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WDM and directed star arboricity

Omid Amini*  Frédéric Havet*  Florian Huc*  Stéphan Thomassé†

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Abstract

A digraph is $m$-labelled if every arc is labelled by an integer in $\{1, \ldots, m\}$. Motivated by wavelength assignment for multicasts in optical star networks, we study $n$-fiber colourings of labelled digraph which are colourings of the arcs of $D$ such that at each vertex $v$, for each colour in $\lambda$, $in(v, \lambda) + out(v, \lambda) \leq n$ with $in(v, \lambda)$ the number of arcs coloured $\lambda$ entering $v$ and $out(v, \lambda)$ the number of labels $l$ such that there exists an arc leaving $v$ coloured $\lambda$. One likes to find the minimum number of colours $\lambda_n(D)$ such that an $m$-labelled digraph $D$ has an $n$-fiber colouring. In the particular case, when $D$ is 1-labelled then $\lambda_n(D)$ is the directed star arboricity of $D$, denoted $dst(D)$.

1 Introduction

The origin of this paper is the study of wavelength assignment for multicasts in star network, initiated by Brandt and Gonzalez [4] and studied by Brandt [3] in his doctoral dissertation. We are given a star network in which a center node is connected by an optical fiber to a set of nodes $V$. Each node $v$ of $V$ sends a set of multicasts $M_1(v), \ldots, M_{s(v)}(v)$ to the sets of nodes $S_1(v), \ldots, S_{s(v)}(v)$. Using WDM (wavelength-division multiplexing), different signals may be sent at the same time through the same fiber but on different wavelengths. The central node is an all-optical transmitter: hence, it may redirect a signal arriving from a node on a particular wavelength to some of the others nodes on the same wavelength. Therefore for each multicast $M_i(v)$, $v$ should send the message to the central node on a set of wavelengths so that the central node redirect it to each node of $S_i(v)$ using one of these wavelengths. The aim is to minimize the total number of used wavelengths.

We first study the very fundamental case when the fiber is unique and each vertex $v$ sends a unique multicast $M(v)$ to the set $S(v)$ of nodes. Let $D$ be the digraph with vertex set $V$ such that the out-neighbourhood of a vertex $v$ is $S(v)$. Note that this is a digraph and not a multidigraph (there is no multiple arcs) as $S(v)$ is a set. Then the problem is to find the smallest $k$ such that there exists a mapping $\phi : V(D) \rightarrow \{1, \ldots, k\}$ satisfying the two conditions:

(i) $\phi(uv) \neq \phi(vu)$;
(ii) $\phi(uv) \neq \phi(u'v)$.

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Such a mapping is called directed star \( k \)-colouring. The directed star arboricity of a digraph \( D \), denoted by \( \text{dst}(D) \), is the minimum integer \( k \) such that there exists a directed star \( k \)-colouring. This notion has been introduced in [6] and is an analog of the star arboricity defined in [1]. An arborescence is a connected digraph in which every vertex has indegree 1 except one, called root, which has indegree 0. A forest is the disjoint union of arborescences. A star is an arborescence in which the root dominates all the other vertices. A galaxy is a forest of stars. Clearly, every colour class of a directed star colouring is a galaxy. Hence, the directed star arboricity of a digraph \( D \) is the minimum number of galaxies into which \( A(D) \) may be partitioned.

For a vertex \( v \), its indegree \( d^-(v) \) corresponds to the number of multicasts it receives. A sensible assumption is that a node receives a bounded number of multicasts. Hence, Brandt and Gonzalez [4] studied the directed star arboricity of a digraph \( D \) with maximum indegree \( \Delta^- \). They showed that \( \text{dst}(D) \leq \frac{3\Delta^-}{2} \). This upper bound is tight if \( \Delta^- = 1 \) because odd circuits have directed star arboricity 3. However, it can be improved for larger value of \( \Delta^- = 1 \). We conjecture that if \( \Delta^- \geq 2 \), then \( \text{dst}(D) \leq 2\Delta^- \).

**Conjecture 1** Every digraph \( D \) with maximum indegree \( k \geq 2 \) satisfies \( \text{dst}(D) \leq 2k \).

This conjecture would be tight as Brandt [3] showed that for every \( k \), there is an acyclic digraph \( D_k \) such that \( \Delta^- (D_k) = k \) and \( \text{dst}(D_k) = 2k \). Note that to prove this conjecture, it is sufficient to prove it for \( k = 2 \) and \( k = 3 \). Indeed a digraph with maximum indegree \( k \geq 2 \) has an arc-partition into \( k/2 \) digraphs with maximum indegree 2 if \( k \) is even and into \( (k-1)/2 \) digraphs with maximum indegree 2 and one with maximum indegree 3. In section 2, we show that \( \text{dst}(D) \leq 2\Delta^- + 1 \) and settle Conjecture 1 for acyclic digraphs.

**Remark 2** Note that we restrict ourselves to digraphs, i.e. circuits of length two are permitted, but not multiple arcs. When multiple arcs are allowed, all the bounds above do not hold. Indeed the multidigraph \( T_k \) with three vertices \( u, v \) and \( w \) and \( k \) parallel arcs \( uv, vw \) and \( wu \) satisfies \( \text{dst}(T_k) = 3k \). Moreover, this example is extremal since every multidigraph satisfies \( \text{dst}(D) \leq 3\Delta^- \). Indeed let us show it by induction: pick a vertex \( v \) with outdegree at most \( \Delta^- \) in a terminal strong component. A strong component \( C \) of a digraph is terminal if there is no arc leaving \( C \), i.e. with tail in \( C \) and head outside of \( C \). If \( v \) has no inneighbour, it is isolated and we remove it. Otherwise, we consider any arc \( uv \). Its colour must be different from the colours of the \( d^-(u) \) arcs entering \( u \), the \( d^+(v) \) arcs leaving \( v \) and the \( d^-(v) - 1 \) other arcs entering \( v \), so at most \( 3\Delta^- - 1 \) arcs in total. Hence, remove the arc \( uv \), apply induction, and extend the colouring to \( uv \). Therefore, for multidigraphs, the bound \( \text{dst}(D) \leq 3\Delta^- \) is sharp.

We then study the directed star arboricity of a digraph bounded with maximum degree. The degree of a vertex \( v \) is \( d(v) = d^-(v) + d^+(v) \). It corresponds to the degree of the vertex in the underlying multigraph. (We have edges with multiplicity 2 each time there is a circuit of length two in the digraph.) The maximum degree of a digraph \( D \), denoted \( \Delta(D) \), or simply \( \Delta \) when \( D \) is clearly understood from the context, is \( \max\{d(v), v \in V(D)\} \). Let us denote by \( \mu(G) \), the maximum multiplicity of an edge in a multigraph. By Vizing’s theorem, one can colour the edges of a multigraph with \( \Delta(G) + \mu(G) \) colours so that two edges have different colours if they are incident. Since the multigraph underlying a digraph has maximum multiplicity at most two, for any digraph \( D \), \( \text{dst}(D) \leq \Delta + 2 \). We conjecture the following:

**Conjecture 3** Let \( D \) be a digraph with maximum degree \( \Delta \geq 3 \). Then \( \text{dst}(D) \leq \Delta \).

This conjecture would be tight since every digraph with \( \Delta = \Delta^- \) has directed star arboricity at least \( \Delta \). In section 3, we prove Conjecture 3 holds when \( \Delta = 3 \).

Pinlou and Sopena [9] studied a stronger form of directed star arboricity, called acircuitic directed star arboricity. They add the extra condition that any circuit has to have at least three distinct colours. Note that such a notion applies only to oriented graph that are digraphs without circuit of length 2. Indeed
such a circuit may not receive 3 colours. They showed that the acyclic directed star arboricity of a subcubic (i.e. each vertex has degree at most 3) oriented graph is at most four. We give a new and very short proof of this result.

A first step towards Conjectures 1 and 3 would be to prove the following statement which is weaker than these two conjectures.

**Conjecture 4** Let \( k \geq 2 \) and \( D \) be a digraph. If \( \max(\Delta^-, \Delta^+) \leq k \) then \( \text{dst}(D) \leq 2k \).

This conjecture holds and is far from being tight for large \( k \). Indeed Guiduli [6] showed that if \( \max(\Delta^-, \Delta^+) \) then \( \text{dst}(D) \leq k + 20 \log k + 84 \). Since \( \max(\Delta^-, \Delta^+) \leq \Delta \), every digraph \( D \) satisfies \( \text{dst}(D) \leq \Delta + 20 \log \Delta + 84 \). Guiduli’s proof is based on the fact that, when both out and indegree are bounded, the colour of an arc depends on the colour of few other arcs. This bounded dependency allows the use of the Lovász Local Lemma. This idea was first used by Algor and Alon [1], for the star arboricity of undirected graphs. Note also that Guiduli’s result is (almost) tight since there are digraphs \( D \) with \( \max(\Delta^-, \Delta^+) \leq p \) and \( \text{dst}(D) \geq p + \Omega(\log p) \). (See [6].) Note also that similarly as for Conjecture 1, it is sufficient to prove Conjecture 4 for \( k = 2 \) and \( k = 3 \). In Section 4, we prove that Conjecture 4 holds for \( k = 2 \). By the above remark, it implies that Conjecture 4 holds for all even \( k \).

