered as a transition matrix whose coefficient  $r_{k,n}$  are proportional to the probability of an activity in the  $n^{th}$  pixel to be detected by the  $k^{th}$  detector. All reconstruction algorithms make extensive use of this representation so that they can be viewed as algorithms dedicated to inversion of the relationship between the  $\{I_n\}_{n \in \{1, \dots, N\}}$  and the  $\{S_k\}_{k \in \{1, \dots, K\}}$  given by equation (1). Recovery of this probability prompts the need for post-normalization of expressions 1. The normalized projection operator is:

$$S_k = \sum_{n=1}^N I_n p_{k,n} \text{ with } p_{k,n} = \frac{r_{k,n}}{\sum_{n=1}^N r_{k,n}}.$$

The correct convergence of reconstruction algorithms towards the putative real discrete image is closely related to the suitability of the chosen kernels to provide an appropriate amount of smoothing. Oversmoothing tends to give smooth but blurred images while undersmoothing tends to amplify the effect of statistical fluctuations of observation and then to produce artefacts in the reconstructed images.

### 3 Framework and notations

Statistics applied to signal processing usually concern random observations, i.e. well defined processes leading to unpredictable outcomes [9]. This unpredictability is commonly assumed to be due to the randomness of the observed phenomenon and therefore associated with uncertainty. Uncertainty relates to the truth of an observation, understood as its conformity to reality. Imprecision is related to the content of the observation and therefore to the observation process itself [7]. For example, in emission tomography, uncertainty is related

to random variation in the proportion of photons detected on a sensor during a finite time (in reference to the mean value of the Poisson process that rules the radioactive decay), and thus may vary from one experiment to another and depends on the object. The precision of the sensor is more related to its physical characteristics, such as energy or spatial resolution, and therefore does not depend on the observed object (for a given imaging device and a given photon energy).

### 3.1 Summative and non-summative kernels

One of the most common models of an uncertain observation is a probability density distribution, i.e. a summative kernel [18]. A summative kernel is a  $[0,1]$ -valued function  $\kappa$  defined on a domain  $\Omega$  verifying the summative normalization property:  $\int_{\Omega} \kappa(w)dw = 1$ .

So-called kernel smoothing methods make use of this model to reduce the effect of quantization and noise by defining a weighted neighborhood of each sample [24]. This weighted neighborhood is then used in an additive aggregation process in order to provide an estimated value of the signal inside and outside the sampling grid.

In addition to this modeling, measurement errors can be considered as a feature of single observations due to systematic inaccuracy in the measurement process. This is a typical physicist's viewpoint where proper calibration of the sensor provides an estimate of the error domain (usually intervals) [14,21]. This domain is asked to certainly contain the measured information. This approach is used to evaluate the image of a function whose arguments are subsets. It is the most suitable modeling in cases where repeated observations cannot provide any additional information. This so-called bounded-error approach mainly concerns imprecision [14]. For both practical and theoretical reasons, validity domains of observations are usually intervals or boxes, i.e. Cartesian products of intervals.

The all-or-nothing nature of interval analysis, in contrast with the probability approach which accepts graduations, introduces asymmetry between them. The canonical generalization of interval analysis leads to the fuzzy-subset theory [7]. This fuzzy generalization of a validity domain relates to fuzzy measures [28].

Like a summative kernel, a non-summative kernel is a  $[0,1]$ -valued function  $\pi$  defined on a domain  $\Omega$  verifying the maxitive normalization property:  $Sup_{w \in \Omega} \pi(w) = 1$ .

$\pi$  can be seen as a possibility distribution or as the membership function  $\mu_F$  of a normalized fuzzy subset  $F$  of  $\Omega$ .

### 3.2 Aggregation with Choquet integrals

Choquet integrals have become a central tool for aggregating values associated with non-additive confidence measures <sup>1</sup> [17,10,5]. In this section, we will introduce some of the properties of this aggregation operator that will be used in the sequel.

Let  $\Phi = \{P_1, \dots, P_N\}$  be a finite set of  $N$  elements. For all  $n \in \{1, \dots, N\}$ , let  $I_n$  be a value associated with each element  $P_n$ . To begin, we suppose that all the values are positive. For the sequel we use the following notations:

**Notation 1** •  $I: \Phi \rightarrow \mathbb{R}^+$  is the function defined by  $I(P_n) = I_n$ .

- We denote  $(.)$  the permutation on  $\{1, \dots, N\}$  that sorts the values  $I_n$  in increasing order:  $I_{(1)} \leq \dots \leq I_{(N)}$ .
- $A_{(n)}$  is the coalition of all elements whose value exceeds  $I_{(n)}$ :  
 $A_{(n)} = \{P_i \in \Phi / I_i \geq I_{(n)}\} = \{P_{(n)}, \dots, P_{(N)}\}$ .

**Definition 2** • A capacity is a set function  $v: \mathcal{P}(\Phi) \rightarrow [0, 1]$  such that  $v(\emptyset) = 0$ ,  $v(\Phi) = 1$ , and  $\forall A, B \in \mathcal{P}(\Phi) A \subseteq B \Rightarrow v(A) \leq v(B)$ .

