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### Efficient neighborhood encoding for interval graphs and permutation graphs and O(n) Breadth-First Search

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Abstract. In this paper we address the problem of designing O(n) space representations for permutation and interval graphs that provide the neighborhood of any vertex in O(d) time, where d is its degree. To that purpose, we introduce a new parameter, called linearity, that would solve the problem if bounded for the two classes. Surprisingly, we show that it is not. Nevertheless, we design representations with the desired property for the two classes, and we implement the Breadth-First Search algorithm in O(n) time for permutation graphs; thereby lowering the complexity of All Pairs Shortest Paths and Single Source Shortest Path problems for the class.

#### Introduction

Interval graphs are the intersection graphs of intervals of the real line, and permutation graphs are the intersection graphs of segments joining two parallel lines. They are extensively studied graph classes. One of the reasons is that they naturally appear in many contexts such as scheduling, genomics, phylogeny and archeology. On these two classes, a lot of usually NP-complete problems (e.g. coloring, maximum clique, domination) admit very efficient and pleasant solutions (see [7, 11]). These algorithms lean on the geometric definitions of the two classes, which give rise to O(n) space representations providing adjacency<sup>3</sup> between an arbitrary pair of vertices in O(1) time, while the adjacency matrix takes  $O(n^2)$  space, where n is the number of vertices in the graph.

Though it seems a natural question, the issue of designing O(n) space data structures providing the neighborhood of an arbitrary vertex in O(d) time, where d is its degree, has never been investigated for any of the two classes. For arbitrary graphs, the question of finding compact representations providing optimal time neighborhood queries is an accurate practical issue [12]. The compactness of such representations allows to store the graph entirely in memory, and preserve the complexity of algorithms using neighborhood queries. The conjunction of these two advantages has great impact on the running time of algorithms managing large amount of data.

<sup>&</sup>lt;sup>3</sup> That is, answering the question "Is x adjacent to y?"

Does there exist a O(n) space structure providing neighborhoods in O(d)time for interval and permutation graphs? There is a natural approach that one cannot avoid to consider. It consists in trying to extend some known results for subclasses of interval graphs or permutation graphs that are known to have very good properties with regard to neighborhood encoding. The proper (or unit) interval graphs are the subclass of interval graphs that admit a model whose intervals all have the same length. They are also characterized as the graphs admitting a linear order on their vertices such that the closed<sup>4</sup> neighborhood of each vertex is an interval [10]. Some compression techniques are based on this notion [1, 2]: they try to find orders of the vertices that group the neighborhoods together, as much as possible. If one uses one single order on the vertices and allows the closed neighborhoods of the graph to be split in at most k intervals, the minimum value of k which make possible to encode the graph in this way is a known parameter called *closed contiguity*. Let us mention that [6, 14] showed that deciding whether a graph has closed contiguity at most k is NP-complete for any fixed  $k \ge 2$ , and [5] gave an upper bound on the value of the parameter for arbitrary graphs. Another possible way of generalization is to use at most k orders on the vertices such that the closed neighborhood of a vertex is the union of one interval in each of the k orders. It gives rise to a new parameter, that we call *closed linearity*, which is always less or equal to the closed contiguity. Concerning the corresponding notions for open neighborhoods, it is known that bipartite permutation graphs have<sup>5</sup> open contiguity 1 [3], or equivalently open linearity 1. Then, it seems unavoidable to ask whether interval and permutation graphs have one of the four parameters mentioned above bounded. Unfortunately, none of them is bounded for any of the two classes. We show that the linearity (closed or open) can be up to  $\Omega(\log n / \log \log n)$ . However, following another approach, we devise O(n) space data structures, for both interval graphs and permutation graphs, that provide neighborhoods in O(d) time, and that can be computed from an intersection model of the graph in O(n) time. This gives new possibilities for the applications dealing with big interval or permutation graphs on which neighborhood queries are needed.

The fact that the neighborhood representation question had not been risen before for interval and permutation graphs is even more surprising considering that, on arbitrary graphs, many algorithmic problems are efficiently solved thanks to adjacency lists, which provide O(d) time neighborhood queries. Part of the reason is that the structure induced by the intersection models of these two classes often allows to avoid addressing the problem. However, we

<sup>&</sup>lt;sup>4</sup> The closed neighborhood is the classic neighborhood augmented with the vertex itself. We will refer to the classic neighborhood as the open neighborhood, in order to avoid confusion between the two notions.

<sup>&</sup>lt;sup>5</sup> The graphs having open contiguity 1 are exactly biconvex graphs, which is a subclass of bipartite graphs that properly contains bipartite permutation graphs.

believe that the problem of efficiently managing neighborhood in interval and permutation graphs may lead to new algorithmic developments for the two classes by improving or simplifying some algorithms. As an illustration of the interest of considering this question, we show how to implement an O(n) time Breadth-First Search algorithm (BFS for short) for permutation graphs. This lowers the complexity of finding All Pair Shortest Paths and Single Source Shortest Paths in a non weighted graph of the class to respectively  $O(n^2)$ and O(n).

**Outline of the paper.** Section 1 gives some basic definitions and notations we use in the following. In Section 2, we formally define the closed linearity and show that this parameter can be up to  $\Omega(\log n/\log \log n)$  for both interval graphs and permutation graphs. In Section 3, we design an O(n) space data structure providing the neighborhood of any vertex in O(d) time. Finally, in Section 4 we implement the BFS algorithm in O(n) time for permutation graphs.

