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# Fast Protection of H.264/AVC by Selective Encryption of CAVLC and CABAC for I and P Frames

Zafar Shahid, Marc Chaumont, and William Puech, Member, IEEE

Abstract—This paper presents a novel method for the protection of bitstreams of state-of-the-art video codec H.264/AVC. The problem of selective encryption (SE) is addressed along with the compression in the entropy coding modules. H.264/AVC supports two types of entropy coding modules. Context-adaptive variable length coding (CAVLC) is supported in H.264/AVC baseline profile and context-adaptive binary arithmetic coding (CABAC) is supported in H.264/AVC main profile. SE is performed in both types of entropy coding modules of this video codec. For this purpose, in this paper the encryption step is done simultaneously with the entropy coding CAVLC or CABAC. SE is performed by using the advanced encryption standard (AES) algorithm with the cipher feedback mode on a subset of codewords/binstrings. For CAVLC, SE is performed on equal length codewords from a specific variable length coding table. In case of CABAC, it is done on equal length binstrings. In our scheme, entropy coding module serves the purpose of encryption cipher without affecting the coding efficiency of H.264/AVC by keeping exactly the same bitrate, generating completely compliant bitstream and utilizing negligible computational power. Owing to no escalation in bitrate, our encryption algorithm is better suited for real-time multimedia streaming over heterogeneous networks. It is perfect for playback on handheld devices because of negligible increase in processing power. Nine different benchmark video sequences containing different combinations of motion, texture, and objects are used for experimental evaluation of the proposed algorithm.

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Index Terms—AES algorithm, CABAC, CAVLC, selective encryption, stream cipher, video security.

### I. INTRODUCTION

WITH THE RAPID growth of processing power and network bandwidth, many multimedia applications have emerged in the recent past. As digital data can easily be copied and modified, the concern about its protection and authentication have surfaced. Digital rights management (DRM) has emerged as an important research field to protect the copyrighted multimedia data. DRM systems enforce the rights

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of the multimedia property owners while ensuring the efficient rightful usage of such property.

Multimedia data requires either full encryption or selective encryption (SE) depending on the application requirements. For example, military and law enforcement applications require full encryption. Nevertheless, there is a large spectrum of applications that demands security on a lower level, as, e.g., that ensured by SE. SE encrypts part of the plaintext and has two main advantages. First, it reduces the computational requirements, since only a part of plaintext is encrypted [6]. Second, encrypted bitstream maintains the essential properties of the original bitstream [3]. SE just prevents abuse of the data. In the context of video, it refers to destroying the commercial value of video to a degree which prevents a pleasant viewing experience.

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SE schemes based on H.264/AVC have been already presented on context-adaptive variable length coding (CAVLC) [29] and context-adaptive binary arithmetic coding (CABAC) [30]. These two previous methods fulfill real-time constraints by keeping the same bitrate and by generating completely compliant bitstream. In this paper, we have enhanced the previous proposed approaches by encryption of more syntax elements for CAVLC and extending it for P frames. Here, we have also used advanced encryption standard (AES) [7] in the cipher feedback (CFB) mode which is a stream cipher algorithm. Security of the proposed schemes has also been analyzed in detail.

The rest of this paper is organized as follows. In Section II, overview of H.264/AVC and AES algorithm is presented. We explain the whole system architecture of the proposed methods in Section III. Section IV contains experimental evaluation and security analysis. In Section V, we present the concluding remarks about the proposed schemes.

## II. DESCRIPTION OF THE H.264/AVC-BASED VIDEO ENCRYPTION SYSTEM

### A. Overview of H.264/AVC

H.264/AVC (also known as MPEG4 Part 10) [1] is state-of-the-art video coding standard of ITU-T and ISO/IEC. H.264/AVC has some additional features and outperforms previous video coding standards including MPEG2 and MPEG4 Part II [35]. We review the basic working of CAVLC in Section II-A1 and of CABAC in Section II-A2.

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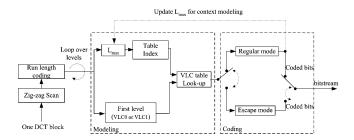


Fig. 1. Block diagram of level coding in CAVLC of H.264/AVC.

1) CAVLC: In CAVLC, run-length coding is performed first as it encodes levels and runs separately. CAVLC is designed to exploit the characteristics of NZs and works in several steps.