In Section 5, we investigate the complexity of finding the directed star arboricity of a digraph. Unsurprisingly, this is a NP-hard problem. More precisely, we show that determining if the directed star arboricity of a digraph with out-and indegree at most 2 is NP-complete.

Next, we study the more general (and more realistic) problem in which the center is connected to the onodes of \( V \) with \( n \) optical fibers. Moreover each node may send several multicasts. We model it as a labelled digraph problem: We consider a digraph \( D \) on vertex set \( V \). For each multicast \((v, S_i(v))\) we add the set of arcs \( A_i(v) = \{vw, w \in S_i(v)\} \) with label \( i \). The label of an arc \( a \) is denoted by \( l(a) \). Thus for every couple \((u, v)\) of vertices and label \( i \) there is at most one arc \( uv \) labelled by \( i \). If each vertex sends at most \( m \) multicasts, there are at most \( m \) labels on the arcs. Such a digraph is said to be \( m \)-labelled. One wants to find a \( n \)-fiber wavelength assignment of \( D \), that is a mapping \( \Phi : A(D) \to \Lambda \times \{1, \ldots, n\} \times \{1, \ldots, n\} \) in which every arc \( uv \) is associated a triple \((\lambda(uv), f^+(uv), f^-(uv))\) such that:

(i) \((\lambda(uv), f^-(uv)) \neq (\lambda(vw), f^+(vw))\);

(ii) \((\lambda(uv), f^-(uv)) \neq (\lambda(u'v), f^-(u'v))\);

(iii) if \( l(vw) \neq l(vw') \) then \((\lambda(vw), f^+(vw)) \neq (\lambda(vw'), f^+(vw'))\).

\(\lambda(uv)\) corresponds to the wavelength of \( uv \), and \( f^+(uv) \) and \( f^-(uv) \) the fiber used in \( u \) and \( v \) respectively.

Hence the condition (i) corresponds to the fact that an arc entering \( u \) and an arc leaving \( v \) have either different wavelength or different fibers; the condition (ii) corresponds to the fact that two arcs entering \( v \) have either different wavelength or different fibers; the condition (iii) corresponds to the fact that two arcs leaving \( v \) with different labels have either different wavelengths or different fibers. The problem is then to find the minimum cardinality \( \lambda_n(D) \) of \( \Lambda \) such that there exists an \( n \)-fiber wavelength assignment of \( D \).

The crucial thing in an \( n \)-fiber wavelength assignment is the function \( \lambda \) which assigns colours (wave-lengths) to the arcs. It must be an \( n \)-fiber colouring, that is a function \( \phi : A(D) \to \Lambda \), such that at each vertex \( v \), for each colour in \( \Lambda \in \Lambda \), \( \text{in}(v, \lambda) + \text{out}(v, \lambda) \leq n \) with \( \text{in}(v, \lambda) \) the number of arcs coloured \( \lambda \) entering \( v \) and \( \text{out}(v, \lambda) \) the number of labels \( l \) such that there exists an arc leaving \( v \) coloured \( \lambda \). Once we have an \( n \)-fiber colouring, one can easily find a suitable wavelength assignment by assigning for every vertex \( v \) and every colour \( \lambda \) a different fiber to each arc entering \( v \) with colour \( \lambda \) and each set of arcs leaving \( v \) coloured \( \lambda \) and labelled the same. Hence \( \lambda_n(D) \) is the minimum number of colours such that there exists an \( n \)-fiber colouring.
We are particularly interested in $\lambda_n(m, k) = \max \{ \lambda_n(D) \mid D \text{ is } m\text{-labelled and } \Delta^{-}(D) \leq k \}$ that is the maximum number of wavelengths that may be necessary if there are $n$-fibers and each node sends at most $m$ and receives at most $k$ multicasts. In particular, $\lambda_1(1, k) = \max \{ \text{dst}(D) \mid \Delta^{-}(D) \leq k \}$. So our above mentioned results show that $2k \leq \lambda_1(1, k) \leq 2k + 1$. Brandt and Gonzalez showed that for $n \geq 2$ then $\lambda_n(1, k) \leq \left[ \frac{k}{n-1} \right]$. In Section 6, we study the case when $n \geq 2$ and $m \geq 2$. We show that if $m \geq n$ then

$$\left[ \frac{m}{n} \frac{k}{n} + \frac{k}{n} \right] \leq \lambda_n(m, k) \leq \left[ \frac{m}{n} \frac{k}{n} + \frac{k}{n} \right] + C \frac{m^2 \log k}{n}$$

for some constant $C$.

We also show that if $m < n$ then

$$\left[ \frac{m}{n} \frac{k}{n} + \frac{k}{n} \right] \leq \lambda_n(m, k) \leq \left[ \frac{k}{n-m} \right].$$

The lower bound generalizes Brandt and Gonzalez [4] results which established this inequality in the particular cases when $k \leq 2$, $m \leq 2$ and $k = m$. The digraphs used to show this lower bound are all acyclic. We show that if $m \geq n$ then this lower bound is tight for acyclic digraphs. Moreover the above mentioned digraphs have large outdegree. Generalizing the result of Guiduli [6], we show that for an $m$-labelled digraph $D$ with both in- and outdegree bounded by $k$ then few colours are needed:

$$\lambda_n(D) \leq \frac{k}{n} + C' \frac{m^2 \log k}{n}$$

for some constant $C'$.

### 2 Directed star arboricity of digraphs with bounded indegree

Our goal in this section is to approach Conjecture 1. It is easy to see that a forest has directed star arboricity 2. Hence, an idea to prove Conjecture 1 would be to show that every digraph has an arc-partition into $\Delta^{-}$ forests. However this statement is false. Indeed A. Frank [5] (see also [10], p.908), characterized digraphs having an arc-partition into $k$ forests. Let $D = (V, A)$. For any $U \subset V$, the digraph induced by the vertices of $U$ is denoted $D[U]$.

**Theorem 5 (A. Frank)** A digraph $D = (V, A)$ has an arc-partition into $k$ forests if and only if $\Delta^{-}(D) \leq k$ and for every $U \subset V$, the digraph $D[U]$, has at most $k(|U| - 1)$ arcs.

However, Theorem 5 implies that every digraph $D$ has an arc-partition into $\Delta^{-} + 1$ forests. Indeed for any $U \subset V$, $\Delta^{-}(D[U]) \leq \min \{ \Delta^{-}, |U| - 1 \}$, so $D[U]$ has at most $\min \{ \Delta^{-}, |U| - 1 \} \times |U| \leq (\Delta^{-} + 1)(|U| - 1)$ arcs. Hence, every digraph has directed star arboricity at most $2\Delta^{-} + 2$.

**Corollary 6** Every digraph $D$ satisfies $\text{dst}(D) \leq 2\Delta^{-} + 2$.

We now lessen this upper bound by one.

**Theorem 7** Every digraph $D$ satisfies $\text{dst}(D) \leq 2\Delta^{-} + 1$.

The idea to prove Theorem 7 is to show that every digraph has an arc-partition into $\Delta^{-}$ forests and a galaxy $G$. To do so, we prove a stronger result (Lemma 8) by induction.

A **sink** is a vertex with outdegree 0. A **source** is a vertex with indegree 0. A multidigraph is **$k$-nice** if $\Delta^{-} \leq k$ and if the tails of parallel arcs, if any, are sources. A **$k$-decomposition** of a digraph $D$ is an arc-partition into $k$ forests and a galaxy $G$ such that every source of $D$ is isolated in $G$. Let $u$ be a vertex of $D$. A $k$-decomposition of $D$ is **$u$-suitable** if no arc of $G$ has head $u$.

**Lemma 8** Let $u$ be a vertex of a $k$-nice multidigraph $D$. Then $D$ has a $u$-suitable $k$-decomposition.
Proof. We proceed by induction on \( n + k \). We now discuss the connectivity of \( D \).

- If \( D \) is not connected, we apply induction on every component.
- If \( D \) is strongly connected, every vertex has indegree at least one. Remember also that there is no parallel arcs. Let \( v \) be an outneighbour of \( u \). There exists a spanning arborescence \( T \) with root \( v \) which contains all the arcs with tail \( v \). Let \( D' \) be the digraph obtained from \( D \) by removing the arcs of \( T \) and \( v \). Observe that \( D' \) is \((k - 1)\)-nice. By induction, it has a \( u \)-suitable \((k - 1)\)-decomposition \((F_1, \ldots, F_{k-1}, G)\). Note that \( F_1, T \) and \( G \) contain all the arcs of \( D \) except those with head \( v \). By construction, \( G' = G \cup uv \) is a galaxy since no arc of \( G \) has head \( u \). Let \( u_1, \ldots, u_{l-1} \) be the inneighbours of \( v \) distinct from \( u \), where \( l \leq k \). Let \( F'_i = F_i \cup u_iv \), for all \( 1 \leq i \leq l-1 \). Then each \( F'_i \) is a forest, so \((F_1, \ldots, F_{k-1}, T, G')\) is a \( u \)-suitable \( k \)-decomposition of \( D \).
- If \( D \) is connected but not strongly connected, we consider a strongly connected terminal component \( D_1 \). Set \( D_2 = D \setminus D_1 \). Let \( u_1 \) and \( u_2 \) be two vertices of \( D_1 \) and \( D_2 \), respectively, such that \( u \) is one of them.