#### 1 Preliminaries.

All graphs considered here will be finite, undirected, loopless and simple. In the following, G denotes for a graph, V for its vertex set and E for its edge set, we denote G = (V, E). The set of subsets of V is denoted  $2^V$ . Throughout the paper, n stands for |V| and m for |E|. An edge between vertices x and y will be arbitrarily denoted xy or yx. The (open) neighborhood of x is denoted N(x) and the closed neighborhood  $N[x] = N(x) \cup \{x\}$ . For a rooted tree T and a vertex  $u \in T$ , we denote T(u) for the subtree of T rooted at u, and  $Anc_T(u)$  for the ancestors of u in T ( $u \in Anc_T(u)$ ). The depth of u in T, is the number of edges in the path from the root to u (the root has depth 0). The depth of T, denoted depth(T), is the greatest depth of its leaves. The set of vertices at depth i in T will be denoted  $T^i$ . For a linear ordering  $\sigma$  on a set S, we denote  $min(\sigma)$  (resp.  $max(\sigma)$ ) for the first (resp. last) element of  $\sigma$ . For any  $s \in S$ , we denote  $\sigma(s)$  for the rank of s in  $\sigma$   $(min(\sigma))$  has rank 1, and  $max(\sigma)$  rank |S|), and for any  $i \in [1, |S|]$ , we denote  $\sigma^{-1}(i)$  for the element  $s \in S$  such that  $\sigma(s) = i$ . For  $s \in S$ ,  $s^-$  (resp.  $s^+$ ) denotes for the predecessor (resp. successor) of s in  $\sigma$ .  $\overline{\sigma}$  denotes for the reverse order of  $\sigma$ . The list L containing elements  $x_1, \ldots, x_k$  is denoted  $L = [x_1, \ldots, x_k]$ . For two lists  $L_1, L_2$  with  $L_1 = [x_1, \ldots, x_k]$  and  $L_2 = [y_1, \ldots, y_k]$ , we denote  $L_1.L_2$  for the concatenated list  $L_1.L_2 = [x_1, \ldots, x_k, y_1, \ldots, y_k]$ .

An interval model of a graph G is a set of intervals of the real line together with a one to one mapping onto the set of vertices of G, such that there is an edge between vertices x and y in G iff their corresponding intervals intersect (see Fig. 1(b)). An interval graph is a graph admitting such a model. The class remains the same if the intervals are required to be closed and to have integer bounds between 1 and 2n, all models considered in the following satisfy this restriction. Associating each vertex with the bounds of its interval provides an efficient encoding of the graph that takes O(n) space and allows to answer adjacency queries between any pair of vertices in O(1) time.

A permutation model of a graph is a set of segments joining two given parallel lines along with a one to one mapping onto the set of vertices of G, such that there is an edge between vertices x and y in G iff their corresponding segments intersect (see Fig. 1(c)). A graph G is a permutation graph iff it admits a permutation model. The class remains the same if the extremities of the segments are required to be pairwise distinct. We denote  $\pi_1$  and  $\pi_2$  for the orders on the vertices induced by the order of their extremities respectively on the first and second line. Vertices x and y are adjacent in G iff  $(\pi_1(y) - \pi_1(x)) \times (\pi_2(y) - \pi_2(x)) < 0$ ; that is iff x and y do not appear in the same relative order in the first and in the second linear ordering. Associating with each vertex x of G the couple  $(\pi_1(x), \pi_2(x))$  results in a O(n) representation of G providing adjacency in O(1) time. We will refer to  $\pi_1(x)$  and  $\pi_2(x)$  as the extremities of x, identifying x and its corresponding segment.

# 2 Interval graphs and permutation graphs have unbounded closed linearity

The aim of this section is to prove that interval graphs and permutation graphs have unbounded contiguity and linearity (both open and closed). Thanks to the relationships between the four parameters, we will derive the result from the case of closed linearity, for which we give a formal definition.

**Definition 1.** We call a closed p-line-model of a graph G = (V, E) a tuple  $(\sigma_1, \ldots, \sigma_p)$  of linear orders on V such that  $\forall v \in V, \exists (I_1, \ldots, I_p) \in (2^V)^p, (\forall i \in [1, p], I_i \text{ is an interval of } \sigma_i) \text{ and } N[x] = \bigcup_{1 \leq i \leq p} I_i.$ 

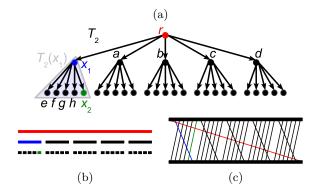
The closed linearity of G, denoted cl(G) is the minimum integer p such that there exists a closed p-line-model of G.

We now exhibit a family of graphs which shows that closed linearity is unbounded for interval and permutation graphs.

**Theorem 1.** For any  $k \in \mathbb{N}$ , there exists a graph G that is both an interval graph and a permutation graph, and such that cl(G) > k.

**Proof.** Consider the transitive closure of the rooted directed 2k + 1-ary tree  $T_k$  of depth k, for  $k \ge 1$ . Let  $G_k$  be its underlying undirected graph.

We first prove that  $G_k$  is a permutation graph: for every internal node v of  $T_k$ , choose an arbitrary order  $\pi_v$  on its 2k + 1 children. Let  $\pi_1$  (resp.  $\pi_2$ ) be the order in which one discovers the nodes of  $T_k$  in a Depth-First Search



**Fig. 1.** The interval model (b) and the permutation model (c) of the undirected underlying graph of the transitive closure of the rooted directed tree  $T_2$  (a). This graph has linearity strictly greater than 2, because two orders are not sufficient to represent the closed neighborhood  $\{r, x_1, x_2\}$  of  $x_2$ .

that respects the orders  $\pi_v$  (resp.  $\overline{\pi_v}$ ) for all  $v \in T_k$ .  $(\pi_1, \overline{\pi_2})$  is a permutation model of  $G_n$ , as illustrated for  $G_2$  in Fig. 1(c). It is easy to see that  $G_k$  is also an interval graph: for any vertex  $v \in T$ , choose an interval included in its father's one and disjoint from its siblings'one, as in Fig. 1(b).

We now prove that  $cl(G_k) > k$ . Assume for contradiction that  $G_k$  admits a closed k-line-model  $\mathcal{M}$ . We prove by recursion that for all  $i \in [0, k]$ , there is a vertex  $x_i$  at depth i in  $T_k$  such that for all  $u \in Anc_{T_k}(x_i) \setminus \{x_i\}$  and for all  $y \in T_k(u)$ , y is not next to u in any order of  $\mathcal{M}$ . This is trivially true for i = 0 since the root is at depth 0 and has no strict ancestor. We now suppose this is true for some  $i \in [0, k - 1]$ , and prove it for i + 1. Since there are korders in  $\mathcal{M}$  and since  $x_i$  has 2k + 1 children, there exists one child  $x_{i+1}$  of  $x_i$ such that for all  $y \in T_k(x_{i+1})$ , y is not next to  $x_i$  in any order of  $\mathcal{M}$ . Then,  $x_{i+1}$  shows that the inductive hypothesis also holds for i + 1.