To adapt to the local statistical features of discrete cosine transform (DCT) coefficients, CAVLC uses seven fixed variable length coding (VLC) tables. For example, "2" will be coded as "010" using VLC1 table, while it will be coded as "1010" using VLC3 table. If magnitude of NZ lies within the range of that VLC table, it is coded by regular mode, otherwise escape mode is used. Adaptive nature is introduced by changing the table for the next NZ based on the magnitude of the current NZ as shown in Fig. 1. For the first NZ, VLC0 table is used unless there are more than ten NZs and less than three trailing ones, in which case it is coded with VLC1 table.

2) CABAC: CABAC is designed to better exploit the characteristics of NZs as compared to CAVLC, consumes more processing, and offers about 10% better compression than CAVLC on average [22]. Run-length coding has been replaced by significant map coding which specifies the position of NZs in the 4 × 4 block. Binary arithmetic coding (BAC) module of CABAC uses many context models to encode NZs and context model for a specific NZ depends on recently coded NZs.

CABAC consists of multiple stages as shown in Fig. 2(a). First of all, binarization is done in which non-binary syntax elements are converted to binary form called binstrings which are more amenable to compression by BAC. Binary representation for a non-binary syntax element is done in such a way that it is close to minimum redundancy code. In CABAC, there are four basic code trees for binarization step, namely, the *unary* code, the *truncated unary* code, the *kth order Exp-Golomb* code (EGk), and the *fixed length* code as shown in Fig. 2(b).

For an unsigned integer value  $x \ge 0$ , the unary code consists of x 1s plus a terminating 0 bit. The truncated unary code is only defined for x with  $0 \le x \le s$ . For x < s, the code is given by the unary code, whereas for x = s the terminating "0" bit is neglected. EGk is constructed by a concatenation of a prefix and a suffix parts and is suitable for binarization of syntax elements that represent prediction residuals. For a given unsigned integer value x > 0, the prefix part of the EGk binstring consists of a unary code corresponding to the length  $l(x) = \left[log_2(\frac{x}{2k} + 1)\right]$ . The EGk suffix part is computed as the binary representation of  $x + 2^k(1 - 2^{l(x)})$  using k + l(x) significant bits. Consequently for EGk binarization, the code length is 2l(x) + k + 1. When k = 0, 2l(x) + k + 1 = 2l(x) + 1.

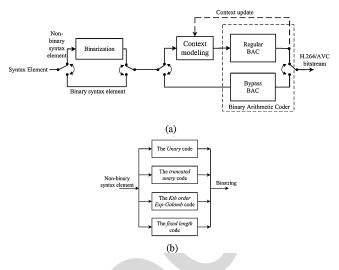


Fig. 2. (a) Block diagram of CABAC of H.264/AVC. (b) Binarization stage.

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The fixed length code is applied to syntax elements with a nearly uniform distribution or to syntax elements, for which each bit in the fixed length code binstring represents a specific coding decision, e.g., coded block flag. Three syntax elements are binarized by concatenation of the basic code trees, namely, coded block pattern, NZ, and the motion vector difference (MVD). Binarization of absolute level of NZs is done by concatenation of truncated unary code and EG0. The truncated unary code constitutes the prefix part with cutoff value S = 14. Binarization and subsequent arithmetic coding process is applied to the syntax element coeff abs value minus1 = abs level - 1, since quantized transformed coefficients with zero magnitude are encoded using significant map. For MVD, binstring is constructed by concatenation of the truncated unary code and EG3. The truncated unary constitutes the prefix part with cutoff value S = 9. Suffix part of MVDs contains EG3 of |MVD| - 9 for |MVD| > 9 and sign bit.

### B. AES Encryption Algorithm

The AES algorithm consists of a set of processing steps repeated for a number of iterations called rounds [7]. The number of rounds depends on the size of the key and the size of the data block. The number of rounds is nine, e.g., if both the block and the key are 128 bits long. Given a sequence  $\{X_1, X_2, ..., X_n\}$  of bit plaintext blocks, each  $X_i$  is encrypted with the same secret key k producing the ciphertext blocks  $\{Y_1, Y_2, ..., Y_n\}$ . To encipher a data block  $X_i$  in AES, you first perform an AddRoundKey step by XORing a subkey with the block. The incoming data and the key are added together in the first AddRoundKey step. Afterward, it follows the round operation. Each regular round operation involves four steps which are SubBytes, ShiftRows, MixColumns, and AddRoundKey. Before producing the final ciphered data  $Y_i$ , the AES performs an extra final routine that is composed of SubBytes, ShiftRows, and AddRoundKey steps.