If \( D_2 \) has a unique vertex \( v \) (thus \( u_2 = v \)), since \( D \) is connected, there exists a spanning arborescence \( T \) of \( D \) with root \( v \). Now \( D' = D \setminus A(T) \) is a \((k - 1)\)-nice multigraph, so by induction it has a \( u_1 \)-suitable \((k - 1)\)-decomposition. Adding \( T \) to this decomposition, we obtain a \( u_1 \)-suitable \( k \)-decomposition, which is also \( u_2 \)-suitable since \( u_2 \) is a source. Since \( u = u_1 \) or \( u = u_2 \), we have our conclusion.

If \( D_2 \) has more than one vertex, by induction, it admits a \( u_2 \)-suitable \( k \)-decomposition \((F^2_1, \ldots, F^2_k, G^2)\). Moreover the digraph \( D_1 \) obtained by contracting \( D_2 \) to a single vertex \( v \) has a \( u_1 \)-suitable \( k \)-decomposition \((F^1_1, \ldots, F^1_k, G^1)\). Moreover, since \( v \) is a source, it is isolated in \( G^1 \). Hence \( G = G^1 \cup G^2 \) is a galaxy. We now let \( F_i \) be the union of \( F^1_i \) and \( F^2_i \) by replacing the arcs of \( F^1_i \) with tail \( v \) by the corresponding arcs in \( D \). Then \((F_1, \ldots, F_k, G)\) is a \( k \)-decomposition of \( D \) which is suitable for both \( u_1 \) and \( u_2 \).

\[\square\]

2.1 Acyclic digraphs

It is not hard to show that \( \text{dst}(D) \leq 2\Delta^- \) when \( D \) is acyclic. But we will prove this result in a more constrained way. A cyclic \( n \)-interval of \( \{1, 2, \ldots, p\} \) is a set of \( n \) consecutive numbers modulo \( p \). Now for the directed star colouring, we will insist that for every vertex \( v \), the (distinct) colours used to colour the arcs with head \( v \) are chosen in a cyclic \( k \)-interval of \( \{1, 2, \ldots, 2k\} \). Thus, the number of possible sets of colours used to colour the entering arcs of a vertex drastically falls from \( \binom{2k}{k} \) when every set is a priori possible, to \( 2k \). We need for this the following result on set of distinct representatives.

Note that having consecutives colours on the arcs entering a vertex corresponds to having consecutives wavelengths on the link between the corresponding node and the central one. This is very important for grooming issues. For more details about grooming, we refer to the two comprehensive surveys [7, 8].

Lemma 9 Let \( I_1, \ldots, I_k \) be cyclic \( k \)-intervals of \( \{1, 2, \ldots, 2k\} \). Then \( I_1, \ldots, I_k \) admit a set of distinct representatives forming a cyclic \( k \)-interval.

Proof. We consider \( I_1, \ldots, I_k \) as a set of \( p \) distinct cyclic \( k \)-intervals \( I_1, \ldots, I_p \) with respective multiplicity \( m_1, \ldots, m_p \) such that \( \sum_{i=1}^p m_i = k \). Hence, we shall prove the existence of a cyclic \( k \)-interval \( J \) which can be partitioned into \( p \) sets \( I_i, 1 \leq i \leq p \), such that \( |I_i| = m_i \) and \( J \subseteq I_i \).

We proceed by induction on \( p \), the result holding trivially when \( p = 1 \). We may assume that for any two intervals \( I_i \) and \( I_j \), we have \( |J \setminus I_i| = |I_i \setminus J| \geq \max(m_i, m_j) + 1 \). If not, assuming without
loss of generality that \( i < j \) and \( m_i \geq m_j \), we apply the induction hypothesis to \(((I_1, m_1), \ldots, (I_i, m_i + m_j), \ldots, (I_{j-1}, m_{j-1}), (I_{j+1}, m_{j+1}), \ldots, (I_p, m_p))\), in order to find a set \( J' \) admitting a subset \( I_i' \subset I_i \) of size \( m_i + m_j \). We now partition \( J' \) into two sets \( J_i \) and \( J_j \) with respective size \( m_i \) and \( m_j \), in such a way that \((I_i', I_j) \cap J_j' \subseteq J_i \). Since \( J_i \subset I_i \) and \( J_j \subset I_j \), this refined partition of \( J' \) is the desired one.

Each \( I_i \) has exactly \( 2m_i - 1 \) cyclic \( k \)-intervals intersecting it on less than \( m_i \) elements. Since there are \( 2k \) cyclic \( k \)-intervals in total and \( \sum_{i=1}^{l} (2m_i - 1) < 2k \), there is a cyclic \( k \)-interval \( J \) which intersection with each \( I_i \) has cardinality at least \( m_i \). Let us prove that one can partition \( J \) in the desired way. By a corollary of Hall’s Theorem, it suffices to prove that for every subset \( I \) of \( \{1, \ldots, p \} \), \(| \bigcup_{i \in I} I_i \cap J | \geq \sum_{i \in I} m_i \).

Suppose for a contradiction that a subset \( I \) of \( \{1, \ldots, p \} \) violates this inequality, i.e. \( I \) is contracting. Without loss of generality, we assume that \( I \) is a contracting set with minimum cardinality and that \( I = \{1, \ldots, q \} \). The set \( K := \bigcup_{i \in I} I_i \cap J \) consists of one or two intervals of \( J \), each containing one extremity of \( J \). By the minimality of \( I \), \( K \) must be a single interval, otherwise we would partition the sets of \( I \) with respect to the extremity of \( J \) they contain, and one of these two sets would be contracting. Thus, one of the two extremities of \( J \) is in every \( I_i \), \( i \in I \). Without loss of generality, we may assume that \((I_1 \cap J) \subset (I_2 \cap J) \subset \cdots \subset (I_q \cap J) \). Now, for every \( 2 \leq i \leq q \), \(| I_i \setminus I_{i+1} | = (| I_i \cap J | \setminus | I_{i-1} \cap J |) \geq \max(m_i - m_{i-1}) + 1 \geq m_i + 1 \). But \(| \bigcup_{i \in I} I_i \cap J | = | (I_1 \cap J) | + \sum_{i=2}^{q} \left| (I_i \cap J) \setminus (I_{i-1} \cap J) \right| \). So \(| \bigcup_{i \in I} I_i \cap J | \geq \sum_{i=1}^{q} m_i + q - 1 \), a contradiction.

**Theorem 10** Let \( D \) be an acyclic digraph with maximum indegree \( k \). \( JD \) admits a directed star \( 2k \)-colouring such that for every vertex, the colours assigned to its entering arcs are included in a cyclic \( k \)-interval of \( \{1, 2, \ldots, 2k \} \).

**Proof.** By induction on the number of vertices, the result being trivial if \( D \) has one vertex. Suppose now that \( D \) has at least two vertices. Then \( D \) has a sink \( x \). By the induction hypothesis, \( D \setminus x \) has a directed star \( 2k \)-colouring \( c \) such that for every vertex, the colours assigned to its entering arcs are included in a cyclic \( k \)-interval. Let \( v_1, v_2, \ldots, v_l \) be the inneighbours of \( x \) in \( D \), where \( l \leq k \) because \( \Delta^-(D) \leq k \). For each \( 1 \leq i \leq l \), let \( I_i' \) be a cyclic \( k \)-interval which contains all the colours of the arcs with head \( v_i \). We set \( I_i = \{1, \ldots, 2k \} \setminus I_i' \). Clearly, \( I_i \) is a cyclic \( k \)-interval and the arc \( v_i, x \) can be coloured by any element of \( I_i \). By Lemma 9, \( I_1, \ldots, I_l \) has a set of distinct representatives included in a cyclic \( n \)-interval. Hence colouring the arc \( v_i, x \) by the representative of \( I_i \) gives a directed star \( 2k \)-colouring of \( D \).

**Theorem 10** is tight : Brandt [3] showed that for every \( k \), there is an acyclic digraph such that \( \Delta^-(D_k) = k \) and \( dst(D_k) = 2k \). His construction is the special case of the construction given in Proposition 21 for \( n = m = 1 \).

### 3 Subcubic digraphs

Recall that a subcubic digraph is a graph with degree at most three. In this section, we first show that the directed star arboricity of a subcubic digraph is at most 3, so proving Conjecture 3 when \( \Delta = 3 \). We then give a very short proof of a result of Pinlou and Sopena asserting that the acircuitic directed star arboricity of a subcubic digraph is at most 4.

