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# Counting ordered patterns in words generated by morphisms

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## Abstract

We start a general study of counting the number of occurrences of ordered patterns in words generated by morphisms. We consider certain patterns with gaps (classical patterns) and that with no gaps (consecutive patterns). Occurrences of the patterns are known, in the literature, as rises, descents, (non-)inversions, squares and  $p$ -repetitions. We give recurrence formulas in the general case, then deducing exact formulas for particular families of morphisms. Many (classical or new) examples are given illustrating the techniques and showing their interest.

Keywords: morphisms, ordered patterns, rises, descents, inversions, repetitions

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# 1 Introduction

Different notions of *pattern* can be encountered in several domains of combinatorics.

In algebraic combinatorics, an occurrence of a pattern  $p$  in a permutation  $\pi$  is a subsequence of  $\pi$  (of the same length as the length of  $p$ ) whose elements are in the same relative order as those in  $p$ . For example, the permutation  $\pi = 536241$  contains an occurrence of the pattern  $p = 2431$  : indeed the elements of the subsequence 3641 of  $\pi$  are in the same relative order as those in  $p$ . Examples of results concern permutations avoiding a pattern of length 3 in the symmetric group  $S_n$  (see [19, 30]).

Motivated by the study of Mahonian statistics, Babson and Steingrímsson introduced a generalization where two adjacent elements of the pattern must also be adjacent in the permutation [4]. In Claeson, 2001 [11] this generalization provides interesting results related to set partitions, Dyck paths, Motzkin paths, or involutions.

In combinatorics on words, an occurrence of a pattern  $p$  in a word  $u$  is a factor of  $u$  having the same shape as  $p$ , i.e., for which there exists a nonerasing morphism transforming  $p$  in this factor. For example the word  $u = abaabaaabab$  contains an occurrence of the pattern  $p = \alpha\alpha\beta\alpha\alpha\beta$  : indeed the morphism  $f(\alpha) = a$ ,  $f(\beta) = ba$  transforms the pattern  $p$  in  $aabaaaba$  which is a factor of  $u$ . The main question is to determine whether or not a given pattern is unavoidable, that is if it is possible to construct an infinite word containing no occurrence of the pattern. The interested reader should refer to Chapter 3 of Lothaire, 2002 [22].

In Burstein, 1998 [7], and Burstein and Mansour, 2002, 2003 [8, 9, 10] the authors realized a “mixing” of these two notions. They consider ordered alphabets. Here, an occurrence of a pattern in a word is a factor or a subsequence having the same shape, and in which the relative order of the letters is the same as in the pattern. For example, on the alphabet  $\{a, b\}$  with  $a < b$ , the word  $u = abaaabab$  contains an occurrence of the pattern 2111 (the factor  $baaa$ ) but not of the pattern 1222 ( $abbb$  is not a factor of  $u$ ). However, the word  $u$  contains an occurrence of the pattern with gaps 1#2#2#2 because  $abbb$  is a subsequence of  $u$  (here # means that the letters corresponding to 1 and 2 may be not consecutive). To avoid confusion with previous notions we call these patterns *ordered patterns* (with gaps if there is at least one #, with no gaps if there is no #).

In Kitaev, Mansour and Séébold, 2004 [18] we computed the number of occurrences of a lot of ordered patterns in the Peano words (words corresponding to finite approximations of the Peano space filling curve). An interesting property of these words is that they are generated by a tag-system, i.e., by applying two morphisms. A motivation for this choice is the interest in studying classes of words defined by iterative schemes, in particular with morphisms that are a fundamental tool of formal languages [2, 22, 27].

In the present paper we start a general study of counting the number of occurrences of ordered patterns in words generated by morphisms. After some preliminaries (Section 2), we give in Section 3 some general results (recurrence formulas) on counting elementary ordered patterns with gaps ((*non-*)*inversions* and *p-repetitions*) in words generated by morphisms, and applications to two well known binary words. Section 4 is dedicated to more precise results (exact formulas) in the case of a particular family of morphisms, and in Section 5 we give many examples of morphisms belonging to this family. Section 6 is dedicated to counting elementary ordered patterns with no gaps (*rises*, *descents*, and *squares*) in words generated by morphisms and giving some examples.

## 2 Preliminaries

### 2.1 Definitions and notations

The terminology and notations are mainly those of Lothaire, 2002 [22].

Let  $A$  be a finite set called *alphabet* and  $A^*$  the free monoid generated by  $A$ . The elements of  $A$  are called *letters* and those of  $A^*$  are called *words*. The *empty word*  $\varepsilon$  is the neutral element of  $A^*$  for the concatenation of words (the *concatenation* of two words  $u$  and  $v$  is the word  $uv$ ), and we denote by  $A^+$  the semigroup  $A^* \setminus \{\varepsilon\}$ .

The *length* of a word  $u$ , denoted by  $|u|$ , is the number of occurrences of letters in  $u$ . In particular  $|\varepsilon| = 0$ . If  $n$  is a nonnegative integer,  $u^n$  is the word obtained by concatenating  $n$  occurrences of the word  $u$ . Of course,  $|u^n| = n \cdot |u|$ . The cases  $n = 2$ , and  $n = 3$  deserve a particular attention in what follows. A word  $u^2$  (resp.  $u^3$ ), with  $u \neq \varepsilon$ , is called a *square* (resp. a *cube*).

A word  $w$  is called a *factor* (resp. a *prefix*) of  $u$  if there exist words  $x, y$  such that  $u = xwy$  (resp.  $u = wy$ ). The factor (resp. the prefix) is *proper* if  $xy \neq \varepsilon$  (resp.  $y \neq \varepsilon$ ). The number of distinct

occurrences of  $w$  in  $u$  is denoted by  $|u|_w$ . A word  $u$  is a *subsequence* of the word  $v$  if there exist words  $u_1, \dots, u_n, v_1, \dots, v_n, v_{n+1}$  such that  $u = u_1 \cdots u_n$  and  $v = v_1 u_1 v_2 u_2 \cdots v_n u_n v_{n+1}$ .

An *infinite word* (or *sequence*) over  $A$  is an application  $\mathbf{a} : \mathbb{N} \rightarrow A$ . It is written  $\mathbf{a} = a_0 a_1 \cdots a_i \cdots$ ,  $i \in \mathbb{N}$ ,  $a_i \in A$ .

The notion of factor is extended to infinite words as follows: a (finite) word  $u$  is a *factor* (resp. *prefix*) of an infinite word  $\mathbf{a}$  over  $A$  if there exist  $n \in \mathbb{N}$  (resp.  $n = 0$ ) and  $m \in \mathbb{N}$  ( $m = |u|$ ) such that  $u = a_n \cdots a_{n+m-1}$  (by convention  $a_n \cdots a_{n-1} = \varepsilon$ ).

In what follows, we will consider morphisms on  $A$ . Let  $B$  be an alphabet (often,  $B = A$ ).

A *morphism on  $A$*  is an application  $f : A^* \rightarrow B^*$  such that  $f(uv) = f(u)f(v)$  for all  $u, v \in A^*$ . It is uniquely determined by its value on the alphabet  $A$ . A morphism  $f$  on  $A$  is a *literal morphism* if  $|f(a)| = 1$  for all  $a \in A$ .

Now  $A = B$ . Let  $n$  be a non-negative integer. The *incidence matrix* of  $f^n$  is the  $k \times k$  matrix

$$M(f^n) = (m_{n,i,j})_{1 \leq i,j \leq k}$$

where  $m_{n,i,j}$  is the number of occurrences of the letter  $a_i$  in the word  $f^n(a_j)$ , i.e.,  $m_{n,i,j} = |f^n(a_j)|_{a_i}$ . For details on the incidence matrix of a morphism see, e.g., [2], chapter 8, in which is given the following.

**Property 1** For every  $n \in \mathbb{N}$ ,  $M(f)^n = M(f^n)$ .

A morphism is *nonerasing* if  $f(a) \neq \varepsilon$  for all  $a \in A$ . Such a morphism is *prolongable on  $x_0$* ,  $x_0 \in A^+$ , if there exists  $u \in A^+$  such that  $f(x_0) = x_0 u$ . In this case, for all  $n \in \mathbb{N}$  the word  $f^n(x_0)$  is a proper prefix of the word  $f^{n+1}(x_0)$  and this defines a unique infinite word

$$\mathbf{x} = x_0 u f(u) f^2(u) \cdots f^n(u) \cdots$$

which is the limit of the sequence  $(f^n(x_0))_{n \geq 0}$ . We write  $\mathbf{x} = f^\omega(x_0)$  and say that  $\mathbf{x}$  is *generated* by  $f$ .

A (finite or infinite) word  $u$  over  $A$  is *square-free* (resp. *cube-free*) if none of its factors is a square (resp. a cube). A morphism  $f$  on  $A$  is *square-free* if the word  $f(u)$  is square-free whenever  $u$  is a square-free word. The morphism  $f$  is *weakly square-free* if  $f$  generates a square-free infinite word.

A *tag-system* is a quintuple  $T = (A, u, f, g, B)$  where  $A$  and  $B$  are alphabets,  $u \in A^+$ ,  $f$  is a nonerasing morphism on  $A$ , prolongable on  $u$ , and  $g$  is a morphism from  $A$  onto  $B$ . An infinite word  $\mathbf{y}$  is generated by  $G$  if  $\mathbf{y} = g((f^k)^\omega(u))$  for some  $k \in \mathbb{N}$ .

Remark that what we call here a tag-system is sometimes called a *HDOL-system*. The terminology of tag-system comes from the fundamental study of Cobham [12]. Chapter 5 of [26] is dedicated to a deep study of DOL-systems.

## 2.2 Ordered patterns

Let  $A$  be a totally ordered alphabet and let  $\aleph$  be the ordered alphabet whose letters are the first  $n$  positive integers in the usual order (thus  $\aleph = \{1, 2, \dots, n\}$ ).

An *ordered pattern* is any word<sup>1</sup> over  $\aleph \cup \{\#\}$ ,  $\# \notin \aleph$ , without two consecutive  $\#$ . If a pattern contains at least one  $\#$ , not at the very beginning or at the very end, it is an *ordered pattern with gaps*; otherwise it is an *ordered pattern with no gaps*<sup>2</sup>. Moreover, in this paper the ordered patterns  $u$ ,  $\#u$ ,  $u\#$ , and  $\#u\#$  are considered to be the same. In particular, if  $x$  is a word over  $\aleph$ , we will write  $(x\#)^\ell$  or  $(\#x)^\ell$  to represent the ordered pattern  $x\#x\# \cdots \#x$  containing  $\ell$  occurrences of the word  $x$ .

A word  $v$  over  $A$  contains an occurrence of the ordered pattern  $u = u_1 \# u_2 \# \cdots \# u_n$ ,  $u_i \in \aleph^+$  and  $n \geq 1$ , (or, equivalently the ordered pattern  $u$  occurs in  $v$ ) if  $v = w_0 v_1 w_1 v_2 w_2 \cdots w_{n-1} v_n w_n$  and there exists a literal morphism  $f : \aleph^* \rightarrow A^*$  such that  $f(u_i) = v_i$ ,  $1 \leq i \leq n$ , and if  $x, y \in \aleph$ ,  $x < y \Rightarrow f(x) < f(y)$ . Thus the word  $v$  contains an occurrence of the ordered pattern  $u$  if  $v$  contains a subsequence  $v'$  which is equal to  $f(u')$  where  $u'$  is obtained from  $u$  by deleting all the occurrences of  $\#$ , with the additional condition that two adjacent (not separated by  $\#$ ) letters in  $u$  must be adjacent in  $v$ . The number of different occurrences of  $u$  as an ordered pattern in  $v$  is denoted by  $|v|_u$  (this is coherent with the classical

<sup>1</sup>In algebraic combinatorics when defining a pattern it is claimed that each letter from the interval  $[k]$  must occur at least once. This requirement is not useful here, what is important is the relative value of each letter because this gives the order. However it will be often implicit that these letters (which are only formal representations of the pattern) are taken in the order from 1.

<sup>2</sup>Our choice here is to use terminology of combinatorics on words. For example, our notion of *pattern with no gaps* is often referred to as *pattern without internal dashes* or *consecutive pattern* in the literature about algebraic combinatorics (see, e.g., Kitaev, 2003 [17]). However this terminology does not seem to be solid since Burstein and Mansour used *subword pattern without hyphens* [10], and *segmented pattern* is also encountered.

notation because if  $u$  is a single letter then  $|v|_u$  is just the number of occurrences of the letter  $u$  in the word  $v$ ).

*Example.* Let  $A = \{a, b, c, d, e, f\}$  with  $a < b < c < d < e < f$ . The word  $v = eafdbc$  contains one occurrence of the ordered pattern  $2\#31$ , namely the subsequence  $efd$  ( $|eafdbc|_{2\#31} = 1$ ). In  $v$ , the ordered pattern  $2\#3\#1$  occurs in three occurrences:  $efd$ ,  $efb$ , and  $efc$  ( $|eafdbc|_{2\#3\#1} = 3$ ); the ordered pattern  $231$  does not occur in  $v$  ( $|eafdbc|_{231} = 0$ ).

