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# CONSIDERING THE RECONSTRUCTION LOOP FOR WATERMARKING OF INTRA AND INTER FRAMES OF H.264/AVC

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## ABSTRACT

This paper presents design and analysis of watermarking of *intra* and *inter* frames in H.264/AVC video codec. Most of video watermarking algorithms take into account only *intra* for watermark embedding. In this paper, we analyze watermark embedding in *intra* as well as in *inter* and we note that watermark embedding capability of *inter* is comparable to that of *intra*. Watermark embedding, in only those non-zero quantized transform coefficients (QTCs) which are above a specific threshold, enables us to detect and extract the watermark on the decoding side. There is not significant compromise on quality and bitrate of the video bitstream because we have taken into account the reconstruction loop during the watermarking step. The proposed scheme does not target robustness. Rather the main contribution of our scheme is higher payload as compared to payloads obtained in previous works.

## 1. INTRODUCTION

Many multimedia applications have emerged in the last decade because of rapid growth of processing power and network bandwidth. As digital data can be easily copied or modified, it must be protected and authenticated. Digital video watermarking has emerged as an important research field to protect the copyrighted multimedia data. In video data, watermarking can be carried out either in spatial or frequency domain. Watermarking in spatial domain can be lost because of the lossy stage of quantization. In the frequency domain, watermarking is done normally in QTCs. For this purpose, few specific methods have been developed for MPEG video standards [2, 4]. The purpose of this paper is to investigate the payload capability of *intra* and *inter*, since video data consists of *intra* followed by trail of *inters*. Challenge lies in the fact that bitrate may rise significantly because of watermark embedding. To overcome this limitation, watermark has been embedded in only those QTCs which have magnitude over a certain threshold.

In Section 2, we present the H.264/AVC video codec and previous related watermarking techniques. We present the proposed algorithm by elaborating the embedding and extraction steps in Section 3. Section 4 contains experimental results for both *intra* and *inter* including payload capability, bitrate and quality trade off for embedding in more than one LSBs. Finally, in Section 5, we present the concluding remarks about the proposed algorithm.

## 2. H.264/AVC WATERMARKING

### 2.1 Overview of H.264/AVC

In this section, an overview of H.264/AVC with an emphasis on integer transform (IT) and quantization is presented.

H.264/AVC [1] has some additional features as compared to previous video standards. In *baseline* profile of H.264/AVC, it has  $4 \times 4$  transform in contrast to  $8 \times 8$  transform of previous standards. DCT transform has been replaced by IT which can be implemented by only additions and shifts in 16 bit arithmetic without any multiplication and hence requires lesser number of computations. H.264/AVC codec uses a uniform scalar quantization. For *Inter* frame, H.264/AVC supports variable block size motion estimation, quarter pixel accuracy, multiple reference frames, improved skipped and direct motion inference. For *Intra* frame, it offers additional spatial prediction modes. All these additional features of H.264/AVC are aimed to outperform previous video coding standards [11]. The block diagram of H.264/AVC is shown in Fig. 1.

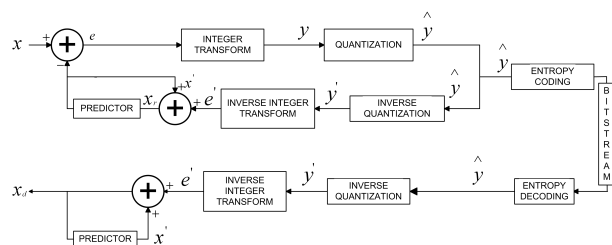


Figure 1: Detailed block diagram explaining prediction, transform and quantization steps in H.264/AVC.