The AES algorithm can support several cipher modes: electronic code book (ECB), cipher block chaining, output feedback (OFB), CFB, and counter (CTR) [31]. The ECB mode is actually the basic AES algorithm. In CFB mode, as

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Fig. 3. CFB stream cipher. (a) Encryption. (b) Decryption.

shown in Fig. 3, the keystream element  $Z_i$  is generated and the ciphertext block  $Y_i$  is produced as follows:

$$\begin{cases} Z_i = E_k(Y_{i-1}), \text{ for } i \ge 1\\ Y_i = X_i \oplus Z_i \end{cases}$$
 (1)

where  $\oplus$  is the XOR operator.

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Although AES is a block cipher, in the OFB, CFB, and CTR modes it operates as a stream cipher.

### C. SE of Image and Video

SE is a technique aiming to save computational time or to enable new system functionalities by only encrypting a portion of a compressed bitstream while still achieving adequate security [18]. SE as well as partial encryption (PE) are applied only on certain parts of the bitstream. In the decoding stage, both the encrypted and the non-encrypted information should be appropriately identified and displayed [6], [21], [26]. The copyright protection of the multimedia content is a required feature for DRM systems. The technical challenges posed by such systems are high and previous approaches have not entirely succeeded in tackling them [17].

In [32], Tang proposed a technique called zigzag permutation applicable to DCT-based image and video codecs. On one hand, this method provides a certain level of confidentiality, while on the other hand, it increases the overall bitrate. For image, several SE techniques have been proposed in the literature. In [8], Droogenbroeck and Benedett proposed a technique for encryption of JPEG images. It encrypts a selected number of AC coefficients. The DC coefficients are not ciphered since they carry important visual information and they are highly predictable. In spite of the constancy in the bitrate while preserving the bitstream compliance, the compression and the encryption process are separated and consequently the computational complexity is increased.

The AES [7] has been used for SE of image and video in the literature. The AES was applied on the Haar discrete wavelet transform compressed images in [23]. The encryption of color images in the wavelet transform has been addressed in [21]. In this approach, the encryption is performed on the resulting wavelet code bits. In [25], SE was performed on color JPEG images by selectively encrypting only *luma* component using AES cipher. The protection rights of individuals and the privacy of certain moving objects in the context of security surveillance systems using viewer generated masking and the AES encryption standard has been addressed in [37].

Combining PE and image/video compression using the set partitioning in hierarchical trees was used in [6]. Nevertheless, this approach requires a significant computational complexity. A method that does not require significant processing time and which operates directly on the bit planes of the image was proposed in [19]. The robustness of partially encrypted videos to attacks which exploit the information from non-encrypted bits together with the availability of side information was studied in [27]. Fisch *et al.* [10] proposed a scalable encryption method for a DCT-coded visual data wherein the data are organized in a scalable bitstream form. These bitstreams are constructed with the DC and some AC coefficients of each block which are then arranged in layers according to their visual importance, and PE process is applied over these layers.

For video, there are several SE techniques for different video codecs presented in the literature. SE of MPEG4 video standard was studied in [34] wherein data encryption standard was used to encrypt fixed length and variable length codes. In this approach, the encrypted bitstream is completely compliant with MPEG4 bitstream format but it increases the bitrate. A tradeoff has to be made among complexity, security, and the bit overhead. In [38], SE of MPEG4 video standard is proposed by doing frequency domain selective scrambling, DCT block shuffling, and rotation. This scheme is very easy to perform but its limitation is its bitrate overhead. SE of region of interest (ROI) of MPEG4 video has been presented in [9]. It performs SE by pseudo randomly inverting sign of DCT coefficients in ROI. SE of H.264/AVC has been studied in [15] wherein encryption has been carried out in some fields like intra-prediction mode, residual data, inter-prediction mode, and motion vectors. A scheme for commutative encryption and watermarking of H.264/AVC is presented in [16]. Here, SE of some macroblock (MB) header fields is combined with watermarking of magnitude of DCT coefficients. This scheme presents a watermarking solution in encrypted domain without exposing video content. The limitation of techniques proposed in [15] and [16] is that they are not format compliant. Encryption for H.264/AVC has been discussed in [5] wherein they do permutations of the pixels of MBs which are in ROI. The drawback of this scheme is that bitrate increases as the size of the ROI increases. This is due to change in the statistics of ROI as it is no more a slow varying region which is the basic assumption for video signals. SE of H.264/AVC at network abstraction layer (NAL) has been proposed in [14]. Important NAL units, namely, instantaneous decoding refresh picture, sequence parameter set, and picture parameter set are encrypted with a stream cipher. The limitation of this scheme is that it is not format compliant and cannot be parsed even at frame level. SE of H.264/AVC using AES has been proposed in [2]. In this scheme, encryption of I frame is performed, since P and B frame are not significant without I frames. This scheme is not format compliant.