- $\bar{v}$  the conjugate capacity of  $v$  is defined by:  
 $\forall A \subseteq \Phi, \bar{v}(A) = 1 - v(A^c)$  where  $A^c$  is the complementary set of  $A$ .

**Definition 3** A capacity  $v$  on  $\Phi$  is

- a convex capacity if  $\forall (A, B) \in \mathcal{P}(\Phi), v(A) + v(B) \leq v(A \cup B) + v(A \cap B)$ ,
- a concave capacity if  $\forall (A, B) \in \mathcal{P}(\Phi), v(A) + v(B) \geq v(A \cup B) + v(A \cap B)$ .

Note that if  $v$  is a concave capacity, then  $\bar{v}$  is a convex capacity.

**Definition 4** Let  $\Phi = \{P_1, \dots, P_N\}$  be a set of  $N$  elements,  $I: \Phi \rightarrow \mathbb{R}^+$  be a function from  $\Phi$  to the real positives and  $v$  be a capacity on  $\mathcal{P}(\Phi)$ .

- The Choquet integral of  $I$  with respect to the capacity  $v$  is:

$$\begin{aligned} C_v(I) &= \sum_{n=1}^N I_{(n)}(v(A_{(n)}) - v(A_{(n+1)})) \\ &= \sum_{n=1}^N (I_{(n)} - I_{(n-1)})v(A_{(n)}) \text{ with } A_{(N+1)} = \emptyset \text{ and } I_{(0)} = 0. \end{aligned}$$

<sup>1</sup> named capacities F. Vasilescu [1]

- A dual Choquet integral can be computed by using the conjugate capacity:

$$C_{\bar{v}}(I) = \sum_{n=1}^N I_{(n)}(v(A_{(n+1)}^c) - v(A_{(n)}^c)).$$

**Proposition 5** *If  $v$  is a concave capacity, then  $C_v(I) \geq C_{\bar{v}}(I)$ .*

**Proof:** We have  $C_v(I) - C_{\bar{v}}(I) = \sum_{n=1}^N (I_{(n)} - I_{(n-1)})(v(A_{(n)}^c) - 1 + v(A_{(n)}^c))$ . Since  $v$  is concave,  $v(A_{(n)}^c) + v(A_{(n)}^c) \geq v(\Omega) + v(\emptyset) = 1$  which, when associated with  $(I_{(n)} - I_{(n-1)}) \geq 0$ , entails  $C_v(I) - C_{\bar{v}}(I) \geq 0$ . ■

### 3.3 Aggregation with the asymmetric Choquet integral

When the range of  $I$  is  $\mathbb{R}$ , then, according to Denneberg [5], aggregation with respect to the capacity should be performed via the *asymmetric Choquet integral*.

In this subsection, we consider having a real function  $I : \Phi \rightarrow \mathbb{R}$ .

We denote as  $r$  the index belonging to  $\{1, \dots, N\}$ , such that  $I_{(1)} \leq \dots \leq I_{(r)} < 0 \leq I_{(r+1)} \leq \dots \leq I_{(N)}$ . Then we define  $I^- = \{-I_{(1)}, \dots, -I_{(r)}, 0, \dots, 0\}$  and  $I^+ = \{0, \dots, 0, I_{(r+1)}, \dots, I_{(N)}\}$ .

**Definition 6** *Let  $\Phi = \{P_1, \dots, P_N\}$  be a set of  $N$  elements,  $I : \Phi \rightarrow \mathbb{R}$  be a real function on  $\Phi$  and  $v$  be a capacity on  $\mathcal{P}(\Phi)$ .*

*The asymmetric Choquet integral of  $I$  with respect to  $v$  is:*

$$\check{C}_v(I) = C_v(I^+) - C_{\bar{v}}(I^-).$$

**Proposition 7** *If  $v$  is a concave capacity, then  $\check{C}_v(I) \geq \check{C}_{\bar{v}}(I)$ .*

This proposition is direct from proposition 5.

## 4 Imprecise projection

In this section, we propose to show how it is possible to shift from additive summative kernel based to non-additive non-summative kernel based projection operators. In analogy to the summative approach, we go from a continuous binary to a weighted discrete projection.



#### 4.1 Continuous case

Let us consider the image as a subset  $\Omega$  of  $\mathbb{R}^2$  where the continuous activity is considered to be binary. Each point of  $\Omega$  is supposed to be active or not and its emission activity during the acquisition is taken as a unit.  $\theta$  is the subset of all active points of  $\Omega$ . The activity outside  $\Omega$  is assumed to be unknown. The emission density of each subset  $A$  of  $\Omega$  is assumed to be due to the local density of active points in  $A$ .

Each detector  $D$  is associated with a polar coordinate  $(\rho, \theta)$  and an infinite projection zone  $W$  (see Figure 1).