### 3 Ordered patterns with gaps and morphisms

Let  $k$  be an integer ( $k \geq 2$ ) and  $A$  the  $k$ -letter ordered alphabet  $A = \{a_1 < a_2 < \dots < a_k\}$ .

Let  $f$  be any morphism on  $A$ : for  $1 \leq i \leq k$ ,  $f(a_i) = a_{i_1} \dots a_{i_{p_i}}$  with  $p_i \geq 0$  ( $p_i = 0$  if and only if  $f(a_i) = \varepsilon$ ).

#### 3.1 Inversions, non-inversions, and repetitions with gaps of $f^n$

In what follows we are interested in some particular forms of ordered patterns. In accordance with permutations theory, an *inversion* (resp. *non-inversion*) is an occurrence of the ordered pattern  $2\#1$  (resp.  $1\#2$ ). *Repetitions with gaps of one letter* are occurrences of the ordered patterns  $(1\#)^p$ ,  $p \geq 1$ .

##### 3.1.1 Inversions and non-inversions

Let  $n$  be a non-negative integer.

The *vector<sup>3</sup> of non-inversions* (denoted by  $RG$  for "rises with gaps") of  $f^n$  is the  $k$  vector whose  $i$ -th entry is the number of occurrences of the ordered pattern  $1\#2$  in the word  $f^n(a_i)$ , i.e.,

$$RG(f^n) = (|f^n(a_i)|_{1\#2})_{1 \leq i \leq k}.$$

The *vector of inversions* (denoted by  $DG$  for "descents with gaps") of  $f^n$  is the  $k$  vector whose  $i$ -th entry is the number of occurrences of the ordered pattern  $2\#1$  in the word  $f^n(a_i)$ , i.e.,

$$DG(f^n) = (|f^n(a_i)|_{2\#1})_{1 \leq i \leq k}.$$

Our goal is to obtain recurrence formulas giving the entries of  $RG(f^{n+1})$  and  $DG(f^{n+1})$ . Since  $f^{n+1} = f^n \circ f = f \circ f^n$ , we have two different ways to compute  $RG(f^{n+1})$  and  $DG(f^{n+1})$ .

Let  $\ell$  be an integer,  $1 \leq \ell \leq k$ . Either  $|f^{n+1}(a_\ell)|_{1\#2}$  (resp.  $|f^{n+1}(a_\ell)|_{2\#1}$ ) will be obtained from the value of  $f(a_\ell)$  and the entries of  $RG(f^n)$  (resp.  $DG(f^n)$ ) (see 1. below), or it will be computed from the values of  $RG(f)$  (resp.  $DG(f)$ ) and  $f^n(a_\ell)$  (see 2. below).

1. From  $f^{n+1} = f^n \circ f$ .

Since  $f(a_\ell) = a_{\ell_1} \dots a_{\ell_{p_\ell}}$ , the number of occurrences of the ordered pattern  $1\#2$  in  $f^{n+1}(a_\ell) = f^n(f(a_\ell)) = f^n(a_{\ell_1} \dots a_{\ell_{p_\ell}})$  is obtained by adding two values:

- the number of occurrences of the ordered pattern  $1\#2$  in each  $f^n(a_{\ell_i})$ ,  $1 \leq i \leq p_\ell$ . Since the  $\ell$ -th column of the incidence matrix of  $f$  indicates which letters appear in  $f(a_\ell)$  (and how many times), this number is obtained by multiplying the vector  $RG(f^n)$  by the  $\ell$ -th column of  $M(f)$ , i.e., it is equal to  $\sum_{t=1}^k |f^n(a_t)|_{1\#2} \cdot m_{1,t,\ell}$ ,
- the number of occurrences of the ordered pattern  $1\#2$  in each of the  $f^n(a_{\ell_i} a_{\ell_j})$ ,  $1 \leq i < j \leq p_\ell$ , where the letter corresponding to 1 is in  $f^n(a_{\ell_i})$  and the letter corresponding to 2 is in  $f^n(a_{\ell_j})$ . In what follows we will call such an occurrence of  $1\#2$  in  $f^n(a_{\ell_i} a_{\ell_j})$  an *external* occurrence of the ordered pattern  $1\#2$  in  $f^n(a_{\ell_i} a_{\ell_j})$ , and denote it  $|f^n(a_{\ell_i} a_{\ell_j})|_{1\#2}^{ext}$ .

The value of  $|f^n(a_{\ell_i} a_{\ell_j})|_{1\#2}^{ext}$  is obtained by adding, for all the integers  $r$ ,  $1 \leq r \leq k - 1$ , the product of the number of occurrences of the letter  $a_r$  in  $f^n(a_{\ell_i})$  ( $|f^n(a_{\ell_i})|_{a_r}$ ) by the number

<sup>3</sup>The computation of the number of ordered patterns in words is related to so-called *Parikh matrices*. For example the number of occurrences of the ordered pattern  $1\#2$  in a word  $w$  over a three-letter alphabet is equal to  $M_{1,3} + M_{2,4}$  where  $M$  is the Parikh matrix of  $w$  (for more informations on Parikh matrices see, e.g., Mateescu *et al.*, 2001 [23] or Fossé and Richomme, 2004 [14]). However Parikh matrices are not well adapted to compute the number of most of the ordered patterns (for example in the case of ordered patterns as  $1\#2\#1$  or  $1\#23$ ) which explains that here we use another more convenient representation with vectors.

of occurrences of all the letters of  $f^n(a_{\ell_j})$  greater than  $a_r$  ( $|f^n(a_{\ell_j})|_{a_s}$ ,  $r+1 \leq s \leq k$ ). This gives  $\sum_{r=1}^{k-1} (m_{n,r,\ell_i} \cdot \sum_{s=r+1}^k m_{n,s,\ell_j})$ .

The number of external occurrences of  $1\#2$  in all the  $f^n(a_{\ell_i}a_{\ell_j})$ ,  $1 \leq i < j \leq p_\ell$ , is thus given by  $\sum_{1 \leq i < j \leq p_\ell} |f^n(a_{\ell_i}a_{\ell_j})|_{1\#2}^{ext} = \sum_{1 \leq i < j \leq p_\ell} (\sum_{r=1}^{k-1} (m_{n,r,\ell_i} \cdot \sum_{s=r+1}^k m_{n,s,\ell_j}))$ .

2. From  $f^{n+1} = f \circ f^n$ .

Let  $q_\ell = |f^n(a_\ell)|$ :  $f^{n+1}(a_\ell) = f(f^n(a_\ell)) = f(a_{\ell'_1} \dots a_{\ell'_{q_\ell}})$ . Here the number of occurrences of the ordered pattern  $1\#2$  in  $f^{n+1}(a_\ell)$  is obtained by adding

- the number of occurrences of the ordered pattern  $1\#2$  in each  $f(a_{\ell'_i})$ ,  $1 \leq i \leq q_\ell$ . Since the  $\ell$ -th column of the incidence matrix of  $f^n$  indicates which letters appear in  $f^n(a_\ell)$  (and how many times), this number is obtained by multiplying the vector  $RG(f)$  by the  $\ell$ -th column of  $M(f^n)$ , i.e., it is equal to  $\sum_{t=1}^k |f(a_t)|_{1\#2} \cdot m_{n,t,\ell}$ ,
- the number of external occurrences of the ordered pattern  $1\#2$  in each of the  $f(a_{\ell'_i}a_{\ell'_j})$ ,  $1 \leq i < j \leq q_\ell$ . This number is obtained by adding, for all the integers  $r$ ,  $1 \leq r \leq k-1$ , the product of the number of occurrences of the letter  $a_r$  in  $f(a_{\ell'_i})$  ( $|f(a_{\ell'_i})|_{a_r}$ ) by the number of occurrences of all the letters of  $f(a_{\ell'_j})$  greater than  $a_r$  ( $|f(a_{\ell'_j})|_{a_s}$ ,  $r+1 \leq s \leq k$ ). This gives  $\sum_{r=1}^{k-1} (m_{1,r,\ell'_i} \cdot \sum_{s=r+1}^k m_{1,s,\ell'_j})$ .

The number of external occurrences of  $1\#2$  in all the  $f(a_{\ell'_i}a_{\ell'_j})$ ,  $1 \leq i < j \leq q_\ell$ , is thus given by  $\sum_{1 \leq i < j \leq q_\ell} |f(a_{\ell'_i}a_{\ell'_j})|_{1\#2}^{ext} = \sum_{1 \leq i < j \leq q_\ell} (\sum_{r=1}^{k-1} (m_{1,r,\ell'_i} \cdot \sum_{s=r+1}^k m_{1,s,\ell'_j}))$ .

The same reasoning applies for calculating the entries of  $DG(f^{n+1})$ , replacing  $1\#2$  by  $2\#1$  and “greater” by “smaller”.

Thus we have the following.

**Proposition 1** *For each letter  $a_\ell \in A$ , let  $p_\ell$  and  $q_\ell$  be such that  $f(a_\ell) = a_{\ell_1} \dots a_{\ell_{p_\ell}}$  and  $f^n(a_\ell) = a_{\ell'_1} \dots a_{\ell'_{q_\ell}}$ . Then, for all  $n \in \mathbb{N}$ ,*

$$|f^{n+1}(a_\ell)|_{1\#2} = \sum_{1 \leq i < j \leq p_\ell} (\sum_{r=1}^{k-1} (m_{n,r,\ell_i} \cdot \sum_{s=r+1}^k m_{n,s,\ell_j})) + \sum_{t=1}^k |f^n(a_t)|_{1\#2} \cdot m_{1,t,\ell}, \quad (1)$$

$$= \sum_{1 \leq i < j \leq q_\ell} (\sum_{r=1}^{k-1} (m_{1,r,\ell'_i} \cdot \sum_{s=r+1}^k m_{1,s,\ell'_j})) + \sum_{t=1}^k |f(a_t)|_{1\#2} \cdot m_{n,t,\ell}, \quad (2)$$

$$|f^{n+1}(a_\ell)|_{2\#1} = \sum_{1 \leq i < j \leq p_\ell} (\sum_{r=2}^k (m_{n,r,\ell_i} \cdot \sum_{s=1}^{r-1} m_{n,s,\ell_j})) + \sum_{t=1}^k |f^n(a_t)|_{2\#1} \cdot m_{1,t,\ell}, \quad (3)$$

$$= \sum_{1 \leq i < j \leq q_\ell} (\sum_{r=2}^k (m_{1,r,\ell'_i} \cdot \sum_{s=1}^{r-1} m_{1,s,\ell'_j})) + \sum_{t=1}^k |f(a_t)|_{2\#1} \cdot m_{n,t,\ell}. \quad (4)$$

Of course, the analysis we have done above could be realized to compute more complex ordered patterns with gaps, such as  $1\#23$ ,  $1\#2\#3$ ,  $\dots$ . The only difficulty is to adapt the computation of external inversions and non-inversions.

### 3.1.2 Repetitions of one letter

Let  $n$  be a non-negative integer and  $p$  a positive integer. The *vector of  $p$ -repetitions with gaps of one letter* of  $f^n$  is the  $k$  vector whose  $i$ -th entry is the number of occurrences of the ordered pattern  $(1\#)^p$  in the word  $f^n(a_i)$ , i.e.,

$$R_p G(f^n) = (|f^n(a_i)|_{(1\#)^p})_{1 \leq i \leq k}.$$

The following is obvious.

**Proposition 2** *For each letter  $a_\ell \in A$  and for all  $n \in \mathbb{N}$ ,*

$$|f^n(a_\ell)|_{(1\#)^p} = \sum_{t=1}^k \binom{m_{n,t,\ell}}{p}. \quad (5)$$

### 3.2 Some examples in the binary case

Since equations (1) to (5) are recurrence formulas they are not always suitable to produce exact formulas giving the entries of  $RG(f^n)$ ,  $DG(f^n)$ , and  $R_p G(f^n)$ . However, in some particular cases we obtained such exact formulas. This is in particular the case for the following two classical morphisms on the two-letter ordered alphabet  $\{a_1 < a_2\}$ .

#### 3.2.1 The Thue-Morse morphism

The *Thue-Morse morphism*  $\mu$  was introduced in 1912 by Thue [31], although it was hinted at sixty years earlier by Prouhet [25]. It was discovered independently in 1921 by Morse [24]. This morphism is defined by  $\mu(a_1) = a_1 a_2$ ,  $\mu(a_2) = a_2 a_1$ . It generates the famous *Thue-Morse sequence*  $\mathbf{t} = \mu^\omega(a_1)$  which has been widely studied (see, e.g., Lothaire, 1983 [21], or Allouche and Shallit, 2003 [2], and references therein).