A macroblock (MB) is divided into 16 blocks of  $4 \times 4$  pixels and they are processed one by one. In *intra* mode, H.264/AVC has three modes, *Intra\_4x4*, *Intra\_16x16* and *I\_PCM*. In *Intra\_16x16* mode, Hadamard transform is further used to encode DC coefficients. Entire MB is predicted from top and left neighboring pixels and has 4 modes namely *horizontal*, *vertical*, *DC* and *plane* modes. In *Intra\_4x4* mode, each  $4 \times 4$  luma block is predicted from top and left pixels of reconstructed  $4 \times 4$  neighbors and has 9 prediction modes. *I\_PCM* mode is used to limit the maximum size of encoded block and bypass transform and quantization stages. Transform and the quantization process are embedded with each other to save the processing power and to avoid multiplications. Let  $X$  be a  $4 \times 4$  block as shown in Fig 1. First of all, it is predicted from its neighboring blocks and we get the residual block:

$$E = P(X, B_1, B_2, B_3, B_4). \quad (1)$$

*Intra* prediction is performed from the reconstructed neighboring pixels where  $B_i$  are the reconstructed neighboring blocks. Forward and inverse integer transform  $4 \times 4$  matrices  $(A, A_{inv})$  are given in [7]. This residual block  $E$  is

then transformed using the forward transform matrix  $A$  as  $Y = AEA^T$ . Scalar multiplication and quantization are defined as:

$$\hat{Y} = \text{sign}\{Y\}[(|Y| \otimes Aq + Fq \times 2^{15+Eq}) \gg (15+Eq)], \quad (2)$$

where  $\hat{Y}$  is quantized transformed coefficient.  $Aq$  is the  $4 \times 4$  quantization matrix and  $Eq$  is the shifting matrix. Both  $Aq$  and  $Eq$  are indexed by QP.  $Fq$  is the quantization rounding factor matrix. Right shift operator is applied to every elements of  $4 \times 4$  matrix. This  $\hat{Y}$  is entropy coded and sent to the decoder side. On the decoder side, inverse quantization is given by the expression  $Y' = \{[(\hat{Y} \otimes (Bq \ll 4)) \ll Eq] + 8\} \gg 4$ , where  $Bq$  and  $Eq$  are the inverse  $4 \times 4$  quantization matrix and the shifting factor respectively.  $Y'$  is then inverse transformed to get  $E' = (A_{inv}Y'A_{inv}^T + 32) \gg 6$ . The decoded residual signal  $E'$  is then added to the predicted signal to reconstruct the original signal back.

## 2.2 Previous H.264/AVC watermarking techniques

In literature, very few watermarking techniques have been proposed for H.264/AVC. Most of them embed the message only in the *intra* frames. This is due to the inherent complexity and compression efficiency of this video standard. Because of IT, traditional spread spectrum techniques are not viable in the transform domain since they embed watermark drawn from a Gaussian distribution. Golikeri *et al.* have proposed robust watermarking algorithm for H.264/AVC [3], in which they have used visual models developed by Watson [10] to choose the coefficients to be watermarked based on frequency sensitivity, luminance masking and contrast masking. They embed watermark in transformed coefficients before quantization. In the case of *Intra*<sub>16</sub>  $\times$  16 mode, they have also embedded the watermark in Hadamard transform coefficients. Their algorithm is robust but have very small payload. To avoid processing intensive decoding followed by re-encoding along with watermarking, some methods have suggested embedding watermark in entropy coding stage [5, 6, 8, 12]. Such algorithms face two major limitations. First, payload of such algorithms is very less which is up to few bytes per second as explained in [4]. Second, there is a continuous drift which degrades the visual quality significantly. To avoid drift, drift compensation signal should be added to decoder. Noorkami and Merserau have presented a technique to embed watermark in both *intra* and *inter* frames [9]. They propose to embed watermark in all non-zero QTCs. They claim that visual quality of *inter* frames is not compromised even if we embed watermark in all non-zero QTCs. Owing to embedding of watermark only in non-zero QTCs, their method does not affect the compression efficiency of run-length coding. But performance of context-based adaptive variable length coding (CAVLC) gets affected and as a result, some increase in bitrate is observed, since there are lot of QTCs whose magnitude is 1 and CAVLC encodes *trailing ones* (T1's) separately.

## 3. PROPOSED ALGORITHM

In this paper, we have not embedded watermark in all non-zero QTCs, rather we have embedded watermark in only those QTCs which are above a certain threshold. This threshold depends upon the number of watermark bits (WMBits) being embedded. It has two advantages. First, it makes it

possible to extract the watermark on the decoder side. Second, it does not affect much the compression efficiency of entropy coding. We have not targeted robustness here. Rather we have demonstrated the high payload capability of the proposed scheme which is very high as compared to other schemes. Hence, the proposed scheme can be used in application where robustness is not required, e.g., broadcasting and hiding of meta data.