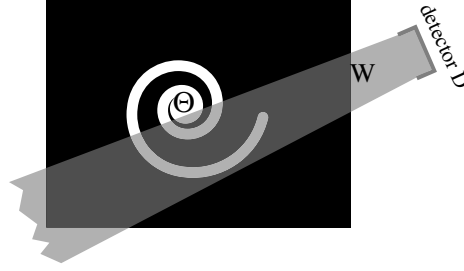


Fig. 1. Binary detected activity

The activity  $S$  measured on detector  $D$  is assumed to be proportional to the surface of intersection of  $W$  with  $\theta$ . The scale of the measured activity is assumed to be unknown. If the activity is a random process, then the measured activity  $S$  is also a random process. No additivity is hypothesized on the projection process.

Let  $S_1$  be the projected activity on detector  $D_1$  and  $S_2$  be the projected activity on a disconnected detector  $D_2$ , the activity  $S_{12}$  on  $D_1 \cup D_2$  is not assumed to be equal to  $S_1 + S_2$ . However, since the projection process is a measurement, the following relation has to be respected:  $S_{12} \geq \max(S_1, S_2)$ .

**Definition 8** *The function  $|\cdot| : \mathcal{P}(\Omega) \rightarrow \mathbb{R}$  denotes the surface associated with an object on  $\Omega$ .*

If  $S$  behaves like a density, then  $S$  is proportional to  $|W \cap \theta|$ . The basic projection formula in the continuous space should be  $S = \zeta_W |W \cap \theta|$ , where  $\zeta_W$  is an unknown normalizing coefficient. Conventionally, we have: if  $\theta = \Omega$  then  $S = 1$  whatever the detector position, then  $1 = \zeta_W |W \cap \Omega|$  and therefore:  $S = \frac{|W \cap \theta|}{|W \cap \Omega|}$ . Note that  $W$  is the (infinite) subset of  $\mathbb{R}^2$  of any point of space that can project on detector  $D$ .

The measurement process consists of  $K$  projections  $S_k$  associated with  $K$  detector positions (or  $K$  different detectors)  $D_k$ , called the dexels. Each dexel  $D_k$  is associated with a polar coordinate  $(\rho_k, \theta_k)$ .  $S_k$  is assumed to be the pro-

portion of photons emitted by the active zone that is collected by a detector associated with the polar coordinate during a time unit. The number of photons can be computed by multiplying  $S_k$  by the spatial interaction between the measurement and the image, i.e.  $|W_k \cap \Omega|$  which provide the non-normalized projection, that is  $S$  is proportional to  $|W \cap \theta|$ .

#### 4.2 Discretization of the image plane

With the representation of the image, always denoted  $\Omega$ , being discrete, the continuous active zone is now imprecisely known. Figure 4 shows a sampled version of the binary activity depicted in Figure 1.

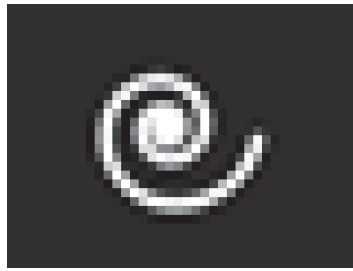


Fig. 2. Discrete active zone

The non-summative kernel technique relies on a set-theoretic based interplay between continuous and discrete involving the use of tiling and partitioning. In fact, there are three kinds of binary regular discretization that can be viewed in Figure 3:

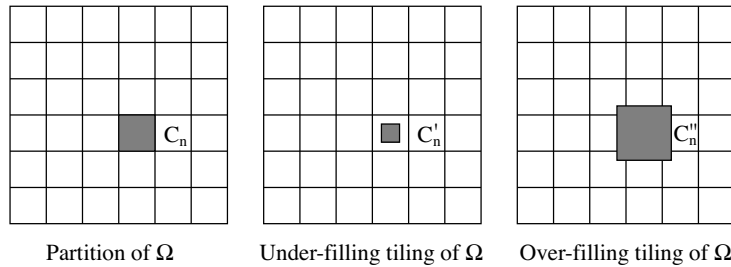


Fig. 3. Discretization of the plane.

**Definition 9** Let  $\{C_n\}_{n \in \{1, \dots, N\}}$  be a covering of a plane image  $\Omega$ .

- $\{C_n\}_{n \in \{1, \dots, N\}}$  is a partition of  $\Omega$  if and only if

$$\bigcup_{n=1}^N C_n = \Omega \tag{2}$$

$$C_n \cap C_m = \emptyset \text{ if } n \neq m. \tag{3}$$

- $\{C_n\}_{n \in \{1, \dots, N\}}$  is an under-filling tiling if it follows property (3) but not property (2).

- $\{C_n\}_{n \in \{1, \dots, N\}}$  is a over-filling tiling if it follows property (2) but not property (3).

Note that the first property seems to be necessary to ensure a continuous to discrete transition without loss and the second one ensures additivity.

Because of discretization, the only available information about the active zone is a measure of the discrete density  $I_n$  via an unknown kernel associated with pixel  $P_n$ . The notion of continuous zone of binary activity has to be replaced by a discrete coalition at a given level.

As done in the continuous case, we consider  $K$  dexels  $D_k$ . For each dixel, we want to model  $S_k$ , the detected activity associated with dixel  $D_k$ .