For every positive integers  $n$ , the incidence matrix of  $\mu^n$  is

$$M(\mu^n) = \begin{bmatrix} 2^{n-1} & 2^{n-1} \\ 2^{n-1} & 2^{n-1} \end{bmatrix}.$$

Thus, from equations (1), (3), and (5) we obtain

$$\begin{aligned} |\mu^{n+1}(a_1)|_{1\#2} &= |\mu^{n+1}(a_2)|_{1\#2} = 2^{2(n-1)} + |\mu^n(a_1)|_{1\#2} + |\mu^n(a_2)|_{1\#2}, \\ |\mu^{n+1}(a_1)|_{2\#1} &= |\mu^{n+1}(a_2)|_{2\#1} = 2^{2(n-1)} + |\mu^n(a_1)|_{2\#1} + |\mu^n(a_2)|_{2\#1}, \\ |\mu^n(a_1)|_{(1\#)^p} &= |\mu^n(a_2)|_{(1\#)^p} = 2 \cdot \binom{2^{n-1}}{p}. \end{aligned}$$

Since  $RG(\mu) = \begin{bmatrix} 1 & 0 \end{bmatrix}$  and  $DG(\mu) = \begin{bmatrix} 0 & 1 \end{bmatrix}$ , we obtain from Proposition 1 the following trivial result.

**Corollary 1** *For any integer  $n \geq 2$ ,*

$$RG(\mu^n) = DG(\mu^n) = \begin{bmatrix} 2^{2n-3} & 2^{2n-3} \end{bmatrix} \text{ and } R_p G(\mu^n) = \begin{bmatrix} 2 \cdot \binom{2^{n-1}}{p} & 2 \cdot \binom{2^{n-1}}{p} \end{bmatrix}.$$

#### 3.2.2 The Fibonacci morphism

The *Fibonacci morphism*  $\varphi$  is defined by  $\varphi(a_1) = a_1 a_2$ ,  $\varphi(a_2) = a_1$ . It generates the well known *Fibonacci sequence*  $\mathbf{f} = \varphi^\omega(a_1)$  which has numerous remarkable properties and is the prototype of a Sturmian word (see, e.g., Chapter 2 of Lothaire, 2002 [22]).

Let  $(F_n)_{n \geq -1}$  be the sequence of Fibonacci numbers:  $F_{-1} = 0$ ,  $F_0 = 1$ ,  $F_n = F_{n-1} + F_{n-2}$  for  $n \geq 1$ . The following property of Fibonacci numbers will be useful below.

**Property 2** *For every positive integer  $n$ ,*

$$F_n \cdot F_{n-2} = F_{n-1}^2 + \begin{cases} 1 & \text{if } n \text{ is even,} \\ -1 & \text{if } n \text{ is odd.} \end{cases}$$

An easy computation gives that, for every positive integer  $n$ , the incidence matrix of  $\varphi^n$  is

$$M(\varphi^n) = \begin{bmatrix} F_n & F_{n-1} \\ F_{n-1} & F_{n-2} \end{bmatrix}.$$

The vector of non-inversions of  $\varphi$  is  $RG(\varphi) = \begin{bmatrix} 1 & 0 \end{bmatrix}$ . Moreover, from equation (1), we obtain for  $n \geq 1$

$$\begin{aligned} |\varphi^{n+1}(a_1)|_{1\#2} &= m_{n,1,1} \cdot m_{n,2,2} + |\varphi^n(a_1)|_{1\#2} + |\varphi^n(a_2)|_{1\#2} \\ &= F_n \cdot F_{n-2} + |\varphi^n(a_1)|_{1\#2} + |\varphi^n(a_2)|_{1\#2} \\ &= F_{n-1}^2 + |\varphi^n(a_1)|_{1\#2} + |\varphi^n(a_2)|_{1\#2} + \begin{cases} 1 & \text{if } n \text{ is even,} \\ -1 & \text{if } n \text{ is odd} \end{cases} \quad (\text{see Property 2}). \end{aligned}$$

The vector of inversions of  $\varphi$  is  $DG(\varphi) = \begin{bmatrix} 0 & 0 \end{bmatrix}$ . Moreover, from equation (3), we obtain for  $n \geq 1$

$$\begin{aligned} |\varphi^{n+1}(a_1)|_{2\#1} &= m_{n,2,1} \cdot m_{n,1,2} + |\varphi^n(a_1)|_{2\#1} + |\varphi^n(a_2)|_{2\#1} \\ &= F_{n-1}^2 + |\varphi^n(a_1)|_{2\#1} + |\varphi^n(a_2)|_{2\#1}. \end{aligned}$$

Now,  $|\varphi^{n+1}(a_2)|_{1\#2} = |\varphi^n(a_1)|_{1\#2}$  and  $|\varphi^{n+1}(a_2)|_{2\#1} = |\varphi^n(a_1)|_{2\#1}$  because  $\varphi(a_2) = a_1$ .

From this we obtain direct formulas to compute, for every  $n \geq 0$ ,  $|\varphi^{n+2}(a_1)|_{1\#2}$  and  $|\varphi^{n+2}(a_1)|_{2\#1}$  from the sequence of Fibonacci numbers.

**Corollary 2** For every integer  $n \geq 0$ ,

$$\begin{aligned} |\varphi^{n+2}(a_1)|_{2\#1} &= \sum_{p=0}^n F_p F_{n-p}^2, \\ |\varphi^{n+2}(a_1)|_{1\#2} &= |\varphi^{n+2}(a_1)|_{2\#1} + F_n + \begin{cases} 1 & \text{if } n \text{ is odd,} \\ -1 & \text{if } n \text{ is even.} \end{cases} \end{aligned}$$

*Proof.* Since  $F_0 = 1$  and  $\varphi^2(a_1) = a_1 a_2 a_1$ , the result is obviously true if  $n = 0$ .

Also, since  $F_0 = 1$ ,  $F_1 = 1$ , and  $\varphi^3(a_1) = a_1 a_2 a_1 a_1 a_2$ , the result is true for  $n = 1$ .

Now suppose  $n \geq 2$  and the assertions are true for all  $m < n$ . We prove they are true for  $n$ .

- We first compute  $|\varphi^{n+2}(a_1)|_{2\#1}$ .

$$\begin{aligned} |\varphi^{n+2}(a_1)|_{2\#1} &= F_n^2 + |\varphi^{n+1}(a_1)|_{2\#1} + |\varphi^n(a_1)|_{2\#1} \\ &= F_n^2 + \sum_{p=0}^{n-1} F_p F_{n-1-p}^2 + \sum_{p=0}^{n-2} F_p F_{n-2-p}^2. \end{aligned}$$

$$\begin{aligned} \text{But } \sum_{p=0}^{n-2} F_p F_{n-2-p}^2 &= \sum_{p=1}^{n-1} F_{p-1} F_{n-2-(p-1)}^2 \\ &= \sum_{p=1}^{n-1} F_{p-1} F_{n-1-p}^2. \end{aligned}$$

$$\begin{aligned} \text{Thus } |\varphi^{n+2}(a_1)|_{2\#1} &= F_n^2 + F_0 F_{n-1}^2 + \sum_{p=1}^{n-1} (F_p + F_{p-1}) F_{n-1-p}^2 \\ &= F_n^2 + F_{n-1}^2 + \sum_{p=1}^{n-1} F_{p+1} F_{n-(p+1)}^2 \\ &= F_n^2 + F_{n-1}^2 + \sum_{p=2}^n F_p F_{n-p}^2 \\ &= \sum_{p=0}^n F_p F_{n-p}^2 \text{ (because } F_0 = F_1 = 1). \end{aligned}$$

- For  $|\varphi^{n+2}(a_1)|_{1\#2}$ , we remark that if  $n$  is even then  $n-2$  is even, and  $n-1, n+1$  are odd. And if  $n$  is odd then  $n-2$  is odd, and  $n-1, n+1$  are even. Consequently,

$$\begin{aligned} |\varphi^{n+2}(a_1)|_{1\#2} &= F_n^2 + |\varphi^{n+1}(a_1)|_{1\#2} + |\varphi^n(a_2)|_{1\#2} + \begin{cases} 1 & \text{if } n+1 \text{ is even (} n \text{ odd),} \\ -1 & \text{if } n+1 \text{ is odd (} n \text{ even)} \end{cases} \\ &= F_n^2 + \sum_{p=0}^{n-1} F_p F_{n-1-p}^2 + F_{n-1} + 1 + \sum_{p=0}^{n-2} F_p F_{n-2-p}^2 + F_{n-2} - 1 + \begin{cases} 1 & \text{if } n \text{ is odd,} \\ -1 & \text{if } n \text{ is even} \end{cases} \\ &= \sum_{p=0}^n F_p F_{n-p}^2 + F_{n-1} + F_{n-2} + \begin{cases} 1 & \text{if } n \text{ is odd,} \\ -1 & \text{if } n \text{ is even} \end{cases} \\ &= \sum_{p=0}^n F_p F_{n-p}^2 + F_n + \begin{cases} 1 & \text{if } n \text{ is odd,} \\ -1 & \text{if } n \text{ is even.} \blacksquare \end{cases} \end{aligned}$$

Regarding repetitions of one letter,  $R_p G(\varphi) = \left[ \begin{pmatrix} 1 \\ p \end{pmatrix} + \begin{pmatrix} 1 \\ p \end{pmatrix} \quad \begin{pmatrix} 1 \\ p \end{pmatrix} \right]$  and, for  $n \geq 0$ , the vector  $R_p G(\varphi^{n+2})$  is obtained from equation (5).

**Corollary 3** For any integer  $n \geq 0$ ,

$$R_p G(\varphi^{n+2}) = \left[ \begin{pmatrix} F_{n+2} \\ p \end{pmatrix} + \begin{pmatrix} F_{n+1} \\ p \end{pmatrix} \quad \begin{pmatrix} F_{n+1} \\ p \end{pmatrix} + \begin{pmatrix} F_n \\ p \end{pmatrix} \right].$$

## 4 A particular family of morphisms

Let  $k$  be an integer ( $k \geq 2$ ) and  $A$  the  $k$ -letter ordered alphabet  $A = \{a_1 < a_2 < \dots < a_k\}$ . In this section we are interested in morphisms  $f$  having the following particularities:

1. there exists a positive integer  $m$  such that  $|f(a_1)|_{a_i} = m$ ,  $1 \leq i \leq k$ ,
2. there exists a positive integer  $d$  such that  $|f(a_2 \dots a_k)|_{a_i} = d$ ,  $1 \leq i \leq k$ ,
3. for every  $i, j$ ,  $1 \leq i, j \leq k$ ,  $|f(a_i a_j)|_{1\#2}^{ext} = |f(a_j a_i)|_{1\#2}^{ext}$ .

(Conditions 1. and 2. are particular cases of the more general situation, considered in Theorem 1 below, in which the alphabet  $A$  is partitioned in sets  $A_1, A_2, \dots, A_n$  such that, for each  $A_i$ , the sum of the number of occurrences of each letter in the images of letters of  $A_i$  is the same.)

In this case we are able to give direct formulas to compute  $|f^{n+1}(a_1)|_{1\#2}$  and others from  $m, d$ , and  $n$ .



**Proposition 3** For every positive integer  $n$ ,

$$|f^{n+1}(a_1)|_{1\#2} = m(d+m)^{n-1} \sum_{i=1}^k |f(a_i)|_{1\#2} + \frac{[m(d+m)^{n-1}-1]m(d+m)^{n-1}}{2} \sum_{j=1}^k |f(a_j a_j)|_{1\#2}^{ext} \\ + m^2(d+m)^{2n-2} \sum_{1 \leq i < j \leq k} |f(a_i a_j)|_{1\#2}^{ext},$$

$$|f^{n+1}(a_2 \dots a_k)|_{1\#2} = d(d+m)^{n-1} \sum_{i=1}^k |f(a_i)|_{1\#2} + \frac{[d(d+m)^{n-1}-1]d(d+m)^{n-1}}{2} \sum_{j=1}^k |f(a_j a_j)|_{1\#2}^{ext} \\ + d^2(d+m)^{2n-2} \sum_{1 \leq i < j \leq k} |f(a_i a_j)|_{1\#2}^{ext}.$$

*Proof.* Let  $n \geq 1$ . As in Proposition 1, let  $q_1 = |f^n(a_1)|$  so that  $f^n(a_1) = a_{1'_1} \dots a_{1'_{q_1}}$ . Equation (2) gives

$$|f^{n+1}(a_1)|_{1\#2} = \sum_{1 \leq i < j \leq q_1} (\sum_{r=1}^{k-1} (m_{1,r,1'_i} \cdot \sum_{s=r+1}^k m_{1,s,1'_j})) + \sum_{t=1}^k |f(a_t)|_{1\#2} \cdot m_{n,t,1} \\ = \sum_{1 \leq i < j \leq q_1} |f(a_{1'_i} a_{1'_j})|_{1\#2}^{ext} + \sum_{t=1}^k |f(a_t)|_{1\#2} \cdot |f^n(a_1)|_{a_t}.$$

Now, conditions 1. to 3. above imply that the incidence matrix of  $f^n$  is rather special. From 1. and 2.,  $|f^n(a_1)|_{a_t} = m(d+m)^{n-1}$  for each  $t$ ,  $1 \leq t \leq k$ .