#### 3.1 Directed star arboricity of subcubic digraphs

The aim of this subsection is to prove the following theorem :

**Theorem 11** Every subcubic digraph has directed star arboricity at most 3.

To do, we need to establish some lemmas to enable us to extend some partial directed star colouring into directed star colouring of the whole digraph. These lemmas need the following definition. Let \( D = (V, A) \)...
be a digraph and $S$ a subset of $V \cup A$. Suppose that each element $x$ of $S$ is assigned a list $L(x)$. A colouring $c$ of $S$ is an $L$-colouring if $c(x) \in L(x)$ for every $x \in S$.

**Lemma 12** Let $C$ be a circuit in which every vertex $v$ receives a list $L(v)$ of two colours among $\{1, 2, 3\}$ and each arc $a$ receives the list $L(a) = \{1, 2, 3\}$. Then there is no $L$-colouring $c$ of the arcs and vertices such that $c(x) \neq c(xy)$, $c(y) \neq c(xy)$, and $c(xy) \neq c(yz)$, for all arcs $xy$ and $yz$ if and only if $C$ is odd and all the vertices have the same list.

**Proof.** Assume first that every vertex is assigned the same list, say $\{1, 2\}$. If $C$ is odd, it is simple matter to see that, we cannot find the desired colouring. Indeed it has to be an arc-colouring of $C$ so must at least 3 colours and two consecutives arcs will be coloured in these two arcs cannot be coloured. If $C$ is even, we colour the vertices by 1 and the arcs alternately by 2 and 3.

Now assume that $C = x_1x_2 \ldots x_kx_1$ and $x_1$ and $x_2$ are assigned different lists. Say $L(x_1) = \{1, 2\}$ and $L(x_2) = \{2, 3\}$. We colour the arc $x_1x_2$ by 3, the vertex $x_2$ by 2 and the arc $x_2x_3$ by 1. Then we colour $x_3, x_3x_4, \ldots, x_k$. It remains to colour $x_kx_1$ and $x_1$. Two cases may happen: If we can colour $x_kx_1$ by 1 or 2, we do it and colour $x_1$ by 2 or 1 respectively. Otherwise the set of colours assigned to $x_k$ and $x_k-1$ is $\{1, 2\}$. Hence, we colour $x_kx_1$ with 3, $x_1$ by 1, and recolour $x_1x_2$ by 2 and $x_2$ by 3. \qed

**Lemma 13** Let $D$ be a subcubic digraph with no vertex of outdegree two and indegree one. Assume that every arc $a$ has a list of colours $L(a) \subseteq \{1, 2, 3\}$ such that:

- If the head of $a$ is a sink $s$ (a is called a leaving arc), $|L(a)| \geq d^-(s)$.
- If $a$ is not a leaving arc and the tail of $a$ is a source (a is called an entering arc), $|L(a)| \geq 2$.
- In other cases $|L(a)| = 3$.
- If a vertex is the head of at least two entering arcs the union of their lists of colours contains at least three colours.
- If all the vertices of an odd circuit are the tails of entering arcs, the union of the lists of colours of these entering arcs contains at least three colours.

Then $D$ has a directed star $L$-colouring.

**Proof.** We colour the graph inductively. Consider a terminal strong component $C$ of $D$. Since $D$ has no vertex with indegree one and outdegree two, $C$ induces either a singleton or a circuit.

1) Assume that $C$ is a singleton $v$ which is the head of a unique arc $a = uv$. If $u$ has indegree 0, colour $a$ with a colour of its list. If $u$ has indegree 1, and thus total degree 2, colour $a$ by the colour of its list and remove this colour from the list of the arc with head $u$. If $u$ is the head of $e$ and $f$, observe that $L(e)$ and $L(f)$ have at least two colours and their union have at least three colours. To conclude, colour $a$ with a colour in its list, remove this colour from $L(e)$ and $L(f)$, remove $a$, split $u$ into two vertices, one with head $e$, and the other with head $f$. Now, choose in their respective lists different colours for the arcs $e$ and $f$ to form the new list $L(e)$ and $L(f)$.

2) Assume that $C$ is a singleton $v$ which is the head of several arcs, including $a = uv$. In this case, we reduce $L(a)$ to a single colour, remove this colour from the other arcs with head $v$ and split $v$ into $v_1$ which becomes the head of $a$, and $v_2$ which becomes the head of the other arcs.

3) Assume that $C$ is a circuit. Every arc entering $C$ has a list of at least two colours. We can apply Lemma 12 to conclude.
Proof of Theorem 11. Assume for contradiction that the digraph $D$ has star arboricity more than three and is minimum with respect to the number of arcs for this property. Observe that $D$ has no source, otherwise we simply delete it with its incident arcs, apply induction and extend the colouring since arcs leaving from a source can be coloured arbitrarily. Let $D_1$ be the subdigraph of $D$ induced by the vertices of indegree at most 1. We denote by $D_2$ the digraph induced by the other vertices, and by $[D_i, D_j]$ the set of arcs with tail in $D_i$ and head in $D_j$. We claim that $D_1$ contains no even circuit. If not, we simply remove the arcs of this even circuit, apply induction and extend the colouring since arcs leaving from a source can be coloured arbitrarily.

A critical set of vertices of $D_2$ is either a vertex of $D_2$ with indegree at least two in $D_1$, or an odd circuit of $D_2$ having all its inneighbours in $D_1$. Observe that critical sets are disjoint. For every critical set $S$, we select two arcs entering $S$ from $D_1$, called selected arcs of $S$.

Let $D'$ be digraph induced by the arc set $A' = A(D_1) \cup [D_2, D_1]$. Now we define a conflict graph on the arcs of $D'$ in the following way:

- Two arcs $xy, yv$ of $D'$ are in conflict, called normal conflict at $y$.
- Two arcs $xy, uv$ of $D'$ are also in conflict if there exists two selected arcs of the same set $S$ with tails $y$ and $v$. These conflicts are called selected conflicts at $y$ and $v$.

Let us analyse the structure of the conflict graph. Observe first that an arc is in conflict with three arcs: one normal conflict at its tail and at most two (normal or selected) at its head.

We claim that there is no $K_4$ in the conflict graph. Suppose there is one, then there is 4 arcs which are pairwise in conflict. Since each arc has degree 3, it has a normal conflict at its tail, the digraphs induced by these four arcs contains a circuit. It cannot be a circuit of even length (2 or 4) so it has length 3. It follows that the four arcs $a, b, c, d$ are as in Figure 1 below. Let $D^*$ be the digraph obtained from $D$ by removing the arcs $a, b, c, d$ and their four incident vertices. By minimality of $D$, $D^*$ admits a directed star 3-colouring which can be extended to $D$ as depicted below depending if the two leaving arcs are coloured the same or differently. This proves the claim.

![Figure 1: A $K_4$ in the conflict graph and the two ways of extending the colouring.](image)

Brook’s Theorem asserts that every subcubic graph without $K_4$ is 3-colourable. So the conflict graph admits a 3-colouring $c$. This gives a colouring of the arcs of $D'$. Let $D''$ be the digraph and $L$ be the list-assignment on the arcs of $D''$ obtained as follow:

- Remove the arcs of $D_1$ from $D$,
- Assign to each arc of $[D_2, D_1]$ the singleton list containing the colour it has in $D'$,

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• For each arc $uv$ of $[D_1, D_2]$, there is a unique arc $tu$ in $A(D')$, so assign the list $L(uv) = \{1, 2, 3\} \setminus c(tu)$.

• Assign the list $\{1, 2, 3\}$ to the other arcs.

• If there are vertices with indegree one and outdegree two (they were in $D_1$), split each of them into one source of degree two and a sink of degree one.

Note that there is a trivial one-to-one correspondence between $A(D'')$ and $A(D) \setminus A(D')$. By the definition of conflict graph and $D''$, one can easily check that $D''$ and $L$ satisfies the condition of Lemma 13. Hence $D''$ admits a directed star $L$-colouring which union with $c$ is a directed star 3-colouring of $D$, a contradiction.

\[\square\]

### 3.2 Acircuitic directed star arboricity

A directed star colouring is acircuitic if there is no bicoloured circuits, i.e. circuits for which only two colours appears on its arcs. The acircuitic directed star arboricity of a digraph $D$ a digraph is the minimum number $k$ of colours such that there exists an acircuitic directed star $k$-colouring of $D$. In this subsection, we give a short alternative proof of the following theorem due to Pinlou and Sopena.

**Theorem 14 (Pinlou and Sopena [9])** Every subcubic oriented graph has acircuitic directed star arboricity at most 4.

In order to prove this theorem, we need the following lemma.

**Lemma 15** Let $D$ be an acyclic subcubic digraph. Let $L$ be a list-assignment on the arcs of $D$ such that for every arc $uv$, $|L(uv)| \geq d(v)$. Then $D$ admits a directed star $L$-colouring.

**Proof.** We prove the result by induction on the number of arcs of $D$, the result holding trivially if $D$ has no arcs.