Let  $\Phi = \{P_1, \dots, P_N\}$ <sup>2</sup> be the set of  $N$  pixels of the image. Each discrete entity  $P_n$  is associated with a subset  $C_n$  of  $\Omega$ .

First, we suppose that all  $I_n$  to be non-negative. The intensity is not a binary value so we need to define an intensity level.

**Definition 10** Let  $\gamma$  be a real value,  $\theta_\gamma$  is the subset of pixels whose intensity exceeds  $\gamma$ :

$$\theta_\gamma = \{P_n \in \Phi \mid I_n \geq \gamma\}.$$

Note that  $\theta_0 = \Phi$ .

Now by analogy with the continuous case, we define  $W_k$  as the discrete subset of pixels that can potentially cause the detected density  $S_k$  on the  $k^{th}$  dixel  $D_k$ . This subset of  $\mathbb{R}^2$  defines a binary possibility distribution associated with each  $D_k$  denoted  $\pi(D_k; \cdot)$ , and defined as follows:

$$\forall w \in \Omega, \begin{cases} \pi(D_k; w) = 1 \text{ if } w \in W_k \\ \pi(D_k; w) = 0 \text{ else .} \end{cases}$$

This continuous possibility distribution on  $\mathbb{R}^2$  induces a discrete possibility distribution on  $\Phi$ :

$$\pi(D_k; P_n) = \bigvee_{w \in C_n} \pi(D_k; w).$$

Consequently,  $\Pi(D_k; P_n) = 1$  if there is  $w \in C_n$  such that  $\pi(D_k; w) = 1$ . In the following  $\Pi(D_k; P_n)$  is denoted  $\pi_{k,n}$ .

Dually,  $\pi_{k,n}$  defined on  $\Phi$  a subset  $W_k = \{P_n \in \Phi \mid \Pi(D_k, P_n) = 1\}$ .

<sup>2</sup> To be clear, we already named  $\Phi$  as the finite set of elements to define the Choquet integral in section 3.2

To define the capacity used in the following we have to express the fonction  $|\cdot|$  which is the surface according to the continuous case.

**Definition 11** Let  $\Omega$  be a plane image, we denote  $\Phi$  the finite set of pixels and  $\{C_n\}_{n \in \{1, \dots, N\}}$  a covering, then

$$\begin{aligned} |\cdot| : \Phi &\rightarrow \mathbb{R} \\ A &\mapsto |\cup_{n/P_n \in A} C_n|. \end{aligned}$$

**Proposition 12**  $S_k$ , the detected density associated with the  $k^{th}$  dixel  $D_k$ , is the Choquet integral of density  $I: \Phi \rightarrow \mathbb{R}^+$  with respect to the capacity  $v_k$  defined by

$$\forall A \subseteq \Phi, \quad v_k(A) = \frac{|W_k \cap A|}{|W_k \cap \Phi|}. \quad (4)$$

**Proof:** For each threshold  $\gamma \in \mathbb{R}$ , a binary detected intensity can be computed using  $S_k^\gamma = \zeta_k |W_k \cap \theta_\gamma|$ .  $\zeta_k$  is an unknown normalization factor, and  $|W_k \cap \theta_\gamma|$  is the surface on the image associated with the subset of pixels  $W_k \cap \theta_\gamma$ . To be more precise, we have  $|W_k \cap \theta_\gamma| = |\bigcup_{n|P_n \in W_k \cap \theta_\gamma} C_n|$ .

To obtain  $S_k$ , the detected intensity associated with the  $k^{th}$  dixel  $D_k$ , we aggregate all the  $S_k^\gamma$ :

$$S_k = \zeta_k \int_0^\infty S_k^\gamma d\gamma = \zeta_k \int_0^\infty |W_k \cap \theta_\gamma| d\gamma.$$

The normalization factor can be obtained by assuming the usual normalization: if  $\forall n, I_n = 1$ , then  $\forall k, S_k = 1$ . So we have  $S_k = \zeta_k \int_0^\infty S_k^\gamma d\gamma =$

$$\zeta_k |W_k \cap \theta_0| = 1 \text{ and therefore } \zeta_k = \frac{1}{|W_k \cap \theta_0|} \text{ which entails } S_k = \int_0^\infty \frac{|W_k \cap \theta_\gamma|}{|W_k \cap \theta_0|} d\gamma.$$

Since the possible values of  $\gamma$  are discrete, the above formula can be computed by:

$$S_k = \sum_{n=1}^N (I_{(n)} - I_{(n-1)}) \frac{|W_k \cap \theta_{I_{(n)}}|}{|W_k \cap \theta_0|}$$

where  $(\cdot)$  is the permutation which sorts the pixels according to increasing intensity, which concludes the proof. ■

**Proposition 13** Let  $\{C_n\}_{n \in \{1, \dots, N\}}$  be a covering of a plane image  $\Omega$ ,

- if  $\{C_n\}_{n \in \{1, \dots, N\}}$  is a partition or an under-filling tiling then  $v_k$  is additive and  $S_k = \sum_{n=1}^N I_n v_k(P_n)$ ,
- if  $\{C_n\}_{n \in \{1, \dots, N\}}$  is an over-filling tiling, then  $v_k$  is concave.