Consider the leaf  $x_k$  given by the statement above, proved by recursion. The closed neighborhood of  $x_k$  is exactly  $Anc_{T_k}(x_k)$ . Let  $\sigma$  be a linear order of  $\mathcal{M}$ . From the statement, no vertex of  $Anc_{T_k}(x_k)$  is next to another vertex of  $Anc_{T_k}(x_k)$  in  $\sigma$ . It follows that the interval associated to  $x_k$  in  $\sigma$  contains only one vertex. As there are k + 1 vertices in the closed neighborhood of  $x_k$ and only k orders in  $\mathcal{M}$ , we get a contradiction. Thus,  $cl(G_k) > k$ .

Since the graphs  $G_k$  used in the proof of Theorem 1 have  $(2k+1)^k$  vertices and since  $cl(G_k) > k$ , it follows that  $cl(G_k) = \Omega(\log n / \log \log n)$ . This lower bound also holds for open linearity, open contiguity and closed contiguity, which are respectively denoted ol(G), oc(G) and cc(G). Indeed, one can obtain a closed p + 1-line-model from an open p-line-model by adding a linear order in which the interval associated to a vertex is reduced to the vertex itself. It follows that  $ol(G) \ge cl(G)-1$ . In addition, if G has contiguity p, make p copies of a linear ordering  $\pi$  realizing this p, and, in each of the p copies, associate to all the vertices of G one of their at most p intervals in  $\pi$ . This results in a k-line-model of G and shows that  $cc(G) \ge cl(G)$  and  $oc(G) \ge ol(G) \ge$ cl(G) - 1. Thus, the four parameters have value  $\Omega(\log n / \log \log n)$  for  $G_n$ . Let us mention that we have examples showing that the contiguity (open and closed) can even be up to  $\Omega(\log n)$  for both interval and permutation graphs (see Appendix B). The relations between the four parameters are detailed in Appendix A.

#### 3 Encoding neighborhoods in interval graphs and permutation graphs

Here, we aim at providing O(n) space representations of interval graphs and permutation graphs that allow to answer neighborhood queries on any vertex x in O(d) time, where d is the degree of x. Moreover, the structures we propose can be constructed in O(n) time from the interval or permutation model of the graph. We first show that, for interval graphs, the encoding problem we consider can be reduced to the same problem on permutation graphs. Then, we describe an encoding of permutation graphs satisfying the desired properties.

**Interval graphs.** The neighborhood of a vertex x of an interval graph can be divided into three (not necessarily disjoint) parts: the subset L(x) of vertices whose interval left bound lies in the interval of x, the subset R(x) of vertices whose interval right bound lies in the interval of x, and the vertices whose interval either contains or is included in the one of x. Let  $\pi_1$  (resp.  $\pi_2$ ) be the order on the vertices of G obtained by sorting them with increasing interval left (resp. right) bound, breaking the ties in an arbitrary way. It is not difficult to see that L(x) is an interval  $I_1$  of  $\pi_1$  and R(x) is an interval  $I_2$  of  $\pi_2$ . Concerning the last part of the neighborhood, it is known that the containment relationship of intervals (i.e. I is in relation with J iff  $I \subseteq J$  or  $J \subseteq I$ ) is a permutation graph, denoted G'. Therefore, a neighborhood query on x in G will be treated by augmenting the result of the query in G' with the vertices of  $I_1 \cup I_2$ .

Note that this encoding of interval graphs usually contains redundant information. During the query on x, a vertex whose interval is included in that of x will appear in the result of the query in G', as well as in  $I_1$  and in  $I_2$ . This drawback can be avoided by simply parsing the output list to remove repetitions. Anyway, this redundancy only introduces a constant multiplicative factor and we still achieve expected time and space complexity, provided that there exists a structure achieving it for permutation graphs. We now concentrate on building such a structure. **Permutation graphs.** The difficulty of encoding neighborhoods in permutation graphs comes from the fact that the neighborhood of a vertex can be spread everywhere in the two orders of the permutation model. Then, scanning the orders to collect the neighborhood of x may take up to O(n) time. However, we show that it is possible to extract the neighborhood of x from the permutation model, without scanning it, in O(d) time, where d is the degree of x. This can be achieved thanks to augmented Cartesian trees, introduced in [4], which is based on Cartesian trees [13] and constant time nearest common ancestor queries [8, 9] (see Appendix C for more details). This structure provides, in constant time, the maximum, on any given interval, of an integer function f defined on a linear order; and it can be computed in O(n) time for a linear order on n elements. Notice that changing f for its opposite provides the same features for minimum queries. We denote max(f, I) (resp. min(f, I)) for the maximum (resp. minimum) of function f over interval I.

MaxNeighbor(x, I)1.  $N \leftarrow \emptyset$ 2.  $y \leftarrow \pi_2^{-1}(max(\pi_2, I))$ 3. If  $y >_{\pi_2} x$  Then 4.  $N \leftarrow N \cup \{y\}$ 5. If  $l_I <_{\pi_1} y$  Then  $N \leftarrow N \cup \text{MaxNeighbor}(x, [l_I, y^-])$ 6. If  $y <_{\pi_1} r_I$  Then  $N \leftarrow N \cup \text{MaxNeighbor}(x, [y^+, r_I])$ 7. Return NNeighborhood(x)8.  $N \leftarrow \emptyset$ 9. If  $min(\pi_1) <_{\pi_1} x$  Then  $N \leftarrow N \cup MaxNeighbor(x, [min(\pi_1), x^-])$ 10. If  $x <_{\pi_1} max(\pi_1)$  Then  $N \leftarrow N \cup MinNeighbor(x, [x^+, max(\pi_1)])$ 11. Return N

Fig. 2. Routines MaxNeighbor and Neighborhood. x is a vertex of G and  $I = [l_I, r_I]$  is an interval of  $\pi_1$ .