Since $D$ is acyclic, it has an arc $xy$ with $y$ a sink. Let $a$ be a colour in $L(xy)$. For any arc $e$ distinct from $xy$, set $L'(e) = L(e) \setminus \{a\}$ if $e$ incident to $xy$ (and thus has head in $\{x, y\}$ since $y$ is a sink), and $L'(e) = L(e)$ otherwise. Then in $D' = D - xy$, we have $|L'(uv)| \geq d(v)$. Hence, by induction hypothesis, $D'$ admits a directed star $L'$-colouring that can be extended in a directed star $L$-colouring of $D$ by colouring $xy$ with $a$.

\[\square\]

**Proof of Theorem 14.**

Let $V_1$ be the set of vertices of outdegree at most 1 and $V_2 = V \setminus V_1$. Then every vertex of $V_2$ has outdegree at least 2 and so indegree at most 1.

Let $M$ be the set of arcs with tail in $V_1$ and head in $V_2$. Colour all the arcs of $M$ with 4. Moreover for every circuit $C$ in $D[V_1]$ and $D[V_2]$ choose an arc $e(C)$ and colour it by 4. Note that, by definition of $V_1$ and $V_2$, the arc $e(C)$ is not incident to any arc of $M$ and $C$ is the unique circuit containing $e(C)$. Let us denote $M_4$ the set of arcs coloured 4. Then $M_4$ is a matching and $D - M_4$ is acyclic.

We shall now find a directed star colouring of $D - M_4$ with $\{1, 2, 3\}$ that creates any bicoloured circuit. If such a circuit exists, 4 would be one of its colour because $D - M_4$ is acyclic and all its arcs coloured 4 would be in $M$ because the arcs of $M_4 \setminus M$ is in a unique circuit which has a unique arc coloured 4. Hence we just have to be careful when colouring arcs in the digraph induced by the endvertices of the arcs of $M$.

Let us denote the arcs of $M$ by $x_iy_i$, $1 \leq i \leq p$ and set $X = \{x_i, 1 \leq i \leq p\}$ and $Y = \{y_i, 1 \leq i \leq p\}$. Then $x_i \in V_1$ and $y_i \in V_2$. Let $E'$ be the set of arcs with tail in $Y$ and head in $X$. Let $H$ be the graph with vertex set $E'$ such that an arc $y_ix_j$ is adjacent to an arc $y_kx_l$ if
(a) either \( k = l \),
(b) or \( j = k \) and \( i > j \) and \( l > j \).

Since a vertex of \( X \) has indegree at most 2 and a vertex of \( Y \) has outdegree at most 2, \( H \) has maximum degree 3. Moreover \( H \) contains no \( K_4 \) because two arcs of \( E' \) with same tail \( y_k \) are not adjacent in \( H \). Hence, by Brooks Theorem, \( H \) has a vertex-colouring in \( \{1, 2, 3\} \) which is corresponds to a colouring \( c \) of the arcs of \( E' \). Since (a) is satisfied \( c \) is a directed star colouring. Moreover this colouring creates no bicoloured circuits: indeed a circuit contains a subpath \( y_i x_j y_j x_l \) with \( i > j \) and \( k > j \), whose three arcs are coloured differently by (b).

Let \( D' = D - (M_4 \cup E') \). For any arc \( uv \) in \( D' \), let \( L(uv) = \{1, 2, 3\} \setminus \{c(wv) \mid wv \in E'\} \). The set \( L(uv) \) is the set of colours in \( \{1, 2, 3\} \) that may be assigned to \( uv \) without creating any conflict with the already coloured arcs. \( D' \) is acyclic and \( |L(uv)| \geq d(v) \), so by Lemma 15, it admits a directed star \( L \)-colouring and thus \( D \) has an acyclic directed star colouring in \( \{1, 2, 3, 4\} \). \( \square \)

**Remark 16** Note that in the acyclic directed star 4-colouring provided in the proof of Theorem 14 the arcs coloured 4 form a matching.

### 4 Directed star arboricity of digraphs with maximum in and outdegree two

The goal of this section is to prove that every digraph with outdegree and indegree at most two has directed star arboricity at most four.

**Theorem 17** Let \( D \) be a digraph with maximum in and outdegree at most two. Then \( \text{dst}(D) \leq 4 \).

Thus, conjecture 4 holds for \( k = 2 \) and hence for all even \( k \). However, the class of digraphs with in and outdegree at most two is certainly not an easy class with respect to directed star arboricity, as we will show in Section 5.

In order to prove Theorem 17, it suffices to show that \( D \) contains a galaxy \( G \) which spans all the vertices of degree four. Then \( D' = D - A(G) \) has maximum degree at most 3. So, by Theorem 11, \( \text{dst}(D') \leq 3 \), so \( \text{dst}(D) \leq 4 \). Hence Theorem 17 is directly implied by the following lemma:

**Lemma 18** Let \( D \) be a digraph with maximum indegree and outdegree two. Then \( D \) contains a galaxy which spans the set of vertices with degree four.

In order to prove this lemma, we need some preliminaries:
Let \( V \) be a set. An **ordered digraph** on \( V \) is a pair \( (\leq, D) \) where:

- \( \leq \) is a partial order on \( V \).
- \( D \) is a digraph with vertex set \( V \).
- \( D \) contains the Hasse diagram of \( \leq \) (i.e. when \( x \leq y \leq z \) implies \( x = y \) or \( y = z \), then \( xz \) is an arc of \( D \)).
- If \( xy \) is an arc of \( D \), the vertices \( x, y \) are \( \leq \)-comparable.

The arcs \( xy \) of \( D \) thus belong to two different types: the **forward arcs** when \( x \leq y \), and the **backward arcs** when \( y \leq x \).
Lemma 19 Let \((\leq, D)\) be an ordered digraph on \(V\). Assume that every vertex is the tail of at most one backward arc and at most two forward arcs and that the indegree of every vertex of \(D\) is at least 2, except possibly one vertex \(x\) with indegree 1. Then \(D\) contains two arcs \(ca\) and \(bd\) such that \(a \leq b \leq c, b \leq d\) and \(c \not\leq d\), all four vertices being distinct except possibly \(a = b\).

Proof. Let us consider a counterexample with minimum \(|V|\).

An interval is a subset \(I\) of \(V\) which has a minimum \(m\) and a maximum \(M\) such that \(I = \{z : m \leq z \leq M\}\). An interval \(I\) is good if every arc with tail in \(I\) and head outside \(I\) has tail \(M\) and every backward arc in \(I\) has tail \(M\).

Let \(I\) be an interval of \(D\). The digraph \(D/I\) obtained from \(D\) by contracting \(I\) is the digraph with vertex set \((V \setminus I) \cup \{v_I\}\) such that \(xy\) is an arc if and only either \(v_I \not\in \{x, y\}\) and \(xy \in A(D)\), or \(x = v_I\) and there exists \(x_I \in I\) such that \(x_I y \in A(D)\), or \(y = v_I\) and there exists \(y_I \in I\) such that \(x y_I \in A(D)\).

Similarly, the partial order \(\leq_I\) obtained from \(\leq\) by contracting \(I\) is the partial order on \((V \setminus I) \cup \{v_I\}\) such that \(x \leq_I y\) if and only if either \(v_I \not\in \{x, y\}\) and \(x \leq y\), or \(x = v_I\) and there exists \(x_I \in I\) such that \(x_I \leq y\), or \(y = v_I\) and there exists \(y_I \in I\) such that \(x \leq y_I\). It follows from the definitions that \((\leq_I, D/I)\) is an ordered digraph. Note that if \(x \leq_I v_I\) then \(x \leq M\) with \(M\) the maximum of \(I\).

The crucial point is that if \(I\) is a good interval of \(D\) for which the conclusion of Lemma 19 holds for \((\leq_I, D/I)\), then it holds for \((\leq, D)\). Indeed, suppose there exists two arcs \(ca\) and \(bd\) of \(D/I\) such that \(a \leq_I b \leq_I c, b \leq_I d\) and \(c \not\leq_I d\). Note that since \(I\) is good \(v_I \not\in \{a, b, c, d\}\). Let \(M\) be the maximum of \(I\).

If \(v_I \not\in \{a, b, c, d\}\), then \(ca\) and \(bd\) gives the conclusion for \(D\).

If \(v_I = a\) then \(cM\) is an arc. Let us show that \(M \leq_1 I\). Indeed let \(x\) be a maximal vertex in \(I\) such that \(x \leq b\) and \(y\) a minimal vertex such that \(x \leq y \leq b\). Since the Hasse diagram of \(\leq\) is included in \(D\) then \(xy\) is an arc so \(x = M\) since \(I\) is good. Thus \(cM\) and \(bd\) are the desired arcs.

If \(v_I = b\) then \(Md\) is an arc and \(a \leq M\), so \(ca\) and \(Md\) are the desired arcs.

If \(v_I = d\) then there exists \(d_I \in I\) such that \(bd_I\), so \(ca\) and \(bd_I\) are the desired arcs.