The use of general entropy coder as encryption cipher using statistical models has been studied in the literature in [36]. It encrypts by using different Huffman tables for different input symbols. The tables, as well as the order in which they are used, are kept secret. This technique is vulnerable to known plaintext attacks as explained in [12]. Key-based interval splitting of arithmetic coding (KSAC) has used an approach [13] wherein intervals are partitioned in each iteration of arithmetic coding. Secret key is used to decide

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how the interval will be partitioned. Number of subintervals in which an interval is divided should be kept small as it increases the bitrate of bitstream. Randomized arithmetic coding [11] is aimed at arithmetic coding but instead of partitioning of intervals like in KSAC, secret key is used to scramble the order of intervals. The limitation of these entropy coding-based techniques is that encrypted bitstream is not format compliant. Moreover, these techniques require lot of processing power.

In the context of DRM systems, our paper addresses the simultaneous SE and compression for state-of-the-art H.264/AVC. The encrypted bitstream is format compliant with absolutely no escalation in bitrate. Furthermore, it does not require lot of processing power for encryption and decryption. In Section III, we describe our proposed approaches to apply SE and H.264/AVC compression in video sequences, simultaneously.

### III. PROPOSED SE SCHEMES

Our approach consists of SE during the entropy coding stage of H.264/AVC. In baseline profile, SE is performed in CAVLC entropy coding stage (SE-CAVLC). While in main profile, it is performed in CABAC entropy coding stage (SE-CABAC). In SE of video, encrypted bitstream compliance is a required feature for some direct operations such as displaying, time seeking, and browsing. Encrypted bitstream will be compliant and fulfills real-time constraints if the following three conditions are fulfilled.

- To keep the bitrate of encrypted bitstream same as the original bitstream, encrypted codewords/binstrings must have the same size as the original codewords/binstrings.
- 2) The encrypted codewords/binstrings must be valid so that they may be decoded by entropy decoder.
- 3) The decoded value of syntax element from encrypted codewords/binstrings must stay in the valid range for that syntax element. Any syntax element which is used for prediction of neighboring MBs should not be encrypted. Otherwise, the drift in the value of syntax element will keep on increasing and after a few iterations, value of syntax element will fall outside the valid range and bitstream will be no more decodable.

In each MB, header information is encoded first, which is followed by the encoding of MB data. To keep the bitstream compliant, we cannot encrypt MB header, since it is used for prediction of future MBs. MB data contains NZs and can be encrypted. A MB is further divided into 16 blocks of  $4 \times 4$  pixels to be processed by integer transform module. The coded block pattern is a syntax element used to indicate which 8 × 8 blocks within a MB contain NZs. The macroblock mode (MBmode) is used to indicate whether a MB is *skipped* or not. If MB is not skipped, then MBmode indicates the prediction method for a specific MB. For a  $4 \times 4$  block inside MB, if coded block pattern and MBmode are set, it indicates that this block is encoded. Inside  $4 \times 4$  block, coded block flag is the syntax element used to indicate whether it contains NZs or not. It is encoded first. If it is zero, no further data is transmitted; otherwise, it is followed by encoding of significant map in case of CABAC. Finally, the absolute value of each NZ and

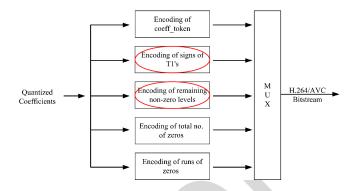


Fig. 4. Block diagram of CAVLC of H.264/AVC. Encircled syntax elements are used for SE-CAVLC.

its sign are encoded. Similar to MB header, header of  $4 \times 4$  block which includes coded block flag and significant map, should not be encrypted for the sake of bitstream compliance. Available encryption space (ES) which fulfills the abovementioned conditions for SE-CAVLC and SE-CABAC is presented in Sections III-A and III-B, respectively. Encryption and decryption of the protected bitstream are presented in Sections III-C and III-D, respectively.