We now detail the algorithm listing the neighborhood of a vertex (cf. Fig 2). An example can be found in Appendix D. The algorithm assumes that the model  $(\pi_1, \pi_2)$  of the permutation graph G is given, as well as the augmented Cartesian tree of the function  $\pi_2$  on the linear order  $\pi_1$ , which provides the maximum of  $\pi_2$  on any interval of  $\pi_1$ . The prerequisites of Routine MaxNeighbor(x, I) are: 1) x is a vertex of G and 2)  $I = [l_I, r_I]$  is a non-empty interval of  $\pi_1$  such that  $r_I < \pi_1 x$ . Lemma 1 below states that, when they are satisfied, the routine returns all the neighbors y of x that belong to I, and runs in O(d) time.

**Lemma 1.** When its prerequisites are satisfied, Routine MaxNeighbor returns the neighbors of x belonging to the interval I of  $\pi_1$ , in O(d) time.

**Proof.** The prerequisite 2) guarantees that  $r_I <_{\pi_1} x$ . Then, a vertex  $z \in I$  is adjacent to x iff  $z >_{\pi_2} x$ . Since the test of Line 3 occurs on the vertex  $y \in I$  having the greatest value for  $\pi_2(y)$ , it exactly determines whether x is adjacent to some vertex of I; and in the positive, y is added to N. Then, the search recursively goes on in the two pieces made by the removal of x in I. Since these two pieces still satisfy the prerequisites and since the search do not forget any part of I, it discovers all the neighbors of x belonging to I.

Thanks to the augmented Cartesian tree, the maximum query of Line 2 takes constant time, and so does each recursive call to MaxNeighbor. Then the complexity of the routine is its number of recursive calls. Each vertex  $y \in N(x) \cap I$  discovered during a recursive call, then called a *discovering call*, has not been discovered before, since the neighbors discovered are excluded from the search. Then the number of discovering calls is O(d). When a recursive call does not discover any neighbor of x, it does not call Routine MaxNeighbor. It follows that all calls are made by a discovering call, called its *parent call*. Since Routine MaxNeighbor contains at most two recursive calls during an execution, a discovering call is the parent of at most two non-discovering calls. Thus, the number of non-discovering calls is also O(d), and so is the total running time of Routine MaxNeighbor.

Similarly to MaxNeighbor, we can design a Routine MinNeighbor that discovers all the neighbors of x belonging to an interval I of  $\pi_1$  lying entirely to the right of x: simply replace max with min at Line 2 and reverse the inequality of Line 3. Then, from Lemma 1, it is clear that Routine Neighborhood discovers all the neighbors of x in O(d) time, as stated by Theorem 2.

**Theorem 2.** Routine Neighborhood returns the neighborhood of x in O(d) time.

#### 4 Breadth-First Search of permutation graphs

The input of our algorithm is a permutation graph G given by its model  $(\pi_1, \pi_2)$  and a linear order  $\sigma$  on V. It computes the BFS tree  $T_{\sigma}$  resulting from the BFS of G with priority order  $\sigma$ , that is, the BFS starting with vertex  $min(\sigma)$  and where the neighbors y of a vertex are examined with increasing  $\sigma(y)$ . Our algorithm does not discover the vertices in the same order as the standard BFS would do. Nevertheless, the tree T produced is the same, except that the children of a vertex of T are not ordered; which we fix, in a final step, by sorting all the children lists according to  $\sigma$ . The total complexity of the process is O(n).

```
BuildTree(\pi_1, \pi_2, \sigma)
1. x \leftarrow min(\sigma); ord(x) \leftarrow 1
2. initialize I and \Gamma with (x, x, x, x)
3. For all y \in N(x) Do
4.
            parent(y) \leftarrow x; color y in gray; update(\Gamma, y)
5. While I \neq \Gamma Do
6.
            \Gamma_{new} \leftarrow \Gamma; Exam \leftarrow \varnothing
            If \alpha_1 < a_1 Then PutInTree(\alpha_1, a_1^-, \pi_1, Q_1^l, Exam)
7.
            If \beta_1 > b_1 Then PutInTree(\beta_1, b_1^+, \pi_1, Q_1^r, Exam)
8.
            If \alpha_2 < a_2 Then PutInTree(\alpha_2, a_2^-, \pi_2, Q_2^l, Exam)
9.
            If \beta_2 > b_2 Then PutInTree(\beta_2, b_2^+, \pi_2, Q_2^r, Exam)
10.
            AssignOrd(Q_1^l, Q_1^r, Q_2^l, Q_2^r); color Exam in gray
11.
            I \leftarrow \Gamma; \Gamma \leftarrow \Gamma_{new}
12.
```

**Fig. 3.** Routine **BuildTree**. Lists  $Q_1^l$ ,  $Q_1^r$ ,  $Q_2^l$ ,  $Q_2^r$  and *Exam* are local variables of the main loop, as well as  $\Gamma_{new}$  which is a quadruplet of integers. *I* and  $\Gamma$  are global variables.