Hence to get a contradiction, it is sufficient to find a good interval \(I\) such that \((\leq_I, D/I)\) satisfies the hypotheses of Lemma 19.

Observe that there are at least two backward arcs. Indeed, if there are two minimal elements for \(\leq\), there are at least two backward arcs heading to these points (since one of them can be \(x\)). And if there is a unique minimum \(m\), by letting \(m'\) minimal in \(V \setminus m\), at least two arcs are heading to \(m, m'\).

Let \(M\) be a vertex which is the tail of a backward arc and which is minimal for \(\leq\) for this property. Since two arcs cannot have the same tail, \(M\) is not the maximum of \(\leq\) (if any). Let \(Mm\) be the backward arc with tail \(M\).

We claim that the interval \(J\) with minimum \(m\) and maximum \(M\) is good. Indeed, by the definition of \(M\), no backward arc has its tail in \(J \setminus \{M\}\). Moreover, any forward arc \(bd\) with its tail in \(J \setminus \{M\}\) and its head outside \(J\) would give our conclusion (with \(a = m\) and \(c = M\)), a contradiction.

Now consider a good interval \(J\) with maximum \(M\) which is maximal with respect to inclusion. We claim that if \(x \in I\), then there is at least one arc entering \(I\), and if \(x \not\in I\), there are at least two arcs entering \(I\) with different tails.

Call \(m_1\) the minimum of \(I\) and \(m_2\) any minimal element of \(I \setminus m_1\). First assume that \(x\) is in \(I\). There are at least three arcs with heads \(m_1\) or \(m_2\). One of them is \(m_1m_2\), one of them can be with tail \(M\), but there is still one left with tail not in \(I\). Now assume that \(x\) is not in \(I\). There are at least two arcs with heads \(m_1\) or \(m_2\) and tails not in \(I\). If the tails are different, we are done. If the tails are the same, say \(v\), observe that \(vm_1\) and \(vm_2\) are both backward of both forward (otherwise \(v\) would be in \(I\)). Since both cannot be backward \(vm_1\) and \(vm_2\) are forward. Hence the interval with minimum \(v\) and maximum \(M\) is a good interval, contradicting the maximality of \(I\). This proves the claim.

This claim implies that \((\leq_I, D/I)\) satisfies the hypotheses of Lemma 19, yielding a contradiction. \(\square\)

Proof of Theorem 18. Let \(G\) be a galaxy of \(D\) which spans a maximum number of vertices of degree four. Suppose for contradiction that some vertex \(x\) with degree four is not spanned.
An alternating path is an oriented path ending at \(x\), starting by an arc of \(G\), and alternating with arcs of \(G\) and arcs of \(A(D) \setminus A(G)\). We denote by \(\mathcal{A}\) the set of arcs of \(G\) which belong to an alternating path.

**Claim 1** Every arc of \(\mathcal{A}\) is a component of \(G\).

**Proof.** Indeed, if \(uv\) belongs to \(\mathcal{A}\), it starts some alternating path \(P\). Thus, if \(u\) has outdegree more than one in \(G\), the digraph with set of arcs \(A(G) \Delta A(P)\) is a galaxy and spans \(V(G) \cup x\). \(\square\)

**Claim 2** There is no circuits alternating arcs of \(\mathcal{A}\) and arcs of \(A(D) \setminus \mathcal{A}\).

**Proof.** Assume that there is such a circuit \(C\). Consider a shortest alternating path \(P\) starting with some arc of \(\mathcal{A}\) in \(C\). Now the digraph with arcs \(A(G) \Delta (A(P) \cup A(C))\) is a galaxy which spans \(V(G) \cup x\), contradicting the maximality of \(G\). \(\square\)

We now endow \(\mathcal{A} \cup x\) with a partial order structure by letting \(a \leq b\) if there exists an alternating path starting at \(a\) and ending at \(b\). The fact that this relation is a partial order relies on Claim 2. Observe that \(x\) is the maximum of this order.

We also construct a digraph \(D\) on vertex set \(\mathcal{A} \cup x\) and all arcs \(uv \rightarrow st\) such that \(us\) or \(vs\) is an arc of \(D\) (and \(uv \rightarrow x\) such that \(ux\) or \(vx\) is an arc of \(D\)).

**Claim 3** The pair \((D, \leq)\) is an ordered digraph. Moreover an arc of \(\mathcal{A}\) is the tail of at most one backward arc and two forward arcs and \(x\) is the tail of at most two backward arcs.

**Proof.** The fact that the Hasse diagram of \(\leq\) is contained in \(D\) follows from the fact that if \(uv \leq st\) belongs to the Hasse diagram of \(\leq\), there is an alternating path starting by \(uvst\), in particular, the arc \(vs\) belongs to \(D\), and thus \(uv \rightarrow st\) in \(D\).

Suppose that \(uv \rightarrow st\) and then \(vs\) or \(us\) is an arc of \(D\). If \(vs\) is an arc, then because there is no alternating circuit, \(st\) follows \(uv\) on some alternating path so \(uv \leq st\). In this case, \(uv \rightarrow st\) is forward.

If \(us\) is an arc of \(D\), we claim that \(st \leq uv\). Indeed, if an alternating path \(P\) starting at \(st\) does not contain \(uv\), the galaxy with arcs \((A(G) \Delta A(P)) \cup \{us\}\) spans \(V(G) \cup x\) contradicting the maximality of \(G\). In this case, \(uv \rightarrow st\) is backward.

It follows that an arc \(uv\) of \(\mathcal{A}\) is the tail of at most one backward arc since this arc and \(uv\) are the two arcs leaving \(u\) in \(D\) and the tail of at most two forward arcs since \(v\) has outdegree at most 2. Furthermore, since \(x\) has outdegree at most two, it follows that \(x\) is the tail of at most two backward arcs. \(\square\)

**Claim 4** The indegree of every vertex of \(D\) is two.

**Proof.** Let \(uv\) be a vertex of \(D\) which starts an alternating path \(P\). If \(u\) has indegree less than two, and thus does not belong to the set of vertices of degree four, the galaxy with arcs \((A(G) \Delta A(P))\) spans more vertices of degree four than \(G\), a contradiction. Let \(s\) and \(t\) be the two inneighbours of \(u\) in \(D\). An element of \(\mathcal{A} \cup x\) contains \(s\) otherwise the galaxy with arcs \((A(G) \Delta A(P)) \cup \{su\}\) spans \(V(G) \cup x\) and contradicts the maximality of \(G\). Similarly an element of \(\mathcal{A} \cup x\) contains \(t\).

Observe that the same element of \(\mathcal{A} \cup x\) cannot contain both \(s\) and \(t\) (either the arc \(st\) or the arc \(ts\)), otherwise the arcs \(su\) and \(tu\) would be both backward or forward, which is impossible. \(\square\)

At this stage, in order to apply Lemma 19, we just need to insure that the backward outdegree of every vertex is at most one. Since the only element of \(D\) which is the tail of two backward arcs is \(x\), we simply delete any of these two backward arcs. The indegree of a vertex of \(D\) decreases by one but we are still fulfilling the hypothesis of Lemma 19.
Hence according to this lemma, \( D \) contains two arcs \( ca \) and \( bd \) such that \( a \leq b \leq c, b \leq d \) and \( c \leq d \). Keep in mind that \( a, b, c, d \) are elements of \( A \cup x \). In particular, there is an alternating path \( P \) containing \( a, b, d \) (in this order) which does not contain \( c \). Setting \( a = a_1a_2 \) and \( c = c_1c_2 \), note that the backward arc \( ca \) corresponds to the arc \( c_1a_1 \) in \( D \). We reach a contradiction by considering the galaxy with arcs \((A(G) \triangle A(P)) \cup \{c_1a_1\}\) which spans \( V(D') \cup x \).

5 Complexity

The digraphs with directed star arboricity 1 are the galaxies. So one can polynomially decide if \( dst(D) = 1 \). Deciding whether \( dst(D) = 2 \) or not is also easy since we just have to check that the conflict graph (with vertex set the arcs of \( D \), two distinct arcs \( xy, uv \) being in conflict when \( y = u \) or \( y = v \)) is bipartite. However for larger value, as expected, it is NP-complete to decide if a digraph has directed star arboricity at most \( k \). This is illustrated by the next result:

**Theorem 20** The following problem is NP-complete:

**INSTANCE:** A digraph \( D \) with \( \Delta^+(D) \leq 2 \) and \( \Delta^-(D) \leq 2 \).

**QUESTION:** Is \( dst(D) \) at most 3?

**Proof.** The proof is a reduction to 3-edge-colouring of 3-regular graphs. To see this, consider a 3-regular graph \( G \). It admits an orientation \( D \) such that every vertex has in and outdegree at least 1. Let \( D' \) be the digraph obtained from \( D \) by replacing every vertex with indegree 1 and outdegree 2 by the subgraph \( H \) depicted in Figure 2 which has also one entering arc (namely \( a \)) and two leaving arcs (\( b \) and \( c \)). It is easy to check that in any directed star 3-colouring of \( H \), the three arcs \( a, b \) and \( c \) get different colours. Moreover if these three arcs are precoloured with three different colours, we can extend this to a directed star 3-colouring of \( H \). Such a colouring with \( a \) coloured 1, \( b \) coloured 2 and \( c \) coloured 3 is given in Figure 2. Furthermore, a vertex with indegree 2 and outdegree 1 must have its three incident arcs coloured differently in a directed star 3-colouring. So \( dst(D') = 3 \) if and only if \( G \) is 3-edge colourable.