This implies that  $\sum_{t=1}^k |f(a_t)|_{1\#2} \cdot |f^n(a_1)|_{a_t} = m(d+m)^{n-1} \sum_{i=1}^k |f(a_i)|_{1\#2}$ .

This also implies that  $q_1 = km(d+m)^{n-1}$ .

But, from 3., the computation of  $\sum_{1 \leq i < j \leq km(d+m)^{n-1}} |f(a_{1'_i} a_{1'_j})|_{1\#2}^{ext}$ , realized from the word  $f^n(a_1)$  which contains  $m(d+m)^{n-1}$  occurrences of each letter, can be equivalently realized from the word  $a_1^{m(d+m)^{n-1}} a_2^{m(d+m)^{n-1}} \dots a_k^{m(d+m)^{n-1}}$ .

Then the first letter  $a_1$  ( $i = 1$ ) gives  $[m(d+m)^{n-1}-1] \cdot |f(a_1 a_1)|_{1\#2}^{ext} + \sum_{j=2}^k m(d+m)^{n-1} |f(a_1 a_j)|_{1\#2}^{ext}$ .

The second letter  $a_1$  ( $i = 2$ ) gives  $[m(d+m)^{n-1}-2] \cdot |f(a_1 a_1)|_{1\#2}^{ext} + \sum_{j=2}^k m(d+m)^{n-1} |f(a_1 a_j)|_{1\#2}^{ext}$ .

$\vdots$

The last but one letter  $a_1$  ( $i = m(d+m)^{n-1}-1$ ) gives  $1 \cdot |f(a_1 a_1)|_{1\#2}^{ext} + \sum_{j=2}^k m(d+m)^{n-1} |f(a_1 a_j)|_{1\#2}^{ext}$ .

The last letter  $a_1$  ( $i = m(d+m)^{n-1}$ ) gives  $0 \cdot |f(a_1 a_1)|_{1\#2}^{ext} + \sum_{j=2}^k m(d+m)^{n-1} |f(a_1 a_j)|_{1\#2}^{ext}$ .

The first letter  $a_2$  ( $i = m(d+m)^{n-1} + 1$ ) gives  $[m(d+m)^{n-1}-1] \cdot |f(a_2 a_2)|_{1\#2}^{ext} + \sum_{j=3}^k m(d+m)^{n-1} |f(a_2 a_j)|_{1\#2}^{ext}$ .

And so on.

Consequently  $\sum_{1 \leq i < j \leq km(d+m)^{n-1}} |f(a_{1'_i} a_{1'_j})|_{1\#2}^{ext}$

$$= \sum_{i=0}^{m(d+m)^{n-1}-1} i \cdot \sum_{j=1}^k |f(a_j a_j)|_{1\#2}^{ext} + m(d+m)^{n-1} \sum_{i=1}^{k-1} \sum_{j=i+1}^k m(d+m)^{n-1} |f(a_i a_j)|_{1\#2}^{ext} \\ = \frac{[m(d+m)^{n-1}-1]m(d+m)^{n-1}}{2} \sum_{j=1}^k |f(a_j a_j)|_{1\#2}^{ext} + m^2(d+m)^{2n-2} \sum_{1 \leq i < j \leq k} |f(a_i a_j)|_{1\#2}^{ext}.$$

Thus  $|f^{n+1}(a_1)|_{1\#2} = m(d+m)^{n-1} \sum_{i=1}^k |f(a_i)|_{1\#2} + \frac{[m(d+m)^{n-1}-1]m(d+m)^{n-1}}{2} \sum_{j=1}^k |f(a_j a_j)|_{1\#2}^{ext} + m^2(d+m)^{2n-2} \sum_{1 \leq i < j \leq k} |f(a_i a_j)|_{1\#2}^{ext}$ .

Now, for  $|f^{n+1}(a_2 \dots a_k)|_{1\#2}$ , equation (2) gives

$$|f^{n+1}(a_2 \dots a_k)|_{1\#2} = \sum_{\ell=2}^k (\sum_{1 \leq i < j \leq q_\ell} |f(a_{\ell'_i} a_{\ell'_j})|_{1\#2}^{ext} + \sum_{t=1}^k |f(a_t)|_{1\#2} \cdot |f^n(a_\ell)|_{a_t}) \\ = \sum_{\ell=2}^k \sum_{1 \leq i < j \leq q_\ell} |f(a_{\ell'_i} a_{\ell'_j})|_{1\#2}^{ext} + \sum_{t=1}^k \sum_{\ell=2}^k |f(a_t)|_{1\#2} \cdot |f^n(a_\ell)|_{a_t}.$$

Again from 1. and 2.,  $\sum_{\ell=2}^k |f^n(a_\ell)|_{a_t} = d(d+m)^{n-1}$  for each  $t$ ,  $1 \leq t \leq k$ .

In particular,  $\sum_{\ell=2}^k \sum_{1 \leq i < j \leq q_\ell} |f(a_{\ell'_i} a_{\ell'_j})|_{1\#2}^{ext} = \sum_{1 \leq i < j \leq kd(d+m)^{n-1}} |f(a_{\ell'_i} a_{\ell'_j})|_{1\#2}^{ext}$ .

As above, the computation can be realized from the word  $a_1^{d(d+m)^{n-1}} a_2^{d(d+m)^{n-1}} \dots a_k^{d(d+m)^{n-1}}$ .

$$\begin{aligned} \text{This gives } & \sum_{1 \leq i < j \leq kd(d+m)^{n-1}} |f(a_{\ell'_i} a_{\ell'_j})|_{1\#2}^{ext} \\ &= \sum_{i=0}^{d(d+m)^{n-1}-1} i \cdot \sum_{j=1}^k |f(a_j a_j)|_{1\#2}^{ext} + d(d+m)^{n-1} \sum_{i=1}^{k-1} \sum_{j=i+1}^k d(d+m)^{n-1} |f(a_i a_j)|_{1\#2}^{ext} \\ &= \frac{[d(d+m)^{n-1}-1]d(d+m)^{n-1}}{2} \sum_{j=1}^k |f(a_j a_j)|_{1\#2}^{ext} + d^2(d+m)^{2n-2} \sum_{1 \leq i < j \leq k} |f(a_i a_j)|_{1\#2}^{ext}. \blacksquare \end{aligned}$$

Now the same reasoning can be applied for  $|f^{n+1}(a_1)|_{2\#1}$  and  $|f^{n+1}(a_2 \dots a_k)|_{2\#1}$ , because of the following obvious property.

**Property 3** *Let  $f$  be a morphism on  $A$ . For every non-negative integer  $n$ , and for every integers  $i, j$ ,  $1 \leq i, j \leq k$ ,  $|f^n(a_i a_j)|_{1\#2}^{ext} = |f^n(a_j a_i)|_{2\#1}^{ext}$ .*

Thus, using equation (4), we have the following.

**Proposition 4** *For every positive integer  $n$ ,*

$$\begin{aligned} |f^{n+1}(a_1)|_{2\#1} &= m(d+m)^{n-1} \sum_{i=1}^k |f(a_i)|_{2\#1} + \frac{[m(d+m)^{n-1}-1]m(d+m)^{n-1}}{2} \sum_{j=1}^k |f(a_j a_j)|_{2\#1}^{ext} \\ &\quad + m^2(d+m)^{2n-2} \sum_{1 \leq i < j \leq k} |f(a_i a_j)|_{2\#1}^{ext}, \\ |f^{n+1}(a_2 \dots a_k)|_{2\#1} &= d(d+m)^{n-1} \sum_{i=1}^k |f(a_i)|_{2\#1} + \frac{[d(d+m)^{n-1}-1]d(d+m)^{n-1}}{2} \sum_{j=1}^k |f(a_j a_j)|_{2\#1}^{ext} \\ &\quad + d^2(d+m)^{2n-2} \sum_{1 \leq i < j \leq k} |f(a_i a_j)|_{2\#1}^{ext}. \end{aligned}$$

The previous reasoning can of course be applied if conditions 1. and 2. are verified for any partition of the alphabet (in Propositions 3 and 4 the partition is in two sets  $A = \{a_1\} \cup \{a_2 \dots a_k\}$ ). Then we obtain the following general result.

**Theorem 1** *Let  $k$  be an integer ( $k \geq 2$ ), and  $A$  the  $k$ -letter ordered alphabet  $A = \{a_1 < a_2 < \dots < a_k\}$ . Let  $f$  be a morphism on  $A$  fulfilling the following conditions:*

- *there exist a positive integer  $p$  and a set of  $p$  positive integers  $\{m_1, \dots, m_p\}$  such that  $A$  can be partitioned into  $p$  subsets  $A_1, \dots, A_p$  with  $\sum_{a \in A_\ell} |f(a)|_{a_i} = m_\ell$ ,  $1 \leq i \leq k$ ,*
- *for every  $i, j$ ,  $1 \leq i, j \leq k$ ,  $|f(a_i a_j)|_{1\#2}^{ext} = |f(a_j a_i)|_{1\#2}^{ext}$ .*

*Let  $M = m_1 + \dots + m_p$  and let  $u = 1\#2$  or  $u = 2\#1$ . Then, for every positive integer  $n$  and for each  $A_\ell$ ,  $1 \leq \ell \leq p$ ,*

$$\begin{aligned} \sum_{a \in A_\ell} |f^{n+1}(a)|_u &= m_\ell M^{n-1} \sum_{i=1}^k |f(a_i)|_u + \frac{(m_\ell M^{n-1}-1)m_\ell M^{n-1}}{2} \sum_{j=1}^k |f(a_j a_j)|_u^{ext} \\ &\quad + m_\ell^2 M^{2n-2} \sum_{1 \leq i < j \leq k} |f(a_i a_j)|_u^{ext}. \end{aligned}$$

## 5 Examples

In this section we give a series of examples of application of Theorem 1. The first ones (5.1 to 5.4) are related to the well known Thue-Morse morphism and they give results that of course can be found with some other techniques, but they are presented in order to make the results more comprehensible. The last ones are original; they illustrate some general representative situations.

### 5.1 The Thue-Morse morphism

The Thue-Morse morphism (see Section 3.2) is the simplest example of a morphism fulfilling conditions 1. to 3. above. Indeed  $m = d = 1$ , and  $|\mu(a_1 a_2)|_{1\#2}^{ext} = |a_1 a_2 a_2 a_1|_{1\#2}^{ext} = 1 = |a_2 a_1 a_1 a_2|_{1\#2}^{ext} = |\mu(a_2 a_1)|_{1\#2}^{ext}$ ,  $|\mu(a_1 a_1)|_{1\#2}^{ext} = |\mu(a_2 a_2)|_{1\#2}^{ext} = 1$ . Since  $|\mu(a_1)|_{1\#2} = |\mu(a_2)|_{2\#1} = 1$ , and  $|\mu(a_1)|_{2\#1} = |\mu(a_2)|_{1\#2} = 0$ , we obtain from Propositions 3 and 4 that  $|\mu^{n+1}(a_1)|_{1\#2} = |\mu^{n+1}(a_1)|_{2\#1} = |\mu^{n+1}(a_2)|_{1\#2} = |\mu^{n+1}(a_2)|_{2\#1} = 2^{2n-1}$  (see Corollary 1 above).

## 5.2 The Istrail morphism

In Istrail, 1977 [15] is given the following well known example of a weakly square-free morphism. The morphism  $h$  is defined on the three-letter ordered alphabet  $A = \{a_1 < a_2 < a_3\}$  by

$$h(a_1) = a_1a_2a_3, \quad h(a_2) = a_1a_3, \quad h(a_3) = a_2$$

(remark that  $h$  generates a square-free infinite word,  $h^\omega(a_1)$ , but is not a square-free morphism:  $h(a_1a_2a_1) = a_1a_2a_3a_1a_3a_1a_2a_3$  contains the square  $a_3a_1a_3a_1$ ).

The word  $h^\omega(a_1)$  is closely related to the Thue-Morse word  $\mathbf{t}$ . Indeed, let  $B$  be the two-letter alphabet  $B = \{a_1, a_2\}$ , and consider the morphism

$$\begin{aligned} \delta: A^* &\rightarrow B^* \\ a_1 &\mapsto a_1 \\ a_2 &\mapsto a_1a_2 \\ a_3 &\mapsto a_1a_2a_2 \end{aligned}$$

Then  $\mathbf{t} = \delta(h^\omega(a_1))$  (see Proposition 2.3.2 in Lothaire, 1983 [21]).