**Proof:**

- It is trivial to show the first assertion because, if  $\{C_n\}_{n \in \{1, \dots, N\}}$  is a partition or an under-filling tiling, then  $C_n \cap C_m = \emptyset$  if  $n \neq m$ , which entails the additivity of the capacity  $v_k$ .
- For the second assertion, we have to prove that

$$\forall (A, B) \subseteq \Phi^2, v_k(A) + v_k(B) \geq v_k(A \cup B) + v_k(A \cap B).$$

First recall that the function  $|\cdot|$  defines the surface associated with an object on  $\Omega$ . So as we have  $v_k(A) = \frac{|W_k \cap A|}{|W_k \cap \Phi|} = \zeta_k |W_k \cap A|$ .

We need to compute  $|W_k \cap A|$  with the over filling tiling  $\{C_n\}_{n \in \{1, \dots, N\}}$ . To do this we have to define the following set:

$$\begin{aligned} \mathcal{A} &= \bigcup_{\{n|P_n \in W_k \cap A\}} C_n, & \mathcal{B} &= \bigcup_{\{n|P_n \in W_k \cap B\}} C_n \\ \mathcal{A}\mathcal{B} &= \bigcup_{\{n|P_n \in W_k \cap (A \cap B)\}} C_n, & \mathcal{A} \cup \mathcal{B} &= \bigcup_{\{n|P_n \in W_k \cap (A \cup B)\}} C_n. \end{aligned}$$

So we have  $v_k(A) = \zeta_k |\mathcal{A}|$ ,  $v_k(B) = \zeta_k |\mathcal{B}|$ ,  $v_k(A \cap B) = \zeta_k |\mathcal{A} \cap \mathcal{B}|$ , and  $v_k(A \cup B) = \zeta_k |\mathcal{A} \cup \mathcal{B}|$ . As  $\{C_n\}$  is over-filling tiling,  $\mathcal{A}\mathcal{B} \subseteq \mathcal{A} \cap \mathcal{B}$  and thus  $|\mathcal{A}\mathcal{B}| \leq |\mathcal{A} \cap \mathcal{B}|$ . Since  $|\mathcal{A}| + |\mathcal{B}| = |\mathcal{A} \cap \mathcal{B}| + |\mathcal{A} \cup \mathcal{B}|$ ,  $v_k(A) + v_k(B) = \zeta_k |\mathcal{A} \cap \mathcal{B}| + \zeta_k |\mathcal{A} \cup \mathcal{B}|$ , and therefore  $v_k(A) + v_k(B) \geq v_k(A \cup B) + v_k(A \cap B)$ .

Note that, as the previous inequality can be strict, the capacity  $v_k$  is not additive. To illustrate a strict inequality, let us consider two contiguous pixels  $P1$  and  $P2$  associated with an over-filling tiling  $\{C1, C2\}$ .

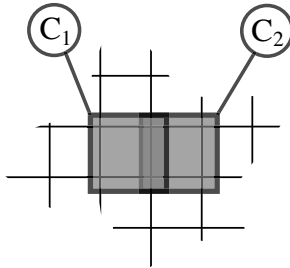


Fig. 4. over-filling tiling

We have  $v_k(P1) = |C1| = |C2| = v_k(P2)$ ,  $v_k(P1 \cap P2) = v_k(\emptyset) = 0$  and  $v_k(P1 \cup P2) = |C1 \cup C2| < |C1| + |C2|$  which implies  $v_k(P1 \cup P2) < v_k(P1) + v_k(P2)$ .

■

As shown in section 3.2, with  $v_k$  being a concave capacity, an upper estimation  $\overline{S}_k$  and a lower estimation  $\underline{S}_k$  can be defined for each dixel  $D_k$ :

**Definition 14** Let  $\Phi$  be the set of pixels, and  $I$  be the positive discrete density. For the  $k^{th}$  dixel  $D_k$ ,

- The upper projection is:

$$\overline{S}_k = \sum_{n=1}^N I_{(n)}(v_k(A_{(n)}) - v_k(A_{(n+1)})) = \sum_{n=1}^N (I_{(n)} - I_{(n-1)})v_k(A_{(n)}) \quad (5)$$

- The lower projection is:

$$\underline{S}_k = \sum_{n=1}^N I_{(n)}(\bar{v}_k(A_{(n)}) - \bar{v}_k(A_{(n+1)})) = \sum_{n=1}^N (I_{(n)} - I_{(n-1)})\bar{v}_k(A_{(n)})$$

with  $v_k(A) = \frac{|W_k \cap A|}{|W_k \cap \Phi|} \forall A \subseteq \Phi$  where  $W_k = \{P_n \in \Phi \mid \Pi(D_k, P_n) = 1\}$ .

### 4.3 Weighted possibility distribution

If the possibility distribution is weighted, in order to provide a good trade-off between enhancing and blurring details [26], the formula of the lower and upper projection has to be modified. As the difference between the two expressions is the use of the conjugate capacity, we only give the proof for the upper estimation.