![Figure 2: The graph H and one of its directed star 3-colouring](image)

6 Multiple fibers

In this section we consider the problem with \( n \geq 2 \) fibers. More precisely, we give some bounds on \( \lambda_n(m, k) \). We first give a lower bound on \( \lambda_n(m, k) \).
Proposition 21

\[ \lambda_n(m,k) \geq \left\lceil \frac{m}{n} \left\lfloor \frac{k}{n} \right\rfloor + \frac{k}{n} \right\rceil \]

Proof. Consider the following \( m \)-labelled digraph \( G_{n,m,k} \) with vertex set \( X \cup Y \cup Z \) such that:

- \( |X| = k \), \( |Y| = 2^{(m+1)k^2} \), and \( |Z| = m \binom{|Y|}{k} \).
- For any \( x \in X \) and \( y \in Y \) there is an arc \( xy \) (of whatever label).
- For every set \( S \) of \( k \) vertices of \( Y \) and integer \( 1 \leq i \leq m \), there is a vertex \( z_{i,j} \) in vertex \( Z \) which is dominated by all the vertices of \( S \) via arcs labelled \( i \).

Suppose there exists an \( n \)-fiber colouring of \( G_{n,m,k} \) with \( c < \left\lceil \frac{m}{n} \left\lfloor \frac{k}{n} \right\rfloor + \frac{k}{n} \right\rceil \) colours. For \( y \in Y \) and \( 1 \leq i \leq m \), let \( C_i(y) \) be the set of colours assigned to the arc labelled \( i \) leaving \( y \). For \( 0 \leq j \leq n \), let \( P_j \) the set of colours used on \( j \) arcs entering \( y \) (and necessarily with two different fibers). Then \( \sum_{j=0}^{n} j|P_j| = k \) as \( j \) arcs enter \( y \). Moreover \((P_0, P_1, \ldots, P_n)\) is a partition of the set of colours so \( \sum_{j=0}^{n} |P_j| = c \). Now each colour of \( P_j \) may appear in at most \( n - j \) of the \( C_i(y) \), so

\[ \sum_{j=1}^{m} |C_i(y)| \leq \sum_{j=0}^{n} (n-j)|P_j| = n \sum_{j=0}^{n} |P_j| - \sum_{j=0}^{n} j|P_j| = cn - k. \]

Because \( |Y| = 2^{(m+1)k^2} \), there is a set \( S \) of \( k \) vertices \( y \) of \( Y \) having the same \( m \)-uple \((C_1(y), \ldots, C_m(y)) = (C_1, \ldots, C_m)\). Without loss of generality, we may assume \( |C_1| = min\{|C_i| \mid 1 \leq i \leq m\} \). Hence \( |C_1| \leq \frac{cn-k}{m} \) has indegree \( k \) so \( |C_1| \geq k/n \). Since \( |C_1| \) is an integer, we have \( \frac{\lfloor cn-k \rfloor}{m} \geq \lfloor C_1 \rfloor \geq \lfloor k/n \rfloor \). Since \( c \) is an integer, we get \( c \geq \left\lceil \frac{m}{n} \left\lfloor \frac{k}{n} \right\rfloor + \frac{k}{n} \right\rceil \), a contradiction.

Note that the graph \( G_{n,m,k} \) is acyclic. The following lemma shows that, if \( m \geq n \), one cannot expect better lower bounds by considering acyclic digraphs. Indeed \( G_{n,m,k} \) is the \( m \)-labelled acyclic digraph with indegree at most \( k \) for which an \( n \)-fiber colouring requires the more colours.

Lemma 22 Let \( D \) be an acyclic \( m \)-labelled digraph with \( \Delta^- \leq k \). If \( m \geq n \) then \( \lambda_n(D) \leq \left\lceil \frac{m}{n} \left\lfloor \frac{k}{n} \right\rfloor + \frac{k}{n} \right\rceil \).

Proof. Since \( D \) is acyclic, its vertex set admits an ordering \((v_1, v_2, \ldots, v_p)\) such that if \( v_j v_j' \) is an arc then \( j < j' \).

By induction on \( q \), we shall find an \( n \)-fiber colouring of \( D\{(v_1, \ldots, v_q)\} \) together with sets \( C_i(v_q) \), \( 1 \leq i \leq m \) and \( 1 \leq r \leq q \), of \( \lfloor k/n \rfloor \) colours such that, in the future, assigning a colour in \( C_i(v_r) \) to an arc labelled \( i \) leaving \( v_r \) will fulfill the condition of \( n \)-fiber colouring at \( v_r \).

Starting the process is easy. We may take as \( C_i(v_1) \) any \( \lfloor k/n \rfloor \)-sets such that a colour appears in at most \( n \) of them.

Suppose now that we have an \( n \)-fiber colouring of \( D\{(v_1, \ldots, v_{q-1})\} \) and that, for \( 1 \leq i \leq m \) and \( 1 \leq r \leq q-1 \), the set \( C_i(v_r) \) is determined. Let us colour the arc entering \( v_q \). Each of these arcs \( v_q v_q \) may be assigned one of the \( \lfloor k/n \rfloor \) colours of \( C_i(v_r) \) for each colour may be assigned to \( n \) arcs of different fibres entering \( v_q \), one can assign a colour and fibre to each such arc. It remains to determine the \( C_i(v_q) \), \( 1 \leq i \leq m \).

For \( 0 \leq j \leq n \), let \( P_j \) the set of colours assigned to \( j \) arcs entering \( v_q \). Let \( N = \sum_{j=0}^{n} (n-j)|P_j| \) and \( (c_1, c_2, \ldots, c_N) \) be a sequence of colours such that each colour of \( P_j \) appears exactly \( n-j \) times and consecutively. For \( 1 \leq i \leq m \), set \( C_i(v_q) = \{ c_a \ a \equiv i \mod m \} \). As \( n \leq m \), a colour appears at most once in each \( C_i(v_q) \).

Moreover, \( N = n \left\lceil \frac{m}{n} \left\lfloor \frac{k}{n} \right\rfloor + \frac{k}{n} \right\rceil - k \geq m \left\lfloor \frac{k}{n} \right\rfloor \). So for \( 1 \leq i \leq m \), \( |C_i(v_q)| \geq \left\lceil \frac{m}{n} \left\lfloor \frac{k}{n} \right\rfloor + \frac{k}{n} \right\rceil \).

Lemma 22 gives a tight upper bound on \( \lambda_n(D) \) for acyclic digraphs. We shall prove an upper bound for general digraphs. To do so, we first give an upper bound on \( \lambda_n(D) \) for \( m \)-labelled digraphs with
bounded in- and outdegree. In this case, one can derive from the following theorem of Guiduli that “few” colours are needed. Note that the graphs $G_{n,m,k}$ requires lots of colours but have very large outdegree.

**Theorem 23 (Guiduli [6])** If $\Delta^- \leq k$ then $\text{dst}(D) \leq k + 20 \log k + 84$. Moreover $D$ admits a directed star colouring with $k + 20 \log k + 84$ colours such that for each vertex $v$ there are at most $10 \log k + 42$ colours assigned to its leaving arcs.

The proof of Guiduli’s Theorem can be modified to obtain the following statement for $m$-labelled digraphs.

**Theorem 24** Let $f(n, m, k) = \left[\frac{k + (10m^2 + 5) \log k + 80m^2 + m + 21}{n}\right]$ and $D$ be an $m$-labelled digraph with $\Delta^- \leq k$. Then $\lambda_m(D) \leq f(n, m, k)$. Moreover $D$ admits a $m$-fiber colouring with $f(n, m, k)$ such that for each vertex $v$ and each label $l$, there are at most $g(m, k) = \left[\frac{10}{10m^2 + 5} \log k + 40m + 21\right]$ colours assigned to the arcs labelled $l$ leaving $v$.

Note that Theorem 24 in the case $n = m = 1$ is a bit better than Theorem 23. Indeed it shows that if $\Delta^- \leq k$ then $\text{dst}(D) \leq k + 5 \log k + 102$. It is due to Lemma 7 which is a bit better than Guiduli’s one because it uses Theorem 7 $(\text{dst} \leq 2\Delta^- + 1)$ whereas Guiduli uses $\text{dst} \leq 3\Delta^-$. However the method is identical.

**Definition 25** Given a family of sets $\mathcal{F} = \{A_i, i \in I\}$ A transversal of $\mathcal{F}$ is a family of distinct elements $(t_i, i \in I)$ with $\forall i, t_i \in A_i$.