The algorithm. Routine BuildTree (cf. Fig. 3) computes the BFS tree of the connected component of the first visited vertex. It can be applied iteratively on the first non-visited vertex in  $\sigma$  in order to get the complete BFS forest. From now on, we concentrate on building a single tree T. In the description of the algorithm, parent(y) denotes for the parent of vertex y in T. Before the algorithm starts, parent(y) is initialized with  $\perp$ , and y is colored in white.  $\Gamma = (\alpha_1, \beta_1, \alpha_2, \beta_2)$  and  $I = (a_1, b_1, a_2, b_2)$  are quadruplets of vertices. We denote  $I_1$  for the interval  $[a_1, b_1]$  of  $\pi_1$  and  $I_2$  for  $[a_2, b_2]$  in  $\pi_2$ . Similarly,  $\Gamma_1 = [\alpha_1, \beta_1]$  in  $\pi_1$ , and  $\Gamma_2 = [\alpha_2, \beta_2]$  in  $\pi_2$ . Procedure update(X, y), where  $y \in V$  and  $X = (l_1, r_1, l_2, r_2)$  is a quadruplet of vertices, executes the instructions  $l_j \leftarrow min_{\pi_i}(l_j, y)$  and  $r_j \leftarrow max_{\pi_i}(r_j, y)$ , for  $j \in \{1, 2\}$ . Function ord takes integer values assigned by Procedure AssignOrd. We denote  $<_{ord}$  the order defined by  $u <_{ord} v$  iff ord(u) < ord(v). The arguments of AssignOrd are four lists (not necessarily disjoint), which are merged by the procedure into a single one  $Q_{qlob}$  without repetition and sorted according to order  $<_{prior}$  (defined below), the first element of the list being the least one for  $<_{prior}$ . Then, for each vertex x in  $Q_{glob}$ , the procedure assigns ord(x) with the rank of x in  $Q_{glob}$ . For any  $i \ge 0$ , we denote  $\mathcal{O}^i$  for  $\{y \in T^i \mid ord(y) \text{ is defined}\}$ . Order  $<_{prior}$  is defined by  $u <_{prior} v$  iff ord(parent(u)) < ord(parent(v)) or  $(ord(parent(u)) = ord(parent(v)) \text{ and } \sigma(u) < \sigma(v))$ . By convention, element  $\perp$  is the greatest for order  $<_{prior}$ .

Routine BuildTree builds T level by level from the root to the leaves. The  $i^{th}$  iteration of the main loop (starting at Line 5) builds  $T^{i+1}$  by parsing the vertices of  $(\Gamma_1 \setminus I_1) \cup (\Gamma_2 \setminus I_2)$ , thanks to the four calls to Routine PutInTree (cf. Fig. 4). This routine affects to the encountered white vertices their parent

in T (Line 4). A vertex is colored gray (Line 11 of BuildTree) only when it has been placed correctly in T; note that a vertex may be assigned a parent twice, once in  $\pi_1$  and once in  $\pi_2$ . The main loop stops when  $I = \Gamma$  (Line 5), that is, when the two intervals of  $\pi_1$  and  $\pi_2$  corresponding to the connected component C of  $min(\sigma)$  have been entirely parsed. Then, all the vertices have been assigned a parent, and the construction of T is over.

PutInTree
$$(u, v, \pi, Q, F)$$
1.  $p \leftarrow u; Q \leftarrow [p]$ 2. For y from u to v in  $\pi$  Do3. If y is white and  $p <_{prior} parent(y)$  Then4.  $parent(y) \leftarrow p; F \leftarrow F.[y]; update(\Gamma_{new}, y)$ 5. If y is gray and  $y <_{prior} p$  Then6.  $p \leftarrow y; Q \leftarrow [p].Q$ 

**Fig. 4.** Routine PutInTree.  $\pi$  is an order, u and v two vertices, and Q and F are lists.  $\Gamma_{new}$  is a variable of Routine BuildTree.

**Correctness.** It is straightforward that during all the algorithm,  $I_1 \subseteq \Gamma_1$  and  $I_2 \subseteq \Gamma_2$ . The key of the correctness of our algorithm is the following invariants. At the beginning of the  $i^{th}$  iteration of the main loop of BuildTree (Line 5), T has been built correctly until depth i, the set of gray vertices is exactly  $\bigcup_{0 \leq j \leq i} T^j$ , and the properties below hold (see Lemmas 5 and 6 in Appendix E).

- 1. the vertices of  $\bigcup_{0 \le i \le i} T^j$  have their two extremities in  $I_1$  and  $I_2$ ;
- 2. the vertices of  $T^i$  have their two extremities in  $\Gamma_1$  and  $\Gamma_2$ ;
- 3.  $I_1 \cup I_2$  contains all the vertices of  $\bigcup_{0 \le j \le i} T^j$  and no others;
- 4. the bounds of  $\Gamma_1$  and  $\Gamma_2$  belong to  $\bigcup_{0 \le j \le i}^{-1} T^j$ .
- 5. the order  $<_{ord}$  restricted to  $\mathcal{O}^{i-1}$  is exactly the order of visit of the vertices of  $\mathcal{O}^{i-1}$  by the standard BFS algorithm.

During the main loop, the four calls to PutInTree parse the vertices of  $B = (\Gamma_1 \setminus I_1) \cup (\Gamma_2 \setminus I_2)$ . The white vertices of B are exactly the vertices of  $T^{i+1}$  and the gray ones are in  $T^i$ . PutInTree affects to the white vertices of B their parent in T, which is among the gray vertices of B. The vertices of  $T^i$  that have their two extremities in  $I_1$  and  $I_2$  are leaves of T. Let us examine more precisely the first call to PutInTree, when  $\alpha_1 < a_1$ . From Invariant 1 and 4 (Inv. for short),  $\alpha_1 \in T^i$ . Let w be a white vertex of  $[\alpha_1, a_1^-]$ . Since all the vertices of  $I_1 \cup I_2$  are gray (Inv. 3) and since w is not adjacent to  $min(\sigma)$ ,

necessarily  $w <_{\pi_2} a_2$ . Moreover, since  $\alpha_1 \in T^i$ ,  $\alpha_1$  has an extremity in  $I_2$ (Inv. 3). It follows that w and  $\alpha_1$  are adjacent and  $w \in T^{i+1}$ . Similarly, w is adjacent to all the gray vertices  $z <_{\pi_1} w$  and to none of the gray vertices  $z >_{\pi_1} w$ . From Inv. 5 and the definition of  $<_{prior}$ , we have that, for  $u, v \in T^i$ ,  $u <_{prior} v$  iff u is visited before v by the standard BFS. Then, the test at Line 5 together with the affectation at Line 6 maintain variable p as the gray node of  $[\alpha_1, y]$  which is the first visited by the standard BFS, where y is the current vertex in the loop starting at Line 2. Thus, any white vertex  $w \in [\alpha_1, a_1^-]$  is assigned a parent which is the gray vertex of  $[\alpha_1, a_1^-] \cap N(w)$  being the first one visited by the standard BFS (remind that  $[w, a_1^-] \cap N(w) = \emptyset$ ). More generally, this is true for any white node discovered in any of the four calls to PutInTree. Note that a white vertex w may be seen in two different calls to PutInTree, once in  $\pi_1$  and once in  $\pi_2$ . In this case, the test at Line 3 guarantees that the second possible parent is affected if and only if it is visited by the standard BFS before the first one. Thus, during the main loop, any white vertex w of B is affected its correct parent in T. We showed that  $w \in T^{i+1}$ ; note that conversely, every vertex  $w \in T^{i+1}$  is white and has necessarily an extremity in B: otherwise, it would either be adjacent to none of the vertices of  $\bigcup_{0 \le i \le i} T^j$ , or adjacent to  $min(\sigma)$ ; both contradict the fact that  $w \in T^{i+1}$ . As a conclusion, the  $i^{th}$  iteration of the main loop properly computes level  $T^{i+1}$  and colors its vertices in gray (Line 11).