In H.264/AVC, intra prediction is performed in the spatial domain. So even for *intra* mode, IT is performed on prediction residuals. Since DC QTCs contain most of the energy and embedding watermark in them affects the video quality and the bitrate significantly, we have not embedded watermark in DC QTCs for *Intra*<sub>4</sub>  $\times$  4 mode. While for *Intra*<sub>16</sub>  $\times$  16 mode, we have not modified the Hadamard transform coefficients. We have embedded watermark in LSBs of AC QTCs keeping in view the following points:

- QTC which we want to watermark should be non-zero. If a QTC with zero magnitude becomes non-zero in the course of embedding, it will highly affect the compression efficiency of run-length encoding.
- QTC to be watermarked should be preferably greater than '1' because there are many QTCs with magnitude '1' and in CAVLC, they are encoded as T1's, a separate syntax element. Thus changing of number of T1's will affect the compression efficiency of CAVLC.
- Finally watermark is embedded in such a fashion that it can be completely extracted on the decoder side.

### 3.1 Embedding process within encoder loop

From equation (2) we apply the embedding with:

$$\hat{Y}_w = f(\hat{Y}, W, [K]). \quad (3)$$

where  $f()$  is the watermarking process,  $W$  the watermark signal and  $K$  is the optional watermarking key. Watermark embedding can be done in QTC before entropy coding. This embedding creates two problems. Firstly, we started reconstruction on the encoder side with QTC  $\hat{Y}$  while on the decoder side we start decoding with watermarked QTC  $\hat{Y}_w$ . This results in a mismatch on the decoder side, which keeps on increasing because of the prediction process. Because of this mismatch, the difference in PSNR is very significant even for *intra* frames, let alone the *inter* frames. Secondly, Rate Distortion (RD) bit allocation algorithm works in quantization module and any change in bitrate/quality trade off because of the watermarking of QTC is not being taken into account.

To solve both the problems, watermark embedding should be performed inside the reconstruction loop as shown in Fig. 2. In this case, we have the same watermarked QTC  $\hat{Y}_w$  on both encoder and decoder side for prediction and RD bit allocation algorithm is also working on  $\hat{Y}_w$ .

### 3.2 Watermark aware Rate Distortion

Many encoding parameters like prediction modes, quantization parameter (QP) and motion vectors are adjusted in the encoding process based on video content and required quality. Since video data is very diverse in nature both spatially and temporally, these parameters vary from scene to scene. Bit allocation algorithms are used to find the most suitable values of these parameters to achieve the trade off between bitrate and quality. For RD, Lagrangian bit-allocation is widely used owing to its simplicity and effectiveness. The



For *intra* frames we have encoded 150 frames of each sequence. Non-zero QTCs are present in those parts of frames which contain texture and edges. These are spatial masking areas and watermark is embedded in those areas of the frames. To analyze payload simulation, we have used *foreman* video sequence for the QP values of 18 and 36 as shown in Table 1. At QP value of 18, we have large number of QTCs which can be watermarked and hence payload is high for all the modes. At QP value of 36, we have adequate number of QTCs for 1 LSB mode so we have enough number of WMBits embedded. But payload for 2 LSBs mode is lower as fewer QTCs have magnitude above threshold for this mode. With the embedding of WMBits, QTCs are modified and hence there is a decrease in PSNR. At QP value of '18', higher number of coefficients are watermarked and hence a greater reduction in the PSNR. While at QP value of '36', we have lesser QTCs to be watermarked, hence less degradation in quality is observed.

		Payload Kbits/frame	Frame Size Kbytes	PSNR dB
QP 18 I frames	0	0	2.815	44.883
	LSB1	9.375	2.889	43.801
	LSB2	5.605	2.875	43.605
	LSB1&2	12.484	2.909	42.928
QP 36 I frames	0	0	0.377	32.628
	LSB1	0.206	0.381	32.536
	LSB2	0.012	0.377	32.612
	LSB1&2	0.214	0.381	32.526

Table 1: Overall analysis of watermark embedding in *intra* frames for *foreman* sequence.