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### A. ES for SE-CAVLC

In CAVLC, five syntax elements are used to code levels and runs as shown in Fig. 4. NZs are coded by three syntax elements, namely, coeff\_token, signs of trailing ones, and remaining nonzero levels. Zeros are coded by two syntax elements, namely, total number of zeros and runs of zeros. A single syntax element, namely, coeff\_token is used to code total NZs and number of trailing ones. It is followed by coding of signs of trailing ones (T1s). Remaining NZs are then coded using seven VLC look-up tables either by regular mode or by escape mode as explained in Section II-A1. They are mapped to some code from a specific VLC look-up table.

To keep the bitstream compliant, we cannot encrypt coeff token, total number of zeros, and runs of zeros. Two syntax elements fulfill the above-mentioned conditions for encryptions. First is signs of trailing ones. Second is sign and magnitude of remaining NZs, both in regular and escape mode. For the sake of same bitrate, ES of SE-CAVLC consists of only those NZs whose VLC codewords have the same length. CAVLC uses multiple VLC tables with some threshold for incrementing the table as given in (2). Since the threshold for a specific table is highest possible value possible with that codeword length (this is the case when all the suffix bits of the codeword are 1), magnitude of encrypted NZ is such that VLC table transition is not affected. VLC codes, having same code length, constitute the ES. For VLC n table, ES is  $2^n$  as given in (3). For table VLC0, every NZ has different codeword length, consequently we cannot encrypt the NZs in this table as follows:

$$TH[0...6] = (0, 2, 3, 6, 12, 24, 48, \infty).$$
 (2)

$$ES[0...6] = (1, 2, 4, 8, 16, 32, 64, \infty).$$
 (3)

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Fig. 5. SE of binstrings in SE-CABAC.

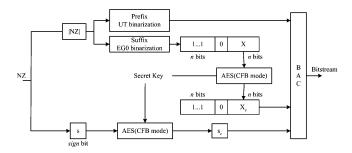


Fig. 6. Encryption process for NZs and their signs in CABAC of H.264/AVC.

### B. ES for SE-CABAC

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The main difference between SE-CAVLC and SE-CABAC is that in SE-CABAC, SE is not performed on CABAC bitstream. Rather it is performed on binstrings which are input to BAC as shown in Fig. 5. Among all the four binarization techniques, the unary and truncated unary codes have different code lengths for each input value as explained in Section II-A2. They do not fulfill the first condition and their encryption will change the bitrate of bitstream. Suffix of EGk and the fixed length code can be encrypted while keeping the bitrate unchanged. EGk is used for binarization of absolute value of levels and MVDs. Number of MVD binstrings have the same length and hence, first and second conditions are fulfilled. But owing to the fact that MVDs are part of MB header and are used for prediction of future motion vectors, their encryption does not fulfill third condition and their encryption makes the bitstream non-compliant. To conclude, the syntax elements which fulfill the criteria for encryption of H.264/AVC compliant bitstream are suffix of EG0 and sign bits of levels. Hence, for each NZ with |NZ| > 14, encryption is performed on l(x) of EG0. It is followed by encryption of syntax element coeff\_sign\_flag which represents sign of levels of all nonzero levels. The fixed length code is used for binarization of syntax elements which belong to MB header and cannot be encrypted.

To keep the bitrate intact, ES for SE-CABAC consists of only those NZs whose EG0 binstrings have the same length as shown in Fig. 6. EG0 codes, having same code length, constitute the ES and it depends upon ||NZ||. The ES is  $2^{\log_2(n+1)}$  where n is the maximum possible value by suffix bits of EG0, i.e., when all the bits in suffix are 1.