Here again the morphism  $h$  fulfills conditions 1. to 3. with  $m = d = 1$ . Moreover  $|h(a_1)|_{1\#2} = |h(a_1a_1)|_{1\#2}^{ext} = 3$ ,  $|h(a_2)|_{1\#2} = |h(a_2a_2)|_{1\#2}^{ext} = 1$ ,  $|h(a_3)|_{1\#2} = |h(a_3a_3)|_{1\#2}^{ext} = 0$ , and  $|h(a_1a_2)|_{1\#2}^{ext} = 2$ ,  $|h(a_1a_3)|_{1\#2}^{ext} = |h(a_2a_3)|_{1\#2}^{ext} = 1$ . Then, from Proposition 3, for every integer  $n \geq 1$ ,  $|h^{n+1}(a_1)|_{1\#2} = |h^{n+1}(a_2a_3)|_{1\#2} = 3 \cdot 2^{2n-1} + 2^n$ .

From Property 3, the values for  $2\#1$  are the same as for  $1\#2$ , except for  $|h(a_i)|_{2\#1}$ ,  $1 \leq i \leq 3$ . Here  $|h(a_1)|_{2\#1} = |h(a_2)|_{2\#1} = |h(a_3)|_{2\#1} = 0$ . Thus, from Proposition 4, for every integer  $n \geq 1$ ,  $|h^{n+1}(a_1)|_{2\#1} = |h^{n+1}(a_2a_3)|_{2\#1} = 3 \cdot 2^{2n-1} - 2^n$ .

## 5.3 The Prouhet morphisms

In 1851, Prouhet ([25]) gave an algorithm to realize an arithmetic construction. This algorithm produces intermediate infinite words that are a generalization of the Thue-Morse word (see above). It was proved in Séébold, 2002 [28] that these words can be generated by morphisms (see also allouche and Shallit, 2000 [1]).

Let  $k \geq 2$ , and let  $A$  be the  $k$ -letter ordered alphabet  $A = \{a_1 < \dots < a_k\}$ . The *Prouhet morphism*  $\pi_k$  is defined on  $A$  by

$$\pi_k(a_i) = a_i a_{i+1} \dots a_k a_1 \dots a_{i-1}, \quad 1 \leq i \leq k.$$

*Example.* Let  $k = 6$ . The morphism  $\pi_6$  is given by

$$\begin{aligned} a_1 &\mapsto a_1a_2a_3a_4a_5a_6 \\ a_2 &\mapsto a_2a_3a_4a_5a_6a_1 \\ a_3 &\mapsto a_3a_4a_5a_6a_1a_2 \\ a_4 &\mapsto a_4a_5a_6a_1a_2a_3 \\ a_5 &\mapsto a_5a_6a_1a_2a_3a_4 \\ a_6 &\mapsto a_6a_1a_2a_3a_4a_5 \end{aligned}$$

For every  $k$  the morphism  $\pi_k$  fulfills the conditions of Theorem 1. Since, for every  $i$ ,  $1 \leq i \leq k$ , the word  $\pi_k(a_i)$  contains exactly one occurrence of each letter of  $A$ , there are a lot of possibilities to choose the partition of  $A$ . Here we choose  $p = k$  and  $A = A_1 \cup \dots \cup A_k$ ,  $A_i = \{a_i\}$ ,  $1 \leq i \leq k$ . This implies that  $m_i = 1$ ,  $1 \leq i \leq k$  and, of course,  $M = k$ .

Also, for every  $i, j$ ,  $1 \leq i, j \leq k$ ,  $|\pi_k(a_i a_j)|_{1\#2}^{ext} = |\pi_k(a_i a_j)|_{2\#1}^{ext} = \frac{k(k-1)}{2}$ .

Now it is easy to verify that, due to the particular form of the images of the letters by  $\pi_k$ , one has for every  $\ell$ ,  $1 \leq \ell \leq k$ ,  $|\pi_k(a_\ell)|_{1\#2} = \frac{[k-(\ell-1)](k-\ell)}{2} + \frac{(\ell-1)(\ell-2)}{2}$  and  $|\pi_k(a_\ell)|_{2\#1} = (\ell-1)[k-(\ell-1)]$ .

Thus we obtain the following corollary of Theorem 1.

**Corollary 4** *For every  $i$ ,  $1 \leq i \leq k$ , and for every positive integer  $n$ ,*

$$\begin{aligned} |\pi_k^{n+1}(a_i)|_{1\#2} &= \frac{(k-1)k^n}{12} (3k^{n+1} + k - 2), \\ |\pi_k^{n+1}(a_i)|_{2\#1} &= \frac{(k-1)k^n}{12} (3k^{n+1} - k + 2). \end{aligned}$$

*Proof.* From Theorem 1 and from what precedes,

$$\begin{aligned}
|\pi_k^{n+1}(a_i)|_{1\#2} &= k^{n-1} \cdot \sum_{\ell=1}^k \left( \frac{[k-(\ell-1)](k-\ell)}{2} + \frac{(\ell-1)(\ell-2)}{2} \right) + \frac{(k^{n-1}-1)k^{n-1}}{2} \sum_{j=1}^k \frac{k(k-1)}{2} \\
&\quad + k^{2n-2} \cdot \sum_{1 \leq j < \ell \leq k} \frac{k(k-1)}{2} \\
&= k^{n-1} \cdot \left[ \sum_{\ell=1}^k \left( \frac{[k-(\ell-1)](k-\ell)}{2} + \frac{(\ell-1)(\ell-2)}{2} \right) + \frac{(k^{n-1}-1)}{2} \cdot \frac{k^2(k-1)}{2} + k^{n-1} \cdot \left[ \frac{k(k-1)}{2} \right]^2 \right].
\end{aligned}$$

Since  $\sum_{\ell=1}^k [k-(\ell-1)](k-\ell) = \frac{k^3-k}{3}$  and  $\sum_{\ell=1}^k (\ell-1)(\ell-2) = \frac{k}{3}(k-2)(k-1)$ , we obtain

$$\begin{aligned}
|\pi_k^{n+1}(a_i)|_{1\#2} &= k^{n-1} \cdot \left[ \frac{(k+1)}{6} k(k-1) + \frac{(k-2)}{6} k(k-1) + \frac{k(k^{n-1}-1)}{4} k(k-1) + \frac{k^{n-1}k(k-1)}{4} k(k-1) \right] \\
&= \frac{(k-1)k^n}{12} (3k^{n+1} + k - 2).
\end{aligned}$$

The proof is the same for  $|\pi_k^{n+1}(a_i)|_{2\#1}$ , using  $\sum_{\ell=1}^k (\ell-1)[k-(\ell-1)] = \frac{k}{6}(k^2-1)$ . ■

*Example (continued).*

$$\begin{array}{ll}
|\pi_6(a_1)|_{1\#2} = 15, & |\pi_6(a_1)|_{2\#1} = 0, \\
|\pi_6(a_2)|_{1\#2} = 10, & |\pi_6(a_2)|_{2\#1} = 5, \\
|\pi_6(a_3)|_{1\#2} = 7, & |\pi_6(a_3)|_{2\#1} = 8, \\
|\pi_6(a_4)|_{1\#2} = 6, & |\pi_6(a_4)|_{2\#1} = 9, \\
|\pi_6(a_5)|_{1\#2} = 7, & |\pi_6(a_5)|_{2\#1} = 8, \\
|\pi_6(a_6)|_{1\#2} = 10, & |\pi_6(a_6)|_{2\#1} = 5.
\end{array}$$

Here  $k = 6$  thus for every  $i$ ,  $1 \leq i \leq k$ , and for every  $n \geq 1$ ,

$$\begin{aligned}
|\pi_6^{n+1}(a_i)|_{1\#2} &= \frac{5 \cdot 6^n}{12} (3 \cdot 6^{n+1} + 6 - 2) \\
&= 6^{n-1} \cdot (45 \cdot 6^n + 10), \\
|\pi_6^{n+1}(a_i)|_{2\#1} &= 6^{n-1} \cdot (45 \cdot 6^n - 10).
\end{aligned}$$

## 5.4 The Arshon morphisms

In a paper written in 1937 [3], Arshon gives an algorithm to construct for each integer  $n$ ,  $n \geq 3$ , an infinite square-free word over an  $n$ -letter alphabet, and in the case of two letters a cube-free word. It appears now that this construction is closely connected to the use of Prouhet morphisms. In the case of two letters the Arshon word is the Thue-Morse word and Arshon's algorithm gives exactly the Thue-Morse morphism which is a particular case of Prouhet morphism.

The Arshon words were proved to be, in the odd case, an example of infinite words that can be generated by a tag-system but not by a morphism (Berstel, 1980 [5], Currie, 2002 [13], Kitaev, 2003 [16]). In Séébold, 2003 [29] is given a family of morphisms which generates the even case Arshon words (see also Currie, 2002 [13], Kitaev, 2003 [16]). These morphisms are the following.

Let  $k$  be any even positive integer. The morphism  $\beta_k$  is defined, for every  $r$ ,  $1 \leq r \leq k/2$ , by

$$\begin{aligned}
a_{2r-1} &\mapsto a_{2r-1}a_{2r} \dots a_{k-1}a_k a_1 a_2 \dots a_{2r-3}a_{2r-2}, \\
a_{2r} &\mapsto a_{2r-1}a_{2r-2} \dots a_2 a_1 a_k a_{k-1} \dots a_{2r+1}a_{2r}.
\end{aligned}$$

(Remark that, again, though they generate square-free infinite words, the morphisms  $\beta_k$  are not square-free morphisms.)

*Example.* Let  $k = 6$ . The morphism  $\beta_6$  is given by

$$\begin{aligned}
a_1 &\mapsto a_1 a_2 a_3 a_4 a_5 a_6 \\
a_2 &\mapsto a_1 a_6 a_5 a_4 a_3 a_2 \\
a_3 &\mapsto a_3 a_4 a_5 a_6 a_1 a_2 \\
a_4 &\mapsto a_3 a_2 a_1 a_6 a_5 a_4 \\
a_5 &\mapsto a_5 a_6 a_1 a_2 a_3 a_4 \\
a_6 &\mapsto a_5 a_4 a_3 a_2 a_1 a_6
\end{aligned}$$

Of course, since it is obtained from  $\pi_k$  in an obvious manner, the morphism  $\beta_k$  fulfills the conditions of Theorem 1 for every even  $k$ . Since, for every  $i$ ,  $1 \leq i \leq k$ , the word  $\beta_k(a_i)$  contains exactly one occurrence of each letter of  $A$ , there are again a lot of possibilities to choose the partition of  $A$ . Here we choose also  $p = k$  and  $A = A_1 \cup \dots \cup A_k$ ,  $A_i = \{a_i\}$ ,  $1 \leq i \leq k$ . This implies that  $m_i = 1$ ,  $1 \leq i \leq k$  and, of course,  $M = k$ .

Also, for every  $i, j$ ,  $1 \leq i, j \leq k$ ,  $|\beta_k(a_i a_j)|_{1\#2}^{ext} = |\beta_k(a_i a_j)|_{2\#1}^{ext} = \frac{k(k-1)}{2}$ .

Now, again because  $\beta_k$  is directly obtained from  $\pi_k$ , one has for every  $r$ ,  $1 \leq r \leq \frac{k}{2}$ ,

$$\begin{aligned} |\beta_k(a_{2r-1})|_{1\#2} &= \frac{[k-(2r-2)][k-(2r-1)]}{2} + \frac{(2r-2)(2r-3)}{2}, \\ |\beta_k(a_{2r})|_{1\#2} &= (2r-1)[k-(2r-1)], \\ |\beta_k(a_{2r-1})|_{2\#1} &= (2r-2)[k-(2r-2)], \\ |\beta_k(a_{2r})|_{2\#1} &= \frac{[k-(2r-1)](k-2r)}{2} + \frac{(2r-1)(2r-2)}{2}. \end{aligned}$$

Thus we obtain another corollary of Theorem 1.

**Corollary 5** *Let  $k$  be any even positive integer. For every  $i$ ,  $1 \leq i \leq k$ , and for every positive integer  $n$ ,*

$$\begin{aligned} |\beta_k^{n+1}(a_i)|_{1\#2} &= \frac{k^{n-1}}{4} [k^{n+2} \cdot (k-1) + 2k], \\ |\beta_k^{n+1}(a_i)|_{2\#1} &= \frac{k^{n-1}}{4} [k^{n+2} \cdot (k-1) - 2k]. \end{aligned}$$

*Proof.* As for the proof of Corollary 4, we obtain from Theorem 1 and from what precedes,

$$|\beta_k^{n+1}(a_i)|_{1\#2} = k^{n-1} \cdot \left[ \sum_{\ell=1}^k |\beta_k(a_\ell)|_{1\#2} - \frac{k^2(k-1)}{4} \right] + k^{n-1} \cdot \left[ \frac{k^{n+2} \cdot (k-1)}{4} \right].$$

But  $\sum_{\ell=1}^k |\beta_k(a_\ell)|_{1\#2} = \sum_{r=1}^{k/2} [|\beta_k(a_{2r-1})|_{1\#2} + |\beta_k(a_{2r})|_{1\#2}] = \frac{k^2(k-1)}{4} + \frac{k}{2}$ , and the result follows.