Owing to the fact that we have not changed zero QTCs and T1's, bitrate has increased only slightly. This increase is due to two reasons. One, watermarked reconstructed QTCs are used for prediction of future MBs which results in more residual and hence increase in bitrate. Secondly, absolute value of QTC increases gradually in inverse scan order and entropy coding is designed for this distribution. After WM-Bit embedding, this order may get disturbed and depends upon the WMBits being embedded. Fig. 3.a and 3.b illustrate the payload for each *intra* frame in *foreman* for QP=18 and QP=36.

For experimental simulation of *intra* & *inter* frames, *intra* period has been set 15. Non-zero QTCs are found in the parts of frames containing motion and texture. Watermark is embedded in those areas as these are temporal masking areas in *inter* frames. After *intra* frame, first few *inter* frames are better predicted and contain lesser residual errors. Hence watermark embedding affects quality and compression ratio, but after a few *inter* frames, residual errors increase and watermark embedding does not affect so much the quality of *inter* frames. On average, after 5 frames, the payload/frame size ratio of *inter* frames is very close to that of *intra* frames. Table 2 and 3 show the average change in frame size for *foreman* sequence at QP value of 18 and 36 for *intra* & *inter* frames. Fig. 4.a and 4.b illustrate the payload for I and P frames in *foreman* for QP values of 18 and 36. On average, the change in file size is 3.2%, 2.7% and 2.8% for *intra*, *inter* and *intra* & *inter* respectively for a QP value of 18.

Between 1 LSB and 2 LSBs embedding modes, 1 LSB

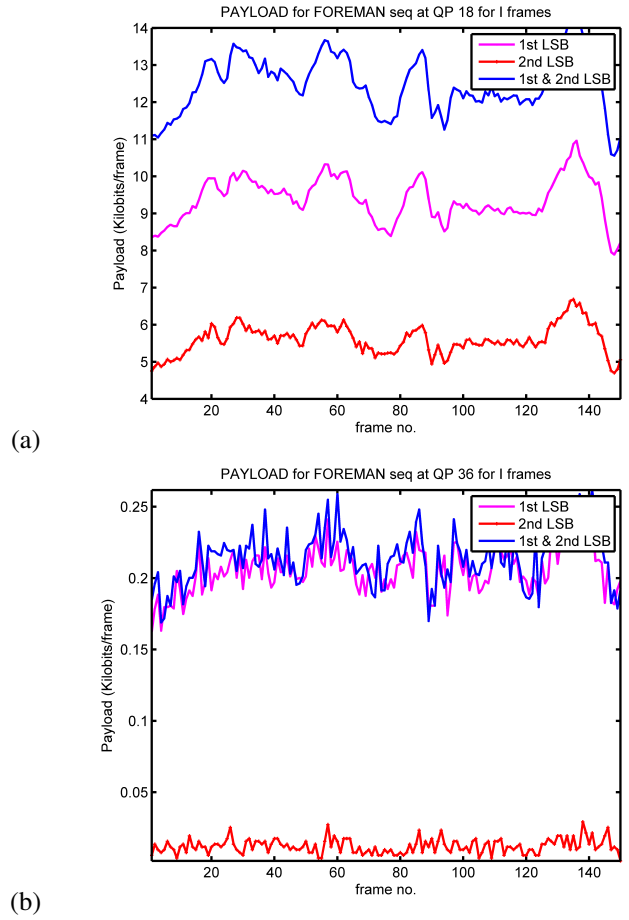
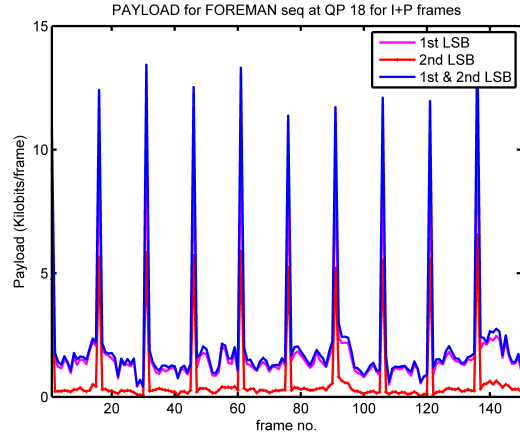


Figure 3: Analysis of payload capability for watermark embedding of *intra* frames in *foreman* for QP: a) 18, b) 36.