**Lemma 26** Let $D$ be a $m$-labelled digraph with $\Delta^- \leq k$. Suppose that for each vertex $v$, there are $m$ disjoint lists $L^v_1, ..., L^v_m$ of $c$ colours each being a subset of $\{1, ..., k + c\}$. If for each vertex $v$, the family $\{L^v_i \mid yx \in E(D) \text{ and } yx \text{ is labelled } i\}$ has a transversal, then there is a 1-fiber colouring of $D$ with $k + (2m^2 + 1)c + m$ colours such that for each vertex $v$ and label $l$, at most $(2m + 1)c + 1$ colours are assigned to arcs labelled $l$ leaving $v$.

**Proof.** Using the transversal to colour the entering arcs at each vertex, we obtain a colouring with few conflicts. Indeed there is no conflict between arcs entering a same vertex. So the only possible conflict are between an arc entering a vertex $v$ and an arc leaving $v$. Since arcs leaving a vertex $v$ use at most $m.c$ colours (those of $L^v_1 \cup ... \cup L^v_m$), there are at most $m.c$ arcs entering $v$ having the same colour as an arc leaving $c$. Removing such entering arcs for every vertex $v$, we obtain a digraph $D'$ for which the colouring with the $k + c$ colours is a 1-fiber colouring. We now want to colour the arcs of $D - D'$ with few extra colours. Consider a label $1 \leq l \leq m$, let $D'_l$ be the digraph induced by the arcs of $D - D'$ labelled $l$. Then $D'_l$ has indegree at most $m.c$. So by Theorem 7, we can partition $D'_l$ in $2m.c + 1$ star forests. Thus $D$ can be 1-fiber coloured with $k + c + m(2m.c + 1)$ colours. Moreover, in the above described colouring, arcs labelled $l$ leaving a vertex $v$ have a colour in $L^v_l$ or corresponding to one of the $2m.c + 1$ star forests of $D'_l$ So at most $(2m + 1)c + 1$ colours are assigned to arcs labelled $l$ leaving $v$. \qed

**Theorem 27** (N. Alon, C. McDiarmid, B. Reed, 1992 [2]) Let $k$ and $c$ be positive integers with $k \geq c \geq 5 \log k + 20$. Choose independent random subsets $S_1, ..., S_k$ of $X = \{1, ..., k + c\}$ as follows. For each $i$ choose $S_i$ by performing $c$ independent uniform samplings from $X$. Then the probability that $S_1, ..., S_k$ do not have a transversal is at most $k^{3 - \frac{2}{c}}$.

**Proof of Theorem 24.** It suffices to prove the result for $n = 1$. Indeed a 1-fiber colouring satisfying the conditions of the theorem to an $n$-fiber colouring satisfying the conditions by replacing the colour $qn + r$ with $1 \leq r \leq n$ by the colour $q + 1$ on fiber $r$. 

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Let \( c = [5 \log k + 20] \) We can assume \( k \geq m.c \). For all vertices \( x \), select \( m.c \) different ordered elements \( e_1, e_2, \ldots, e_{m.c} \) independently and uniformly. For all \( 1 \leq i \leq m \), let \( L_i^r = \{ e_{ri+1}, \ldots, e_{ri+1}\} \). Each set has the same distribution as the \( e \) elements where chosen uniformly and independently.

Let \( A_x \) be the event that the family \( \{ L^r_{xy} \mid xy \in E(D) \text{ and } xy \text{ is labelled } i \} \) fails to have a transversal. By Theorem 27, \( P(A_x) \leq k^{3-c/2} \). Furthermore, the event \( A_x \) is independent of all \( A_y \) for which there is no vertex \( z \) such that both \( zx \) and \( zy \) are in \( E(D) \). The dependency graph for the events has degree at most \( k^2 \) so we can apply Lovász Local Lemma. We obtain that there exists a family of lists satisfying conditions of Lemma 26. This lemma gives the desired colouring. 

Any digraph \( D \) may be decomposed into an acyclic digraph \( D_a \) and an eulerian digraph \( D_e \), that is such that for every vertex \( v \), \( d^{-1}_D(v) = d^+_D(v) \). Indeed consider an eulerian subdigraph \( D_e \) of \( D \) which has a maximum number of arcs. Then the digraph \( D_a = D - D_e \) is necessarily acyclic. Hence by Theorems 24 and 22, if \( m \geq n \) then \( \lambda_n(D) = \left[ \frac{m}{n} \right] + \left[ \frac{k}{n} \right] + 2m \left[ \frac{(10m+5)\log k + 40m+21}{n} \right] \).

**Theorem 28** If \( n \leq m \), then

\[
\lambda_n(m, k) \leq \left[ \frac{m}{n} \right] \left[ \frac{k}{n} \right] + \left[ \frac{k}{n} \right] + 2m \left[ \frac{(10m+5)\log k + 40m+21}{n} \right]
\]

**Proof.** Let \( D \) be an \( m \)-labelled digraph with \( \Delta^-(D) \leq k \). Consider a decomposition of \( D \) into an eulerian digraph \( D_e \) and an acyclic digraph \( D_a \). We first apply Theorem 24 and \( n \)-fiber colour the arcs of \( D_e \) with \( f(n, m, k) \) colours such that at most \( g(m, k) \) colours are assigned to the arcs leaving each vertex.

We shall extend the \( n \)-fiber colouring of \( D_e \) to the arcs of \( D_a \) in a way similar to the proof of Lemma 22, i.e. we will assign to each vertex \( v \) sets \( C_i(v), 1 \leq i \leq m \) of \( [k/n + m.g(m, k)] \) colours such that an arc labelled \( i \) leaving \( v \) will be labelled using a colour in \( C_i(v) \). Let \((v_1, \ldots, v_n)\) be an ordering of the vertices of \( A \) such that if \( v_jv_j' \) is an arc then \( j < j' \). We start to build the \( C_i(v_1) \) with the colours assigned to the leaving arcs of \( v_1 \) labelled \( i \). The vertex \( v_1 \) has at most \( k \) entering arcs. Each of them forbid one type (colour, fiber). In the colouring of \( D_e \) induced by Theorem 24, there are at most \( m.g(m, k) \) types assigned to the arcs leaving \( v_1 \). So there are at least \( \left[ \frac{mk}{n} \right] + \left[ \frac{k}{n} \right] + 2m \frac{g(m, k)}{n} - k - m.g(m, k) \geq m \left[ \frac{k}{n} \right] + m.g(m, k) \) types unused at vertex \( v_1 \). Since \( n \leq m \), we can partition these types into \( m \) sets of size at least \( \frac{k}{n} \) such that no two types having the same colour are in the same set. These sets are the \( C_i(v_1) \).

Suppose that the sets have been defined for \( v_1 \) up to \( v_{q-1} \) and that all the arcs \( v_iv_j \) for \( i < q \) and \( j < q \) have a colour. We now give a colour to the arcs of type \( v_iv_q \) for \( i < q \).

There are \( k_a \) arcs entering \( v_q \) in \( D_e \) which are already coloured. So it remains to give a colour to \( k_a \leq k - k_e \) arcs. Each uncoloured arc may be assigned a colour in a list of size at least \( \left[ \frac{k}{n} + m.g(m, k) \right] \). This gives a choice between \( n. \left[ \frac{k}{n} + m.g(m, k) \right] \) different types. \( k_e \) types are forbidden by the entering arcs in \( D_e \) while at most \( m.g(m, k) \) types are forbidden by the leaving arcs in \( D_e \). Then it remains at least \( n. \left[ \frac{k}{n} + m.g(m, k) \right] - k_e - m.g(m, k) \geq k_a \) types for each entering arcs of \( D_a \). So one can assign distinct available colours to each of the \( k_a \) arcs entering \( v_q \).

We then build the \( C_i(v_q) \) similarly as for \( v_1 \).

This process finished, we obtain an \( n \)-fiber colouring of \( D \) using \( \left[ \frac{mk}{n} \right] + \left[ \frac{k}{n} \right] + 2m \frac{g(m, k)}{n} \) colours. 

Theorem 28 gives an upper bound on \( \lambda_n(m, k) \) when \( m \geq n \). We now give an upper bound when \( m < n \).

**Proposition 29** If \( m < n \) then \( \lambda_n(m, k) \leq \left[ \frac{k}{n-m} \right] \).

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Proof. Let $D$ be an $m$-labelled digraph with $\Delta^- \leq k$. For each vertex $v$, we give to its entering arcs a colour such that none of them is used more than $n - m$ times. This is possible as there are at most $k \leq (n - m) \left\lfloor \frac{k}{n-m} \right\rfloor$ arcs entering $v$. Then we have $\text{in}(v, \lambda) \leq n - m$. Moreover each arc $vw$ is given a colour by $w$. Since $D$ is $m$-labelled, a colour $\lambda$ can be used to colour an arc of at most $m$ different labels, i.e. $\text{out}(v, \lambda) \leq m$. Consequently $\text{in}(v, \lambda) + \text{out}(v, \lambda) \leq n$. This give a proper $n$-fiber colouring. \hfill $\square$

References