For any confidence level  $\alpha$ , the quantity  $\overline{S}_k^\alpha$  can be computed by using Eq. (5) with the capacity defined by  $v_k^\alpha(A_{(n)}) = \zeta_k |W_k^\alpha \cap \theta_{I_{(n)}}|$ . So we have

$$\overline{S}_k^\alpha = \zeta_k \sum_{n=1}^N (I_{(n)} - I_{(n-1)}) |W_k^\alpha \cap \theta_{I_{(n)}}|$$

where  $\zeta_k$  is an unknown normalization factor,  $W_k^\alpha$  is the set of pixels whose interaction with the  $k^{th}$  dixel exceeds the level  $\alpha$ :  $W_k^\alpha = \{P_n \mid \pi_{k,n} \geq \alpha\}$ .

The aggregation of all projected values  $\overline{S}_k^\alpha$  can be obtained by integrating

according to the confidence levels with an a-posteriori normalization:

$$\begin{aligned}\overline{S}_k &= \zeta_k \int_0^1 \overline{S}_k^\alpha d\alpha \\ &= \zeta_k \int_0^1 \left( \sum_{n=1}^N (I_{(n)} - I_{(n-1)}) |W_k^\alpha \cap \theta_{I_{(n)}}| \right) d\alpha \\ &= \zeta_k \sum_{n=1}^N \left( (I_{(n)} - I_{(n-1)}) \int_0^1 |W_k^\alpha \cap \theta_{I_{(n)}}| d\alpha \right).\end{aligned}$$

Normalization is obtained as usual by assuming  $\overline{S}_k = I$  if:  $I_n = I \forall n$ ; therefore

$$\overline{S}_k = \sum_{n=1}^N (I_{(n)} - I_{(n-1)}) v_k(A_{(n)}) \text{ with } v_k(A) = \frac{\int_0^1 |W_k^\alpha \cap A| d\alpha}{\int_0^1 |W_k^\alpha \cap \Phi| d\alpha}.$$

The corresponding lower projection can be obtained by using the conjugate capacity of  $v_k$ .

#### 4.4 Properties of upper and lower projections

In most reconstruction algorithms, projection operators are iteratively used to project and back-project the reconstruction error until convergence. Thus, the value to be projected is generally not a non-negative value and therefore the usual Choquet integral has to be replaced by an asymmetric Choquet integral, as presented in section 3.3, for upper and lower projection computations.

**Definition 15** *Let  $\Phi$  be the set of pixels, and  $I$  be the discrete density. For the  $k^{\text{th}}$  dixel  $D_k$ ,*

- *The upper projection is:  $\overline{S}_k = \check{C}_{v_k}(I)$ ,*
- *The lower projection is:  $\underline{S}_k = \check{C}_{\overline{v}_k}(I)$ .*

The upper and lower projection properties are directly inherited from the properties of Choquet integrals and the most interesting property is derived from the work of Schmeidler [22] and concerns the core of the convex capacities.

**Definition 16** *For all convex capacities we can define the core of the capacity as follows:*

$$\text{core}(v) = \{p \text{ probability such that } v(A) \leq p(A), \forall A\}.$$

Note that, if  $p$  belongs to  $core(v)$ , then  $\forall A \in \Omega, \quad v(A) \leq p(A) \leq \bar{v}(A)$ .

We have the following results due to Schmeidler and Dellacherie [22,8,4].

**Proposition 17** *Let  $\Phi$  be a finite set,  $I: \Phi \rightarrow \mathbb{R}$  be a function and  $v$  be a convex capacity on  $\Phi$ . Then,*

$$\begin{aligned}\check{C}_v(I) &= Inf_{p \in core(v)} \int I dp, \\ \check{C}_{\bar{v}}(I) &= Sup_{p \in core(v)} \int I dp.\end{aligned}$$

When  $I$  is a discret function which the values are denoted  $I_1, \dots, I_N$ , for any probability  $p$ ,  $\int I dp = \sum_{i=1}^N I(n)p(P_n)$ .

Our capacity  $v_k$  defined by equation 4 is concave, so the above result leads us to the final property:

**Proposition 18**

$$\begin{aligned}\overline{S}_k &= Sup_{p \in core(\bar{v}_k)} \sum_{n=1}^N p(P_n)I_n \\ \underline{S}_k &= Inf_{p \in core(\bar{v}_k)} \sum_{n=1}^N p(P_n)I_n.\end{aligned}$$

Since usual Radon matrices are defined by summative kernels,  $\overline{S}_k$  (rsp.  $\underline{S}_k$ ) is an upper (rsp. lower) bound of any conventional projection obtained with a Radon matrix whose coefficients can be derived from a probability function which belongs to the core of  $\bar{v}_k$ . Therefore, the distance between the non-additive aggregated values obtained by using  $v_k$  and  $\bar{v}_k$  reflects the lack of stability of a conventional aggregation process when there is variation in the hypothesized confidence distribution. Since this stability depends on the robustness of the hypothesized model and the local noise level, it can be used as a measure of aggregation error.