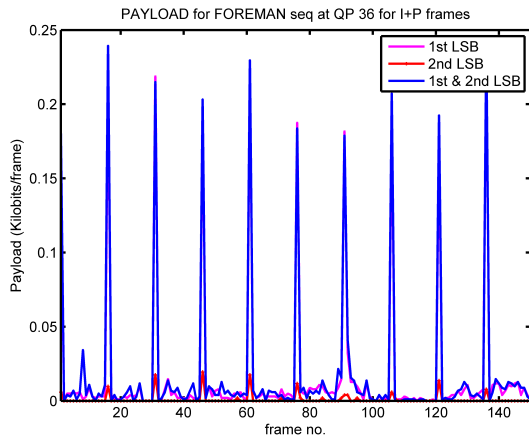
		Payload Kbits/frame	Frame Size Kbytes	PSNR dB
QP 18 I frames	0	0	2.818	44.876
	LSB1	9.352	2.892	43.800
	LSB2	5.586	2.878	43.605
	LSB1&2	12.452	2.913	42.917
QP 18 P frames	0	0	1.317	44.541
	LSB1	1.378	1.343	44.302
	LSB2	0.280	1.333	44.449
	LSB1&2	1.530	1.354	44.230
QP 18 I + P	0	0	1.417	44.563
	LSB1	1.909	1.446	44.269
	LSB2	0.633	1.436	44.392
	LSB1&2	2.258	1.458	44.144

Table 2: Overall analysis of watermark embedding in *intra* and *inter* frames for *foreman* sequence at QP=18.

performs better having higher payloads and minimum increase in bitrate. In 2 LSBs mode, 2 bits are embedded at the same time and thus magnitude of compromise is higher. 2 LSBs mode should be used in combination with 1 LSB mode as we have done in 1 & 2 LSBs embedding mode to get higher payload. One can note that just like bitrate, pay-



(a)



(b)

Figure 4: Analysis of payload capability for watermark embedding of *intra* & *inter* in *foreman* for QP: a) 18, b) 36.

		Payload Kbits/frame	Frame Size Kbytes	PSNR dB
QP 36 I frames	0	0	0.376	32.613
	LSB1	0.198	0.380	32.523
	LSB2	0.011	0.376	32.589
	LSB1&2	0.207	0.381	32.520
QP 36 P frames	0	0	0.074	32.353
	LSB1	0.005	0.074	32.315
	LSB2	$1 \times 10^{-4}$	0.073	32.336
	LSB1&2	0.005	0.074	32.318
QP 36 I + P	0	0	0.094	32.370
	LSB1	0.017	0.094	32.329
	LSB2	$8 \times 10^{-4}$	0.093	32.353
	LSB1&2	0.019	0.095	32.331

Table 3: Overall analysis of watermark embedding in *intra* and *inter* frames for *foreman* sequence at QP=36.

load varies with QP both in case of *intra* and *inter*. For the sake of comparison, let us define the *watermark cost* be the increase in bitrate (in bits) per watermark bit. In case of *intra*, the *watermark cost* is 0.06 and 0.15 at QP values of 18 and 36 respectively. These results are far better than 1.54, the result presented in [9]. In case of *intra* and *inter*, we get

*watermark cost* of 0.15 and 0.42 at QP values of 18 and 36 respectively which is far better than 1.50, the result of work presented in [9]. At higher QP values, we do not have lot of QTCs which can be watermarked but the ratio between payload and bitrate is still conserved.

## 5. CONCLUSION

We have designed and analyzed a new video watermarking scheme for H.264/AVC. Our scheme embeds RD optimized watermark in QTCs for both *intra* and *inter* frames. Our watermark offers consistent payload capability to H.264/AVC standard at different bitrates without adversely affecting the overall bite rate and quality of the video bitstream. *Intra* and *inter* frames can be used for LSB embedding by taking into account to the reconstruction loop. Experimental results have demonstrated that *inter* frames can be equally good for watermark embedding owing to its motion and texture masking.

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