The proof is the same for  $|\beta_k^{n+1}(a_i)|_{2\#1}$ , using  $\sum_{\ell=1}^k |\beta_k(a_\ell)|_{2\#1} = \frac{k^2(k-1)}{4} - \frac{k}{2}$ . ■

*Example (continued).*

$$\begin{array}{ll} |\beta_6(a_1)|_{1\#2} = 15, & |\beta_6(a_1)|_{2\#1} = 0, \\ |\beta_6(a_2)|_{1\#2} = 5, & |\beta_6(a_2)|_{2\#1} = 10, \\ |\beta_6(a_3)|_{1\#2} = 7, & |\beta_6(a_3)|_{2\#1} = 8, \\ |\beta_6(a_4)|_{1\#2} = 9, & |\beta_6(a_4)|_{2\#1} = 6, \\ |\beta_6(a_5)|_{1\#2} = 7, & |\beta_6(a_5)|_{2\#1} = 8, \\ |\beta_6(a_6)|_{1\#2} = 5, & |\beta_6(a_6)|_{2\#1} = 10. \end{array}$$

For every  $i$ ,  $1 \leq i \leq k$ , and for every  $n \geq 1$ ,

$$\begin{aligned} |\beta_6^{n+1}(a_i)|_{1\#2} &= \frac{6^{n-1}}{4} \cdot (6^{n+2} \cdot 5 + 2 \cdot 6) \\ &= 6^{n-1} \cdot (45 \cdot 6^n + 3), \\ |\beta_6^{n+1}(a_i)|_{2\#1} &= 6^{n-1} \cdot (45 \cdot 6^n - 3). \end{aligned}$$

## 5.5 Three other examples

To end this list of examples, we give three morphisms that fulfill the conditions of Theorem 1, but are not linked with the Thue-Morse morphism. Moreover they are interesting because the first one is an erasing morphism, the second gives a non trivial partition of the alphabet when applying Theorem 1, and the third is an example of a ternary square-free morphism fulfilling the conditions.

1. Let  $A$  be the four-letter ordered alphabet  $A = \{a_1 < a_2 < a_3 < a_4\}$ . Define the morphism  $f$  by

$$\begin{aligned} f : A^* &\rightarrow A^* \\ a_1 &\mapsto a_1 a_3 a_2 a_4 \\ a_2 &\mapsto \varepsilon \\ a_3 &\mapsto a_1 a_4 \\ a_4 &\mapsto a_2 a_3 \end{aligned}$$

The morphism  $f$  fulfills the conditions of Theorem 1. Here we choose  $p = 3$ ,  $A = A_1 \cup A_2 \cup A_3$  with  $A_1 = \{a_1\}$ ,  $A_2 = \{a_2\}$ ,  $A_3 = \{a_3, a_4\}$ , and  $m_1 = m_3 = 1$ ,  $m_2 = 0$ , thus  $M = 2$ .

One has  $|f(a_1)|_{1\#2} = 5$ ,  $|f(a_3)|_{1\#2} = |f(a_4)|_{1\#2} = 1$ ,  $|f(a_1)|_{2\#1} = 1$ ,  $|f(a_3)|_{2\#1} = |f(a_4)|_{2\#1} = 0$ ,  $|f(a_1 a_1)|_{1\#2}^{ext} = |f(a_1 a_1)|_{2\#1}^{ext} = 6$ ,  $|f(a_3 a_3)|_{1\#2}^{ext} = |f(a_3 a_3)|_{2\#1}^{ext} = |f(a_4 a_4)|_{1\#2}^{ext} = |f(a_4 a_4)|_{2\#1}^{ext} = 1$ ,  $|f(a_1 a_3)|_{1\#2}^{ext} = |f(a_1 a_3)|_{2\#1}^{ext} = |f(a_1 a_4)|_{1\#2}^{ext} = |f(a_1 a_4)|_{2\#1}^{ext} = 3$ ,  $|f(a_3 a_4)|_{1\#2}^{ext} = |f(a_3 a_4)|_{2\#1}^{ext} = 2$ . All the values with  $a_2$  are of course 0.

Then we have the following corollary of Theorem 1.

**Corollary 6** *For every positive integer  $n$ ,*

$$\begin{aligned} |f^{n+1}(a_1)|_{1\#2} &= |f^{n+1}(a_3 a_4)|_{1\#2} = 3 \cdot 2^{n-1} \cdot (2^{n+1} + 1), \\ |f^{n+1}(a_1)|_{2\#1} &= |f^{n+1}(a_3 a_4)|_{2\#1} = 3 \cdot 2^{n-1} \cdot (2^{n+1} - 1), \\ |f^{n+1}(a_2)|_{1\#2} &= |f^{n+1}(a_2)|_{2\#1} = 0. \end{aligned}$$

2. Let  $A$  be the five-letter ordered alphabet  $A = \{a_1 < a_2 < a_3 < a_4 < a_5\}$ . Define the morphism  $g$  by

$$\begin{aligned} g : A^* &\rightarrow A^* \\ a_1 &\mapsto a_1 a_3 a_5 a_4 a_2 \\ a_2 &\mapsto a_4 a_2 a_3 \\ a_3 &\mapsto a_5 a_1 \\ a_4 &\mapsto a_1 a_5 \\ a_5 &\mapsto a_2 a_3 a_4 \end{aligned}$$

The morphism  $g$  fulfills the conditions of Theorem 1. Here we choose  $p = 3$ ,  $A = A_1 \cup A_2 \cup A_3$  with  $A_1 = \{a_1\}$ ,  $A_2 = \{a_2, a_4\}$ ,  $A_3 = \{a_3, a_5\}$ , and  $m_1 = m_2 = m_3 = 1$ , thus  $M = 3$ .

One has  $|g(a_1)|_{1\#2} = 6$ ,  $|g(a_2)|_{1\#2} = |g(a_4)|_{1\#2} = 1$ ,  $|g(a_3)|_{1\#2} = 0$ ,  $|g(a_5)|_{1\#2} = 3$ ,  $|g(a_1)|_{2\#1} = 4$ ,  $|g(a_2)|_{2\#1} = 2$ ,  $|g(a_3)|_{2\#1} = 1$ ,  $|g(a_4)|_{2\#1} = |g(a_5)|_{2\#1} = 0$ ,  $|g(a_1 a_1)|_{1\#2}^{ext} = 10$ ,  $|g(a_2 a_2)|_{1\#2}^{ext} = |g(a_5 a_5)|_{1\#2}^{ext} = 3$ ,  $|g(a_3 a_3)|_{1\#2}^{ext} = |g(a_4 a_4)|_{1\#2}^{ext} = 1$ ,  $|g(a_1 a_2)|_{1\#2}^{ext} = |g(a_1 a_5)|_{1\#2}^{ext} = 6$ ,  $|g(a_1 a_3)|_{1\#2}^{ext} = |g(a_1 a_4)|_{1\#2}^{ext} = 4$ ,  $|g(a_2 a_3)|_{1\#2}^{ext} = |g(a_2 a_4)|_{1\#2}^{ext} = |g(a_2 a_5)|_{1\#2}^{ext} = |g(a_3 a_5)|_{1\#2}^{ext} = |g(a_4 a_5)|_{1\#2}^{ext} = 3$ ,  $|g(a_3 a_4)|_{1\#2}^{ext} = 1$ .

To end we recall that, with the second condition of Theorem 1 and property 3, one has for every integers  $i, j$ ,  $1 \leq i, j \leq k$ ,  $|g(a_i a_j)|_{1\#2}^{ext} = |g(a_i a_j)|_{2\#1}^{ext}$ .

Then we have the following corollary of Theorem 1.

**Corollary 7** *For every positive integer  $n$ ,*

$$\begin{aligned} |g^{n+1}(a_1)|_{1\#2} &= |g^{n+1}(a_2 a_4)|_{1\#2} = |g^{n+1}(a_3 a_5)|_{1\#2} = 3^{n-1} \cdot (5 \cdot 3^{n+1} + 2), \\ |g^{n+1}(a_1)|_{2\#1} &= |g^{n+1}(a_2 a_4)|_{2\#1} = |g^{n+1}(a_3 a_5)|_{2\#1} = 3^{n-1} \cdot (5 \cdot 3^{n+1} - 2). \end{aligned}$$

3. Let  $A$  be the three-letter ordered alphabet  $A = \{a < b < c\}$ . Define the morphism  $h$  by

$$\begin{aligned} h : A^* &\rightarrow A^* \\ a &\mapsto aba \ cab \ cac \ bab \ cba \ cbc \\ b &\mapsto aba \ cab \ cac \ bca \ bcb \ abc \\ c &\mapsto aba \ cab \ cba \ cbc \ acb \ abc \end{aligned}$$

This morphism was given to be square-free by Brandenburg in [6]. It fulfills the conditions of Theorem 1 with  $p = 3$ ,  $A = A_1 \cup A_2 \cup A_3$  with  $A_1 = \{a\}$ ,  $A_2 = \{b\}$ ,  $A_3 = \{c\}$ , and  $m_1 = m_2 = m_3 = 6$ , thus  $M = 18$ .

One has  $|h(a)|_{1\#2} = 70$ ,  $|h(b)|_{1\#2} = |h(c)|_{1\#2} = 66$ ,  $|h(a)|_{2\#1} = 38$ ,  $|h(b)|_{2\#1} = |h(c)|_{2\#1} = 42$ .

Moreover, due to the particular form of the morphism  $h$  (it is uniform, i.e.,  $|h(a)| = |h(b)| = |h(c)|$ , and for every  $x, y \in A$ ,  $|h(x)|_y = 6$ ), one has  $|h(xy)|_{1\#2}^{ext} = |h(xy)|_{2\#1}^{ext} = 108$  for every  $x, y \in A$ .

Then we have the following corollary of Theorem 1.

**Corollary 8** *For every  $x \in A$  and for every positive integer  $n$ ,*

$$|h^{n+1}(x)|_{1\#2} = 6 \cdot 18^{n-1} \cdot (9 \cdot 18^{n+1} + 40),$$

$$|h^{n+1}(x)|_{2\#1} = 6 \cdot 18^{n-1} \cdot (9 \cdot 18^{n+1} - 40).$$

## 6 Ordered patterns with no gaps and morphisms

In this last section we consider the problem of counting consecutive patterns in words generated by morphisms. Here the things are a little bit more complicated than in Section 3. Indeed the computation of external occurrences of such a pattern can be distorted by the fact that morphisms are allowed to be erasing. For example if  $A = \{a_1 < a_2 < a_3\}$  and  $f(a_1) = a_2 a_1$ ,  $f(a_2) = \varepsilon$ ,  $f(a_3) = a_3$  then the word  $f(a_1 a_2 a_3)$  contains an occurrence of the consecutive pattern 12 while  $f(a_1 a_2)$  and  $f(a_2 a_3)$  do not contain such an occurrence. Thus we have *a priori* to study more than only words of the form  $f(a_i a_j)$  which were enough in the case of classical patterns. The result of this study is presented in Proposition 5 in which are given recurrence formulas for *rises* (occurrences of the ordered pattern 12), *descents* (occurrences of 21), and *squares of one letter* (occurrences of 11). We end again with some examples illustrating that our technique can provide exact formulas when the morphism is given.

### 6.1 Rises, descents, and squares of $f^n$

Let  $k$  be an integer ( $k \geq 2$ ) and  $A$  the  $k$ -letter ordered alphabet  $A = \{a_1 < a_2 < \dots < a_k\}$ .

Let  $f$  be any morphism on  $A$ : for  $1 \leq i \leq k$ ,  $f(a_i) = a_{i_1} \dots a_{i_{p_i}}$  with  $p_i \geq 0$  ( $p_i = 0$  if and only if  $f(a_i) = \varepsilon$ ).

The *vector of rises* of  $f^n$  is the  $k$  vector whose  $i$ -th entry is the number of occurrences of the ordered pattern 12 in the word  $f^n(a_i)$ , i.e.,

$$R(f^n) = (|f^n(a_i)|_{12})_{1 \leq i \leq k}.$$

The *vector of descents* of  $f^n$  is the  $k$  vector whose  $i$ -th entry is the number of occurrences of the ordered pattern 21 in the word  $f^n(a_i)$ , i.e.,

$$D(f^n) = (|f^n(a_i)|_{21})_{1 \leq i \leq k}.$$

The *vector of squares of one letter* of  $f^n$  is the  $k$  vector whose  $i$ -th entry is the number of occurrences of the ordered pattern 11 in the word  $f^n(a_i)$ , i.e.,

$$R_2(f^n) = (|f^n(a_i)|_{11})_{1 \leq i \leq k}.$$

Here again, as in Section 3, our goal is to obtain recurrence formulas giving the entries of  $R(f^{n+1})$ ,  $D(f^{n+1})$ , and  $R_2(f^{n+1})$ .