The fact that  $\Gamma_{new}$  is updated, at Line 4 of PutInTree, every time a white vertex (which will become gray at Line 11) is visited, together with the two affectations at Line 12 of BuildTree, imply that Inv. 1 to 4 are still true at the beginning of the next iteration. Finally, since order  $\langle_{prior}$  on set  $T^i$  is the order of visit of the vertices of  $T^i$  by the standard BFS, and since AssignOrd (Line 11) assigns the values of ord(v) to the vertices  $v \in T^i$  according to  $\langle_{prior}$ , it follows that Inv. 5 is also maintained during the loop.

**Complexity.** The total running time of our BFS algorithm is O(n). First, we build the structure of Section 3 that allows to answer neighborhood queries in O(d) time. It takes O(n) time. Then, Routine BuildTree gives the BFS tree (except the order on the children) of the connected component C of the first vertex examined, in O(|C|) time. We repeat Routine BuildTree, starting from the first non-visited vertex in  $\sigma$ , until all the vertices of the graph have been visited. As the sets of vertices visited during each call to BuildTree are disjoint, the total running time of all the calls to BuildTree is O(n). At last, we order the lists of children of the vertices in all the trees produced: set the lists of children of all the vertices in the forest to  $\emptyset$ , and scan  $\sigma$  placing each vertex in the list of its parent. At the end of the scan, all the children lists have been rebuilt and sorted according to  $\sigma$ . This process takes O(n) time.

Let us detail the O(|C|) time complexity of Routine BuildTree. Remind that B denotes for  $(\Gamma_1 \setminus I_1) \cup (\Gamma_2 \setminus I_2)$ . Thanks to the data structure of Section 3, at Line 3, we can get N(x) in O(|N(x)|) time, which is also the running time of the initialization loop. In PutInTree, all instructions take O(1) time, and the Routine runs in  $O(|\pi(u) - \pi(v)|)$  time. That is, O(|B|)time for the four calls of the main loop. Coloring *Exam* also takes O(|B|)time. The complexity of procedure *AssignOrd* is a crucial point. It is worth to note that any list Q' being one of its arguments is already sorted according to  $<_{prior}$ . This is a property of PutInTree, which produces Q', guaranteed by the test  $y <_{prior} p$  at Line 5 and the affectations of Line 6. It follows that *AssignOrd* can be implemented to merge the four lists in a single one, sorted according to  $<_{prior}$ , in  $O(|Q_1^l| + |Q_1^r| + |Q_2^l| + |Q_2^r|) = O(|B|)$  time. Then, the running time of an iteration of the loop is O(|B|), and since all the B's considered until the end of the loop are pairwise disjoint, it follows that the main loop, as well as Routine BuildTree, runs in O(|C|) time.

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#### A Relationships between contiguity and linearity

In the article, we focus on closed linearity which is "more powerful" than open linearity and open or closed contiguity, i.e., if one of these three parameters are bounded then closed linearity is bounded too. We prove this claim with three lemmas following a formal definition of contiguity.

**Definition 2.** Let G = (V, E) be a graph and let  $\sigma \in \Pi(V)$ . We denote  $c_{\sigma}(x)$  (resp.  $c_{\sigma}[x]$ ) the number of maximal intervals I of  $\sigma$  st.  $I \subseteq N(x)$  (resp. N[x]). We define the open contiguity of G as  $oc(G) = \min_{\sigma \in \Pi(V)} \max_{x \in V} c_{\sigma}(x)$ , and the closed contiguity as  $cc(G) = \min_{\sigma \in \Pi(V)} \max_{x \in V} c_{\sigma}[x]$ .

**Lemma 2.** Any graph G = (V, E) satisfies  $cl(G) \leq cc(G)$ .

**Proof.** Let G = (V, E) and  $\sigma \in \Pi(V)$  realizing cc(G) = p. We build a closed p-line model by adding p copies of  $\sigma$ . Then the interval used to code the neighborhood of a vertex v in the *i*-th copy of  $\sigma$  is the *i*-th interval used to code the neighborhood of v in  $\sigma$ .  $\Box$ 

**Lemma 3.** Any graph G = (V, E) satisfies  $cl(G) \le oc(G) + 1$ .

**Proof.** Let G = (V, E) and  $\sigma \in \Pi(V)$  realizing oc(G) = p. As the open neighborhood of any vertex v appears as p intervals in  $\sigma$ , its closed neighborhood appears as at most p + 1 intervals, so  $cc(G) \leq oc(G) + 1$ . Using Lemma 2,  $cl(G) \leq oc(G) + 1$ .

**Lemma 4.** Any graph G = (V, E) satisfies  $cl(G) \le ol(G) + 1$ .

**Proof.** If G admits an open p-line-model, then we obtain a closed p + 1-line-model of G by adding a  $p + 1^{th}$  linear order  $\sigma_{p+1}$  such that for all  $x \in V$  the interval associated to x in  $\sigma_{p+1}$  is  $\{x\}$ .

# B Lower bound for the contiguity of interval and permutation graphs

We denote  $\Pi(V)$  for the set of linear orderings of set V.

**Theorem 3.** For any  $k \in \mathbb{N}$ , there exists a graph G that is both an interval graph and a permutation graph, and such that  $cc(G) \geq k$ .