## 5 Numerical implementation

The only difficulty in computing upper and lower projections concerns the computation of the capacity  $v_k$  defined by (4). The general computation of the Choquet integral involves an iterative estimation of capacities of nested sets. The easiest way to perform this iterative estimation is first to invert the sum in expression:  $\overline{S}_k = \sum_{n=1}^N (I_{(n)} - I_{(n-1)})v_k(A_{(n)})$ . As we have  $A_{(n)} = A_{(n+1)} \cup P_{(n)}$ ,



the value  $v_k(A_{(n)})$  can be deduced from the value of  $v_k(A_{(n+1)})$  by using a map-based algorithm. This decomposition requires a non-summative sampling kernel. As shown in [6], any summative kernel with support  $[-\delta, \delta] \times [-\delta, \delta]$  is dominated by the binary kernel with support  $[-\delta, \delta] \times [-\delta, \delta]$ ; and more specifically by the fuzzy kernel with support  $[-\delta, \delta] \times [-\delta, \delta]$  with a pyramidal membership function.

We first present this algorithm when the partition  $\{C_n\}_{n \in \{1, \dots, N\}}$  is binary. Next we present it when the partition  $\{C_n\}_{n \in \{1, \dots, N\}}$  is fuzzy.

- (1) **Binary kernel:** the general shape of  $C_n$  is depicted in Figure 5.

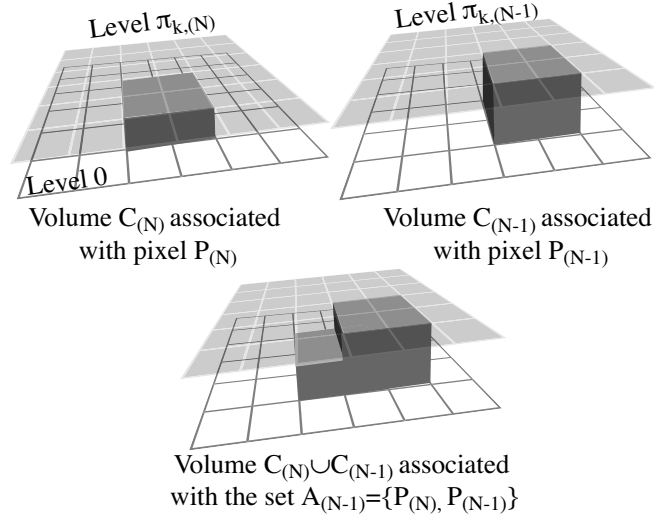


Fig. 5. Iterative computation of the capacity

Let  $N_l$  be the number of lines and  $N_r$  be the number of rows in the image. The algorithm needs a matrix  $T(l, r)$  whose size is  $(N_l + 1) \times (N_r + 1)$ . Let  $(l_{\{n\}}, r_{\{n\}})$  be the image coordinate of pixel  $P_n$ . The iterative computation of the  $v_k(A_{(n)})$  works with algorithm 1:

**Data:** matrix T

**Result:** the capacity  $v_k$

**Begin**

```

Initialization of T as a null matrix. for  $n = N$  down to 1 do
  for  $u = l_{(n)}$  to  $l_{(n)} + 1$  do
    for  $v = R_{(n)}$  to  $R_{(n)} + 1$  do
       $t(u, v) = \text{MAX}(t(u, v), \Pi_{k, n})$ 
     $v_k(A_{(n)}) = \delta^2 \sum_{u, v} T(u, v)$ 
End

```

Algorithm 1. Binary kernel

(2) **Fuzzy kernel**

Matrix T requires a third coordinate:  $T(l, r, s)$ , where  $s \in \{1, 2, 3, 4\}$ . Each coordinate corresponds to a truncated quarter of pyramid, as depicted in Figure 6.

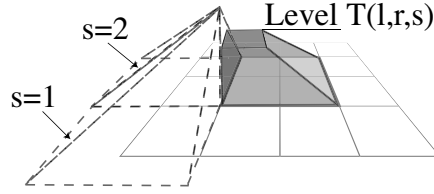


Fig. 6. Elementary truncated quarter of a pyramid

The iterative computation works with algorithm 2:

**Data:** matrix T

**Result:** the capacity  $v_k$

**begin**

Initialization of T as a null matrix.

**for**  $n = N$  *down to* 1 **do**

$s=1$

**for**  $u = l_{(n)}$  *to*  $l_{(n)} + 1$  **do**

**for**  $v = R_{(n)}$  *to*  $R_{(n)} + 1$  **do**

$t(u,v,s) = \text{MAX}(t(u,v,s), \Pi_{k,n})$

$s = s + 1$

$v_k(A_{(n)}) = \delta^2 \sum_{u,v,s} T(u, v, s)$

**end**

Algorithm 2. Fuzzy kernel

Computation of  $v_k(A_{(n)})$  involves the geometrical computation of a union of four truncated quarters of pyramids that can be easily achieved but whose algorithm is too long to present in this paper.

## 6 Experimentations: ability to estimate projected noise

In this section, we illustrate the ability of the upper and lower projection operators to estimate the local noise.