We define two sequences of  $k$  vectors,  $(F(f^n))_{n \in \mathbb{N}}$  and  $(L(f^n))_{n \in \mathbb{N}}$ , where  $F(f^n)[i]$  is the first letter of  $f^n(a_i)$  and  $L(f^n)[i]$  is the last letter of  $f^n(a_i)$  if  $f^n(a_i) \neq \varepsilon$ , and  $F(f^n)[i] = L(f^n)[i] = 0$  if  $f^n(a_i) = \varepsilon$ . Of course these two sequences take their values in a finite set: they are ultimately periodic. Thus they can be computed *a priori* from  $f$ .

Given a non-negative integer  $n$ , let  $\mathbb{N}'$  be the subset of  $\mathbb{N}$  such that, for each  $i \in \mathbb{N}$ ,  $f^n(a_i) \neq \varepsilon$  if and only if  $i \in \mathbb{N}'$ . We associate to the two vectors  $F(f^n)$  and  $L(f^n)$  an application  $C_n^{12} : \mathbb{N}' \times \mathbb{N}' \rightarrow \{0, 1\}$  defined by

$$C_n^{12}(i, j) = \begin{cases} 1, & \text{if } L(f^n)[i] < F(f^n)[j] \\ 0, & \text{if } L(f^n)[i] \geq F(f^n)[j]. \end{cases}$$

Similarly we define

$$C_n^{21}(i, j) = \begin{cases} 1, & \text{if } L(f^n)[i] > F(f^n)[j] \\ 0, & \text{if } L(f^n)[i] \leq F(f^n)[j], \end{cases}$$

and

$$C_n^{11}(i, j) = \begin{cases} 1, & \text{if } L(f^n)[i] = F(f^n)[j] \\ 0, & \text{if } L(f^n)[i] \neq F(f^n)[j]. \end{cases}$$

For any morphism  $f$  on  $A$ , there exists a least integer  $M_f$  ( $M_f \leq k$  and  $M_f$  depends only on  $f$ ) such that, for every positive integer  $n$  and every  $a \in A$ ,  $f^n(a) = \varepsilon$  if and only if  $f^{M_f}(a) = \varepsilon$ . By convention, if  $f$  is a nonerasing morphism then  $M_f = 0$ . The integer  $M_f$  is known in the literature about  $L$ -systems as the *mortality exponent* of  $f$  (see, e.g., Levé and Richomme, 2005 [20]).

Now let  $\ell$  be an integer,  $1 \leq \ell \leq k$ . One has  $f(a_\ell) = a_{\ell_1} \dots a_{\ell_{p_\ell}}$  and we denote by  $\ell'_1 \dots \ell'_{p'_\ell}$  the subsequence of  $\ell_1 \dots \ell_{p_\ell}$  such that  $f^{n+1}(a_\ell) = f^n(a_{\ell'_1} \dots a_{\ell'_{p'_\ell}})$  for every  $n \geq M_f$ . This means that, for every  $n \geq M_f$ , a letter  $a_{\ell_i}$  appears in  $a_{\ell_1} \dots a_{\ell_{p_\ell}}$  but not in  $a_{\ell'_1} \dots a_{\ell'_{p'_\ell}}$  if and only if  $f^n(a_{\ell_i}) = \varepsilon$ . Of course  $p'_\ell \leq p_\ell$ , and if  $M_f = 0$  then  $p'_\ell = p_\ell$  for each  $1 \leq \ell \leq k$ .

Here also, as in Section 3, the number of occurrences of the ordered pattern 12 in  $f^{n+1}(a_\ell) = f^n(a_{\ell'_1} \dots a_{\ell'_{p'_\ell}})$  ( $n \geq M_f$ ) is obtained by adding two values:

- the number of occurrences of the ordered pattern 12 in each  $f^n(a_{\ell_i})$ ,  $1 \leq i \leq p_\ell$ . As in the previous case, this number is equal to  $\sum_{t=1}^k |f^n(a_t)|_{12} \cdot m_{1,t,\ell}$ ,
- the number of external occurrences of the ordered pattern 12 in  $f^n(a_{\ell'_i} a_{\ell'_j})$  for each subsequence  $a_{\ell'_i} a_{\ell'_j}$  of  $f(a_\ell)$ ,  $1 \leq i < j \leq p'_\ell$ . But the only possibility for 12 to be an external occurrence in  $f^n(a_{\ell'_i} a_{\ell'_j})$  is that  $j = i + 1$  and the last letter of  $f^n(a_{\ell'_i})$  is smaller than the first letter of  $f^n(a_{\ell'_j})$ . Thus, the number of occurrences of such patterns is only the number of times  $L(f^n)[i] < F(f^n)[i+1]$  with  $i + 1 \leq p'_\ell$ , i.e., the number of times  $C_n^{12}(\ell'_i, \ell'_{i+1}) = 1$  for  $1 \leq i \leq p'_\ell - 1$ .

We proceed similarly with the patterns 21 and 11. Consequently we have the following proposition.

**Proposition 5** *For each letter  $a_\ell \in A$ ,  $f(a_\ell) = a_{\ell_1} \dots a_{\ell_{p_\ell}}$ , and for all  $n \geq M_f$ , let  $\ell'_1 \dots \ell'_{p'_\ell}$  be the subsequence of  $\ell_1 \dots \ell_{p_\ell}$  such that  $f^{n+1}(a_\ell) = f^n(a_{\ell'_1} \dots a_{\ell'_{p'_\ell}})$  and  $f^n(a_{\ell'_i}) \neq \varepsilon$ ,  $1 \leq i \leq p'_\ell$ . Then*

$$|f^{n+1}(a_\ell)|_{12} = \sum_{t=1}^k |f^n(a_t)|_{12} \cdot m_{1,t,\ell} + \sum_{i=1}^{p'_\ell-1} C_n^{12}(\ell'_i, \ell'_{i+1}), \quad (6)$$

$$|f^{n+1}(a_\ell)|_{21} = \sum_{t=1}^k |f^n(a_t)|_{21} \cdot m_{1,t,\ell} + \sum_{i=1}^{p'_\ell-1} C_n^{21}(\ell'_i, \ell'_{i+1}), \quad (7)$$

$$|f^{n+1}(a_\ell)|_{11} = \sum_{t=1}^k |f^n(a_t)|_{11} \cdot m_{1,t,\ell} + \sum_{i=1}^{p'_\ell-1} C_n^{11}(\ell'_i, \ell'_{i+1}). \quad (8)$$

## 6.2 About the repetitions of one letter with no gaps

The case of  $p$ -repetitions of one letter is more complicated when no gaps are allowed. Indeed we have to find blocks of  $p$  consecutive equal letters but, generally, this number  $p$  is limited by a given value depending on the morphism itself. For example the Thue-Morse morphism  $\mu$  (see Section 3.2) is such that  $\mu^n(a_1)$  and  $\mu^n(a_2)$  do not contain  $a_1 a_1 a_1$  nor  $a_2 a_2 a_2$  as factors, whatever be the value of  $n$  ( $\mu$  generates cube-free words). This explains why in the previous section we only provide a formula giving the number of squares of one letter (the ordered pattern 11) in the words  $f^n(a_i)$ ,  $1 \leq i \leq k$ . If we want to obtain a formula giving the number of  $p$ -powers of one letter (the ordered pattern  $1^p$ ) for some  $p \geq 3$  the computation of external repetitions (corresponding to  $C_n^{11}$  in equation (8)) will become much more complicated.



### 6.3 Some examples

We only give here a little number of examples illustrating Proposition 5 because the involved techniques are roughly the same as in the case of patterns with gaps. First we give three particular cases of families of morphisms in which the number of external occurrences of the ordered pattern is trivially obtained. Then we give examples of exact formulas in the well known cases of the Thue-Morse and the Fibonacci morphisms in order to compare with the results obtained in Section 3.2. We end by an example of a basic erasing morphism and one in which the value of the integer  $M_f$  above is 2.

#### 6.3.1 No external rises

Let us suppose that the morphism  $f$  is such that, for all  $i$  and  $j$ ,  $L(f)[i] \geq F(f)[j]$ . According to equation (6), in this case, for each letter  $a_\ell \in A$ ,  $f(a_\ell) = a_{\ell_1} \dots a_{\ell_{p_\ell}}$ , and for all  $n \geq M_f$ ,

$$|f^{n+1}(a_\ell)|_{12} = \sum_{t=1}^k |f^n(a_t)|_{12} \cdot m_{1,t,\ell}.$$

Moreover, if the above inequality is strict then, according to equation (7),

$$|f^{n+1}(a_\ell)|_{21} = \sum_{t=1}^k |f^n(a_t)|_{21} \cdot m_{1,t,\ell} + p'_\ell - 1.$$

#### 6.3.2 No external descents

If, conversely to the previous case, the morphism  $f$  is such that, for all  $i$  and  $j$ ,  $L(f)[i] \leq F(f)[j]$  then, according to equation (7), for each letter  $a_\ell \in A$ ,  $f(a_\ell) = a_{\ell_1} \dots a_{\ell_{p_\ell}}$ , and for all  $n \geq M_f$ ,

$$|f^{n+1}(a_\ell)|_{21} = \sum_{t=1}^k |f^n(a_t)|_{21} \cdot m_{1,t,\ell}.$$

Moreover, if the above inequality is strict then, according to equation (6),

$$|f^{n+1}(a_\ell)|_{12} = \sum_{t=1}^k |f^n(a_t)|_{12} \cdot m_{1,t,\ell} + p'_\ell - 1.$$

#### 6.3.3 No external squares

Now, if we suppose that the morphism  $f$  is such that, for all  $i$  and  $j$ ,  $L(f)[i] \neq F(f)[j]$  then, according to equation (8), for each letter  $a_\ell \in A$ ,  $f(a_\ell) = a_{\ell_1} \dots a_{\ell_{p_\ell}}$ , and for all  $n \geq M_f$ ,

$$|f^{n+1}(a_\ell)|_{11} = \sum_{t=1}^k |f^n(a_t)|_{11} \cdot m_{1,t,\ell}.$$

#### 6.3.4 The Thue-Morse morphism

For details on this morphism see Section 3.2.

Here,  $k = 2$  and for all  $1 \leq t, \ell \leq 2$ ,  $m_{1,t,\ell} = 1$ . Since  $\mu$  is nonerasing,  $M_\mu = 0$ .

Moreover for any integer  $n \geq 0$ ,  $C_n^{12}(1, 2) = C_n^{21}(2, 1) = \begin{cases} 1, & \text{if } n \text{ is even} \\ 0, & \text{if } n \text{ is odd,} \end{cases}$

$C_n^{12}(2, 1) = C_n^{21}(1, 2) = 0$  and  $C_n^{11}(1, 2) = C_n^{11}(2, 1) = \begin{cases} 1, & \text{if } n \text{ is odd} \\ 0, & \text{if } n \text{ is even.} \end{cases}$

Thus, from equations (6), (7), and (8) we obtain, for every  $n \geq 0$ ,

$$\begin{aligned} |\mu^{n+1}(a_1)|_{12} &= |\mu^n(a_1)|_{12} + |\mu^n(a_2)|_{12} + \begin{cases} 1, & \text{if } n \text{ is even} \\ 0, & \text{if } n \text{ is odd} \end{cases} \\ |\mu^{n+1}(a_2)|_{12} &= |\mu^n(a_1)|_{12} + |\mu^n(a_2)|_{12} \\ |\mu^{n+1}(a_1)|_{21} &= |\mu^n(a_1)|_{21} + |\mu^n(a_2)|_{21} \\ |\mu^{n+1}(a_2)|_{21} &= |\mu^n(a_1)|_{21} + |\mu^n(a_2)|_{21} + \begin{cases} 1, & \text{if } n \text{ is even} \\ 0, & \text{if } n \text{ is odd} \end{cases} \\ |\mu^{n+1}(a_1)|_{11} &= |\mu^{n+1}(a_2)|_{11} = 2 \cdot |\mu^n(a_1)|_{11} + \begin{cases} 1, & \text{if } n \text{ is odd} \\ 0, & \text{if } n \text{ is even.} \end{cases} \end{aligned}$$

Since  $R(\mu) = \begin{bmatrix} 1 & 0 \end{bmatrix}$ ,  $D(\mu) = \begin{bmatrix} 0 & 1 \end{bmatrix}$  and  $R_2(\mu) = \begin{bmatrix} 0 & 0 \end{bmatrix}$  we obtain again a rather trivial result.