**Proof.** Consider the transitive closure of the rooted directed ternary tree  $T_n$  of depth n. Let  $G_n$  be its underlying undirected graph.  $G_n$  is a permutation graph: for every internal node v of T, choose an arbitrary order  $\pi_v$  on its three children. Let  $\pi_1$  (resp.  $\pi_2$ ) be the order in which one discovers the nodes of T in a Depth-First Search that respects the orders  $\pi_v$  (resp.  $\overline{\pi_v}$ ) for all  $v \in T$ .  $(\pi_1, \overline{\pi_2})$  is a permutation model of  $G_n$ . It is easy to see that  $G_n$  is also an interval graph: for any vertex  $v \in T$ , choose an interval included in its father's one and disjoint from its siblings'one.

We show by induction that for all i and for all  $\sigma \in \Pi(V(G_i))$ , there exists a vertex  $x \in V(G_i)$  such that  $c_{\sigma}(x) \geq i$ . This is true for i = 1 since for any  $\sigma \in \Pi(V(G_1))$ , all the three children of the root cannot be next to it in  $\sigma$ . If for some  $i \geq 1$ , the property holds, then it holds for i + 1. Let  $\sigma \in \Pi(V(G_{i+1}))$ . We denote r for the root of  $T_{i+1}$  and  $v_1, v_2, v_3$  its children. Since there are at most two vertices of  $T_{i+1}$  that are next to r in  $\sigma$ , then there exists  $j \in [1,3]$  st. no vertex of  $T_i(v_j)$  is next to r in  $\sigma$ . By induction, there exists  $v \in V(T_i(v_j))$  such that  $c_{\sigma[T_i(v_j)]}(v) \geq i$ . Since r is adjacent to v in  $T_i$ , thus  $c_{\sigma}(v) \geq i + 1$ .

Remark 1. The proof of Theorem 3 shows that there exist permutation graphs and interval graphs on n vertices having a closed contiguity of  $\Omega(\log n)$ .

#### C Augmented cartesian trees

The augmented cartesian tree data structure relies on the Cartesian tree introduced by Vuillemin [13] and on a data structure for lowest common ancestor<sup>6</sup> queries designed by Harel and Tarjan [8, 9].

Let f be an integer function defined on linear order  $\sigma = [x_1, \ldots, x_{m-1}, x_m, x_{m+1}, \ldots, x_n]$ , where  $x_m$  is an element realising the maximum value of f on  $\sigma$ . A Cartesian tree of f on  $\sigma$  is a binary tree whose root is  $x_m$  and whose left subtree is a Cartesian tree of f on  $[x_1, \ldots, x_{m-1}]$ , and whose right subtree is a Cartesian tree of f on  $[x_{m+1}, \ldots, x_n]$ . The nodes of a Cartesian tree are exactly the elements of  $\sigma$ . It is not difficult to see that the maximum of f over a given interval  $I = [l_I, r_I]$  is reached on the nearest common ancestor of the nodes corresponding to  $l_I$  and  $r_I$  in the Cartesian tree. ancestor of two nodes in a tree and the problem of finding the maximum over a given interval of an integer function defined on a linear order are linear time equivalent.

The fact is that [8,9] showed that, given a tree on n nodes, it is possible to precompute it in O(n) time so that nearest common ancestor queries can be answered in O(1) time for any pair of nodes.

<sup>&</sup>lt;sup>6</sup> The lowest common ancestor of two nodes u and v in a tree is defined as the minimum (for the "is a descendant of" relationship) of the common ancestors of u and v.

As the Cartesian tree and the structure of [8,9] take O(n) space, this results in an O(n) space structure providing the maximum, and an element reaching it, of f on any interval of  $\sigma$  in constant time. Changing f into its opposite immediately gives the same feature for the minimum instead of the maximum.

#### D Efficient neighborhood algorithm for permutation graphs

Here we give an example of execution of routine Neighborhood on the vertex  $v_6$  of the permutation graph shown in Figure 5(a). As  $\pi_1(v_6) = 6$ , Neighborhood only calls MaxNeighbor $(v_6, [1, 5])$ , which should return the list of all neighbors of x whose upper extremity is in interval [1, 5], i.e.  $\{3, 4, 5\}$ .

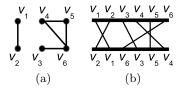


Fig. 5. A permutation graph (a) and its permutation model (b):  $\pi_1 = (123456)$  and  $\pi_2 = (214653)$ .

MaxNeighbor $(v_6, [1, 5])$  identifies the neighbor of  $v_6$  with upper extremity in [1, 5] and right-most lower extremity,  $v_4$ , whose lower extremity is at position 6. So  $y \leftarrow v_4$ , and  $m \leftarrow 6$ .  $v_4$  is added to N and MaxNeighbor is called recursively on the two remaining intervals: [1, 3] and [5, 5].

MaxNeighbor $(v_6, [1, 3])$  puts 4 into m and  $v_3$  into y, and only calls MaxNeighbor $(v_6, [1, 2])$ , which is not a discovering call and returns  $\emptyset$ . Finally, MaxNeighbor $(v_6, [1, 3])$  only returns  $\{3\}$ .

MaxNeighbor $(v_6, [5, 5])$  puts 5 into m and  $v_5$  into y, and directly returns  $\{5\}$ .

Finally, MaxNeighbor( $v_6, [1, 5]$ ) returns  $N = \{3, 4, 5\}$ .

#### E Correctness proof of the Breadth-First Search algorithm for permuation graphs

We denote  $\mathcal{G}$  for the set of gray vertices.