For the experiment, SPECT projections of an uniform cylinder and from a Jaszczak resolution phantom (JRP) were acquired after filling these phantoms with 740 MBq (20 mCi) of a technetium 99m solution. On average, 507 and 381 kcounts were acquired for each line of the sinogram used for the cylinder and the JRP respectively. 128 projections over a 360-degree arc were recorded. 25

acquisitions of the cylindrical phantom were used to record projections from a uniform cylindrical radioactivity distribution. and 25 acquisitions of the JRP were used as a resolution phantom. Each of the 25 acquisitions for the two phantoms is supposed to be a repetition of the same event. For each one of the phantoms, 25 slices were computed using the usual back-projection procedure with the probability coefficient associated with a uniform kernel. Concurrently, lower and upper backprojections were computed as described above.

For a given backprojected pixel  $P_n$ , a variance  $v_n$  was computed using it within each of the 25 backprojections. Then, for the same pixel  $P_n$ , a length  $l_n^s$  of the confidence interval was computed for each of the backprojected slices  $s \in \{1, \dots, 25\}$ . To be more precise, for each  $s$  and each  $n$ ,  $l_n^s$  is the difference between the upper and the lower back-projections.

We will now test whether the distribution of the estimated variances  $\{v_n\}_{n \in \{1, \dots, N\}}$  is correlated or not with the 25 distributions of the length  $\{l_n^s\}_{n \in \{1, \dots, N\}}$ , i.e. if this length can be regarded as a spread factor measuring the local noise level. In order to perform a distribution free-comparaison, we use the Kendall correlation coefficient, since it is known to be one of the most powerful and intuitively simple measurement of the strength of relationship between two variables [23].

We compute, for two experiments and for each of the 25 acquisitions, the values of the Kendall correlation coefficient between the distribution of the length versus the estimated variance for each backprojected slice. These values are shown in Figures 7 and 8:

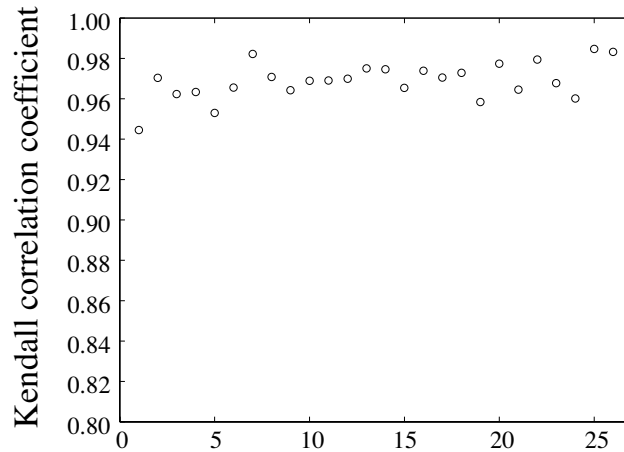


Fig. 7. Resolution phantom

For both experiments, the correlation coefficient values are such that the independence between predicted spread and variance has to be rejected (all the p-values are lower than  $10^{-8}$  for the first experiment and lower than  $10^{-3}$  for the second experiment).

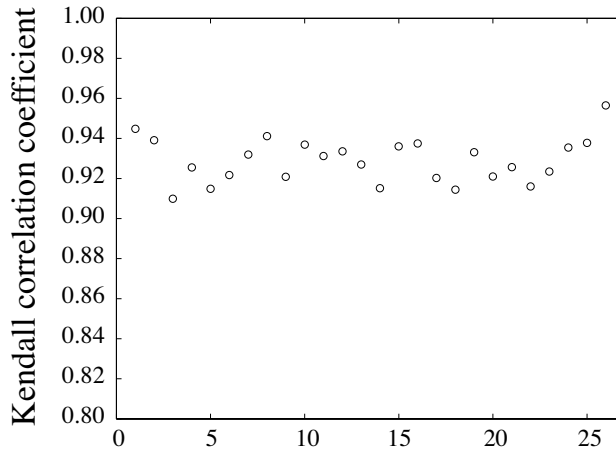


Fig. 8. Uniform cylindrical distribution

## 7 Conclusion

Here we have proposed a new operator to perform discrete Radon-like projections (and back-projections) in emission tomography. These operators are based on non-additive aggregation methods using non-summative kernel-based Radon matrices. A consequence of using such a method is that the projected (or backprojected) values are imprecise, i.e. known as an interval. The length of this interval varies according to the spread of all values obtained using conventional approaches with different conventional Radon matrices. We have carried out theoretical and experimental studies to highlight the properties of the interval provided by this new operator and particularly the correlation of its length with the random variation of the image under reconstruction.

In the experiment, we have shown that the difference between the upper and lower back-projection of a sinogram is highly correlated with the variance of the distribution of its conventional back-projections.

In a future study, we would like to utilize the potential of this new operator to project the error and propose new reconstruction methods to obtain a reconstructed image whose confidence interval is known. Other studies must be carried out to design proper statistical tools to handle these intervals. Such methods are highly connected to imprecise probability approach [19] This will be done prior to clinical validation studies.

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