**Corollary 9** *For any integer  $n \geq 0$ ,*

$$\begin{aligned} R(\mu^{2n}) &= \begin{bmatrix} \frac{4^n-1}{3} & \frac{4^n-1}{3} \end{bmatrix} = D(\mu^{2n}) = R_2(\mu^{2n}) \\ R(\mu^{2n+1}) &= \begin{bmatrix} \frac{2(4^n-1)}{3} + 1 & \frac{2(4^n-1)}{3} \end{bmatrix} \\ D(\mu^{2n+1}) &= \begin{bmatrix} \frac{2(4^n-1)}{3} & \frac{2(4^n-1)}{3} + 1 \end{bmatrix} \\ R_2(\mu^{2n+1}) &= \begin{bmatrix} \frac{2(4^n-1)}{3} & \frac{2(4^n-1)}{3} \end{bmatrix}. \end{aligned}$$

### 6.3.5 The Fibonacci morphism

For details on this morphism see Section 3.2.

Here again  $k = 2$  and  $M_\varphi = 0$ .

First we note that for  $n \geq 1$ , since  $\varphi(a_2) = a_1$ , one has  $|\varphi^n(a_2)|_{xy} = |\varphi^{n-1}(a_1)|_{xy}$  for  $xy = 12$ ,  $xy = 21$ , and  $xy = 11$ .

Moreover we are in case 6.3.1 above thus  $|\varphi^{n+1}(a_1)|_{12} = |\varphi^n(a_1)|_{12} \cdot m_{1,1,1} + |\varphi^n(a_2)|_{12} \cdot m_{1,2,1}$  for every  $n \geq 1$ .

Now  $m_{1,1,1} = m_{1,2,1} = 1$  and, for any positive integer  $n$ ,  $C_n^{21}(1, 2) = \begin{cases} 1, & \text{if } n \text{ is odd} \\ 0, & \text{if } n \text{ is even} \end{cases}$  and  $C_n^{11}(1, 2) = \begin{cases} 1, & \text{if } n \text{ is even} \\ 0, & \text{if } n \text{ is odd.} \end{cases}$

Thus, from equations (7) and (8) we obtain, for every  $n \geq 1$ ,

$$\begin{aligned} |\varphi^{n+1}(a_1)|_{21} &= |\varphi^n(a_1)|_{21} + |\varphi^n(a_2)|_{21} + \begin{cases} 1, & \text{if } n \text{ is odd} \\ 0, & \text{if } n \text{ is even} \end{cases} \\ |\varphi^{n+1}(a_1)|_{11} &= |\varphi^n(a_1)|_{11} + |\varphi^n(a_2)|_{11} + \begin{cases} 1, & \text{if } n \text{ is even} \\ 0, & \text{if } n \text{ is odd.} \end{cases} \end{aligned}$$

Since  $R(\varphi) = \begin{bmatrix} 1 & 0 \end{bmatrix}$  and  $D(\varphi) = R_2(\varphi) = \begin{bmatrix} 0 & 0 \end{bmatrix}$  we have again a well known result.

**Corollary 10** *For any integer  $n \geq 1$ ,*

$$\begin{aligned} R(\varphi^n) &= \begin{bmatrix} F_{n-1} & F_{n-2} \end{bmatrix} \\ D(\varphi^{2n}) &= \begin{bmatrix} F_{2n-1} & F_{2n-2} - 1 \end{bmatrix} = R_2(\varphi^{2n+1}) \\ R_2(\varphi^{2n}) &= \begin{bmatrix} F_{2n-2} - 1 & F_{2n-3} \end{bmatrix} = D(\varphi^{2n-1}). \end{aligned}$$

### 6.3.6 Erasing morphisms

Let  $A$  be the four-letter ordered alphabet  $A = \{a_1 < a_2 < a_3 < a_4\}$ .

1. Here we consider the erasing morphism  $f$ , given in Section 5.5, defined on  $A$  by

$$\begin{aligned} f(a_1) &= a_1 a_3 a_2 a_4 \\ f(a_2) &= \varepsilon \\ f(a_3) &= a_1 a_4 \\ f(a_4) &= a_2 a_3 \end{aligned}$$

One has  $M_f = 1$ .

First remark that, for any positive integer  $n$ ,  $f^n(a_2) = \varepsilon$  thus  $|f^n(a_2)|_{xy} = 0$  for  $xy = 12$ ,  $xy = 21$ , and  $xy = 11$ .

Here again we are in case 6.3.1 above thus, for  $n \geq 1$ ,

$$\begin{aligned} |f^{n+1}(a_1)|_{12} &= |f^n(a_1)|_{12} + |f^n(a_2)|_{12} + |f^n(a_3)|_{12} + |f^n(a_4)|_{12} \\ &= |f^n(a_1)|_{12} + |f^n(a_3)|_{12} + |f^n(a_4)|_{12} \\ |f^{n+1}(a_3)|_{12} &= |f^n(a_1)|_{12} + |f^n(a_4)|_{12} \\ |f^{n+1}(a_4)|_{12} &= |f^n(a_3)|_{12}. \end{aligned}$$

Moreover the values of the number  $p'_\ell$  of Proposition 5 are  $p'_1 = 3$ ,  $p'_3 = 2$ ,  $p'_4 = 1$  and, since the inequality  $L(f)[i] \geq F(f)[j]$  is strict for all the values of  $i, j$ , one has for every  $n \geq 1$

$$\begin{aligned} |f^{n+1}(a_1)|_{21} &= |f^n(a_1)|_{21} + |f^n(a_3)|_{21} + |f^n(a_4)|_{21} + p'_1 - 1 \\ &= |f^n(a_1)|_{21} + |f^n(a_3)|_{21} + |f^n(a_4)|_{21} + 2 \\ |f^{n+1}(a_3)|_{21} &= |f^n(a_1)|_{21} + |f^n(a_4)|_{21} + 1 \\ |f^{n+1}(a_4)|_{21} &= |f^n(a_3)|_{21}. \end{aligned}$$

But  $|f(a_1)|_{12} = 2$ ,  $|f(a_3)|_{12} = 1$ ,  $|f(a_4)|_{12} = 1$  and  $|f(a_1)|_{21} = 1$ ,  $|f(a_3)|_{21} = 0$ ,  $|f(a_4)|_{21} = 0$ . Thus we deduce easily that  $|f^n(a_i)|_{21} = |f^n(a_i)|_{12} - 1$  for  $i = 1$ ,  $i = 3$ , and  $i = 4$ .

To end, we are also in case 6.3.3 above. Consequently, for every  $n \geq 1$ ,

$$\begin{aligned} |f^{n+1}(a_1)|_{11} &= |f^n(a_1)|_{11} + |f^n(a_3)|_{11} + |f^n(a_4)|_{11} \\ |f^{n+1}(a_3)|_{11} &= |f^n(a_1)|_{11} + |f^n(a_4)|_{11} \\ |f^{n+1}(a_4)|_{11} &= |f^n(a_3)|_{11}. \end{aligned}$$

But, since  $|f(a_1)|_{11} = |f(a_3)|_{11} = |f(a_4)|_{11} = 0$ , this implies that  $|f^n(a_1)|_{11} = |f^n(a_3)|_{11} = |f^n(a_4)|_{11} = 0$  for any positive integer  $n$ .

So it remains to calculate the values of  $|f^{n+1}(a_1)|_{12}$  and  $|f^{n+1}(a_3)|_{12}$ .

Since  $f(a_1) = a_1 a_3 a_2 a_4$  and  $f(a_3 a_4) = a_1 a_4 a_2 a_3$ , it is clear that  $|f^n(a_1)|_{a_i} = 2^{n-1}$  for each  $1 \leq i \leq 4$  and  $n \geq 1$ .

Thus, since there are no external occurrences of the ordered pattern 12 in a word  $f^n(a_i)$  whatever is the value of  $n$ , one has for every  $n \geq 1$

$$\begin{aligned} |f^{n+1}(a_1)|_{12} &= 2^{n-1} \cdot (|f(a_1)|_{12} + |f(a_3)|_{12} + |f(a_4)|_{12}) \\ &= 2^{n-1} \cdot 4 \\ &= 2^{n+1}. \end{aligned}$$

In the same manner, for every positive integer  $n$ ,  $|f^n(a_3)|_{a_1} = |f^n(a_3)|_{a_4} = \begin{cases} \frac{2^n-1}{3}, & \text{if } n \text{ is even} \\ \frac{2^n+1}{3}, & \text{if } n \text{ is odd} \end{cases}$

and  $|f^n(a_3)|_{a_2} = |f^n(a_3)|_{a_3} = \begin{cases} \frac{2^n+2}{3}, & \text{if } n \text{ is even} \\ \frac{2^n-2}{3}, & \text{if } n \text{ is odd.} \end{cases}$

Consequently if  $n$  is even then

$$\begin{aligned} |f^{n+1}(a_3)|_{12} &= \frac{2^n-1}{3} \cdot (|f(a_1)|_{12} + |f(a_4)|_{12}) + \frac{2^n+2}{3} \cdot |f(a_3)|_{12} \\ &= \frac{3(2^n-1)}{3} + \frac{2^n+2}{3} \\ &= \frac{2^{n+2}-1}{3} \end{aligned}$$

and if  $n$  is odd then  $|f^{n+1}(a_3)|_{12} = \frac{3(2^n+1)}{3} + \frac{2^n-2}{3} = \frac{2^{n+2}+1}{3}$ .

Starting from  $R(f) = \begin{bmatrix} 2 & 0 & 1 & 1 \end{bmatrix}$ , the above results are summarized in the following

**Corollary 11** For any integer  $n \geq 1$ ,  $R_2(f^n) = \begin{bmatrix} 0 & 0 & 0 & 0 \end{bmatrix}$  and

$$\begin{aligned} \text{if } n \text{ is even} \quad & \begin{cases} R(f^n) &= \begin{bmatrix} 2^n & 0 & \frac{2^{n+1}+1}{3} & \frac{2^n-1}{3} \end{bmatrix} \\ D(f^n) &= \begin{bmatrix} 2^n-1 & 0 & \frac{2^{n+1}-2}{3} & \frac{2^n-4}{3} \end{bmatrix}, \end{cases} \\ \text{if } n \text{ is odd} \quad & \begin{cases} R(f^n) &= \begin{bmatrix} 2^n & 0 & \frac{2^{n+1}-1}{3} & \frac{2^{n+1}}{3} \end{bmatrix} \\ D(f^n) &= \begin{bmatrix} 2^n-1 & 0 & \frac{2^{n+1}-4}{3} & \frac{2^n-2}{3} \end{bmatrix}. \end{cases} \end{aligned}$$

2. Now we consider the erasing morphism  $g$  defined on  $A$  by

$$\begin{aligned} g(a_1) &= a_1 a_2 a_4 a_3 \\ f(a_2) &= a_3 \\ f(a_3) &= \varepsilon \\ f(a_4) &= a_1 a_2 a_4 \end{aligned}$$

Here we have  $M_f = 2$ , i.e., we must be careful to the fact that  $g^n(a_2) = \varepsilon$  only from  $n = 2$ . Thus the recurrence formulas giving the values for  $g^{n+1}$  from those for  $g^n$  must be given for  $n \geq 2$ , which means that the particular cases are those for both  $g$  and  $g^2$ .

Let  $n \geq 2$ . Since  $g^n(a_2) = g^n(a_3) = \varepsilon$  one has, for  $xy = 12$ ,  $xy = 21$ , and  $xy = 11$ ,  $|g^{n+1}(a_2)|_{xy} = |g^{n+1}(a_3)|_{xy} = 0$  and  $|g^{n+1}(a_1)|_{xy} = |g^{n+1}(a_4)|_{xy}$ .

We are in case 6.3.1 thus  $|g^{n+1}(a_1)|_{12} = 2 \cdot |g^n(a_1)|_{12}$ .

Now,  $C_n^{21}(1, 4) = 1$ , hence  $|g^{n+1}(a_1)|_{21} = 2 \cdot |g^n(a_1)|_{21} + 1$ .

To end,  $C_n^{11}(1, 4) = 0$  thus  $|g^{n+1}(a_1)|_{11} = 2 \cdot |g^n(a_1)|_{11}$ .

Consequently we obtain the following

**Corollary 12**  $R(g) = \begin{bmatrix} 2 & 0 & 0 & 2 \end{bmatrix}$ ,  $D(g) = \begin{bmatrix} 1 & 0 & 0 & 0 \end{bmatrix}$ ,  $R_2(g) = \begin{bmatrix} 0 & 0 & 0 & 0 \end{bmatrix}$ ,

and, for any integer  $n \geq 2$ ,

$$\begin{aligned} R(g^n) &= \begin{bmatrix} 2^n & 0 & 0 & 2^n \end{bmatrix} \\ D(g^n) &= \begin{bmatrix} 2^{n-1} + 2^{n-2} - 1 & 0 & 0 & 2^{n-1} + 2^{n-2} - 1 \end{bmatrix} \\ R_2(g^n) &= \begin{bmatrix} 2^{n-2} & 0 & 0 & 2^{n-2} \end{bmatrix}. \end{aligned}$$

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