**Lemma 5.** At the beginning of the  $i^{th}$  iteration of the main loop, the following properties hold.

1.  $\bigcup_{0 \le i \le i} T^j \subseteq I_1 \cap I_2$ ; and

- 2.  $T^i \subseteq \Gamma_1 \cap \Gamma_2$ ; and
- 3.  $\bigcup_{0 \leq j \leq i} T^j = I_1 \cup I_2 = \mathcal{G}; and$
- 4.  $\{a_1, b_1, a_2, b_2\} \subseteq \bigcup_{0 \le j < i} T^j \text{ and for } j \in \{1, 2\}, \ \alpha_j \neq a_j \Rightarrow \alpha_j \in T^i \text{ and } \beta_i \neq b_i \Rightarrow \beta_i \in T^i.$

**Proof.** It is easy to check that the invariant is true at the beginning of the first iteration. Now, suppose that it is true at the beginning of the  $i^{th}$  iteration, for some  $i \ge 1$ . Let us show that  $T^{i+1} = \mathcal{W} \cap (\Gamma_1 \cup \Gamma_2)$ . Let  $y \in \mathcal{W} \cap (\Gamma_1 \cup \Gamma_2)$ . Since  $I_1 \cup I_2 = \mathcal{G}$ ,  $y \in (\Gamma_1 \setminus I_1) \cup (\Gamma_2 \setminus I_2)$ . If  $\alpha_1 \ne \alpha_1$ , from the invariant, we have  $\alpha_1 \in T^i \subseteq \mathcal{G} = I_1 \cup I_2$ . Then, as  $\alpha_1 \notin I_1$ ,  $\alpha_1 \in I_2$ . It follows that all the vertices of  $[\alpha_1, \alpha_1^-]$  are adjacent to  $\alpha_1$ . And more generally, all the vertices of  $(\Gamma_1 \setminus I_1) \cup (\Gamma_2 \setminus I_2)$  are adjacent to one of  $\alpha_1, \beta_1, \alpha_2, \beta_2$ . Since  $y \in \mathcal{W}$ , then  $y \notin \bigcup_{0 \le j \le i} T_j$ ; and since  $\{\alpha_1, \beta_1, \alpha_2, \beta_2\} \subseteq T^i$ , we obtain that  $y \in T^{i+1}$ . Conversely, let  $y \in T^{i+1}$ . Since  $\bigcup_{0 \le j \le i} T_j = \mathcal{G}$ ,  $y \in \mathcal{W}$ . Suppose that  $y \notin \Gamma_1 \cup \Gamma_2$ . Since  $x \in T^0$  and  $y \in T^{i+1}$  with  $i \ge 1$ , y is not adjacent to x. Then, either  $y <_{\pi_1} \alpha_1$  and  $y <_{\pi_2} \alpha_2$ , or  $y >_{\pi_1} \beta_1$  and  $y >_{\pi_2} \beta_2$ . In both case, since  $\bigcup_{0 \le j \le i} T_j \subseteq \Gamma_1 \cap \Gamma_2$ , it follows that  $y \in T^{i+1}$ . Thus,  $y \in \Gamma_1 \cup \Gamma_2$ . And finally,  $T^{i+1} = \mathcal{W} \cap (\Gamma_1 \cup \Gamma_2)$ .

It follows that the white vertices discovered during the four calls to PutInTree are exactly the vertices of  $T^{i+1}$ . At the end of the loop, they are colored in gray and I is set to the old value of  $\Gamma$ . Then  $\bigcup_{0 \leq j < i+1} T^j \subseteq I_1 \cap I_2$ ,  $\bigcup_{0 \leq j \leq i+1} T^j = I_1 \cup I_2 = \mathcal{G}$  and  $\{a_1, b_1, a_2, b_2\} \subseteq \bigcup_{0 \leq j < i+1} T^j$ . Furthermore,  $\Gamma$  is assigned the value of  $\Gamma_{new}$  that has been computed by the calls to  $update(\Gamma_{new}, y)$  at Line 4 of PutInTree when a new white vertex (which belong to  $T^{i+1}$ ) is encountered. It implies that, for  $j \in \{1, 2\}$ ,  $\alpha_j \neq a_j \Rightarrow \alpha_j \in T^{i+1}$  and  $\beta_j \neq b_j \Rightarrow \beta_j \in T^i$  and  $T^{i+1} \subseteq \Gamma_1 \cap \Gamma_2$ . Thus, the invariant is still statisfied at the beginning of the next iteration of the main loop.  $\Box$ 

**Lemma 6.** At the beginning of the *i*<sup>th</sup> iteration of the main loop, the order  $<_{ord}$  on the set  $\mathcal{O}^{i-1} = \{y \in T^{i-1} \mid ord(y) \text{ is defined}\}$  is exactly the order of visit of the vertices of  $\mathcal{O}^{i-1}$  by the standard BFS algorithm. And the vertices of  $T^{i-1} \setminus \mathcal{O}^{i-1}$  are leaves of T.

**Proof.** Suppose it is true for some  $i \geq 1$ . Then, clearly, order  $<_{prior}$  is defined for all vertices of  $T^i$  and is precisely the order of visit of the vertices of  $T^i$ . Since AssignOrd assigns the values of ord to vertices of  $\mathcal{O}^i$  by increasing  $<_{prior}$ , it follows that  $<_{ord}$  on  $\mathcal{O}^i$  is the order of visit of the vertices of  $\mathcal{O}^i$  by the standard BFS algorithm. Now, let  $y \in T^i \setminus \mathcal{O}^i$ . Since y has not been assigned a value for ord, necessarily, it was not placed in Q at Line 6 of PutInTree, because the test of Line 5 was negative. Since  $y \in T^i$ , from Lemma 5, y was already gray when the test was performed. Necessarily, the

test was negative because there exists  $z \in T^i \cap [\alpha_1, y^-]$  such that  $z <_{prior} y$ . Let us show that all the vertices of  $T^{i+1}$  that are adjacent to y are also adjacent to z, which imply that y has no children in T. From Lemma 5,  $y \in I_2$ . Furthermore, since vertices  $u \in T^{i+1}$  are not adjacent to  $min(\sigma)$  and since  $\bigcup_{0 \leq j \leq i} T^j = I_1 \cup I_2$ , it follows that either  $u <_{\pi_1} a_1$  and  $u <_{\pi_2} a_2$ , or  $u >_{\pi_1} b_1$ and  $u >_{\pi_2} b_2$ . Then, since u is adjacent to y,  $y <_{\pi_1} u <_{\pi_1} a_1^-$  and  $u <_{\pi_2} a_2^-$ . And since  $z \in I_2$  and  $z <_{\pi_1} y$ , u is adjacent to z. Thus, y has no child in T, which ends the proof of the invariant.  $\Box$