### C. SE of NZs in the Entropy Coding Stage of H.264/AVC

Let us consider  $Y_i = X_i \oplus E_k(Y_{i-1})$  as the notation for the encryption of a n bit block  $X_i$ , using the secret key k with the AES cipher in CFB mode as given by (1), and performed as described in the scheme from Fig. 3. We have chosen to use this mode in order to keep the original compression rate. Indeed, with the CFB mode for each block, the size of the encrypted data  $Y_i$  can be exactly the same one as

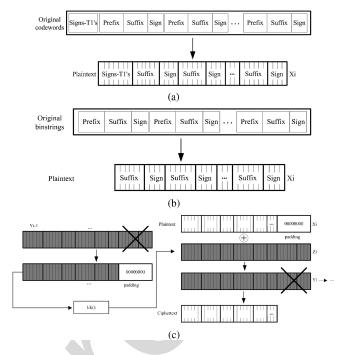


Fig. 7. (a) CAVLC plaintext. (b) CABAC plaintext. (c) Proposed SE scheme.

the size of the plaintext  $X_i$ . In this mode, the code from the previously encrypted block is used to encrypt the current one as shown in Fig. 3. The three stages of the proposed algorithm are the construction of the plaintext  $X_i$ , described in Section III-C1, the encryption of  $X_i$  to create  $Y_i$  which is provided in Section III-C2, and the substitution of the original codeword/binstring with the encrypted information, which is explained in Section III-C3. The overview of the proposed SE method is provided in Fig. 7.

- 1) Construction of Plaintext: As slices are independent coding units, SE should be performed on them independently. In case of SE-CAVLC, the plaintext is created by copying the encrypt-able bits from CAVLC bitstream to the vector  $X_i$ until either  $X_i$  is completely filled or slice-boundary comes as shown in Fig. 7(a). Let C, the length of the vector  $X_i$ , is 128. In case of SE-CABAC, we perform SE before BAC as shown in Fig. 7(b). In that case, we transform the non-binary syntax elements to binstrings through process of binarization and at the same time we fill the  $X_i$  with encrypted bits until either the vector  $X_i$  is completely filled or the slice boundary comes. The binarization of many syntax elements at the same time also makes the CABAC coding faster and increases its throughput [39]. Let  $L(X_i)$  be the length up to which vector  $X_i$  is filled. In case of slice boundary, if  $L(X_i) < C$ , we apply a padding function p(j) = 0, where  $j \in \{L(X_i) + 1, ..., C\}$ , to fill in the vector  $X_i$  with zeros up to C bits. Historically, padding was used to increase the security of the encryption, but in here it is used for rather technical reasons [28].
- 2) Encryption of the Plaintext with AES in the CFB Mode: In the encryption step with AES in the CFB mode, the previous encrypted block  $Y_{i-1}$  is used as the input of the AES algorithm in order to create  $Z_i$ . Then, the current plaintext  $X_i$  is XORed with  $Z_i$  in order to generate the encrypted text  $Y_i$  as given by (1). For the initialization, the initialization vector (IV)

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is created from the secret key k according to the following strategy. The secret key k is used as the seed of the pseudo random number generator (PRNG). First, the secret key k is divided into 8 bits (byte) sequences. The PRNG produces a random number for each byte component of the key that defines the order of IV formation. Then, we substitute  $Y_0$  with the IV, and  $Y_0$  is used in AES to produce  $Z_1$ . As illustrated in Fig. 7(c), with the CFB mode of the AES algorithm, the generation of the keystream  $Z_i$  depends on the previous encrypted block  $Y_{i-1}$ . Consequently, if two plaintexts are identical  $X_i = X_j$  in the CFB mode, then always the two corresponding encrypted blocks are different,  $Y_i \neq Y_j$ .

3) Substitution of the Original Bitstream: The third step is the substitution of the original  $Y_i$  by the encrypted  $Y_i$ . For SE-CAVLC, CAVLC bitstream is accessed in sequential order as in the first step (construction of the plaintext  $X_i$ ). Given the length in bits of each amplitude  $(S_n, S_{n-1}, \ldots, S_1)$ , we start substituting the original bits in the bitstream by the corresponding parts of  $Y_i$  as shown in Fig. 7. For SE-CABAC, binstrings are accessed in sequential order and we start substituting the original bits in them by the corresponding parts of  $Y_i$  as shown in Fig. 7. In case of slice boundaries, the total quantity of replaced bits is  $L(X_i)$  and consequently we do not necessarily use all the bits of  $Y_i$ .

### D. Decryption Process

The decryption process in the CFB mode works as follows. The previous block  $Y_{i-1}$  is used as the input to the AES algorithm in order to generate  $Z_i$ . By knowing the secret key k, we apply the same function  $E_k(\cdot)$  as that used in the encryption stage. The difference is that the input of this process is now the ciphered vector. In case of SE-CAVLC, the ciphered vector is accessed in the sequential way in order to construct the plaintext  $Y_{i-1}$  which is then used in the AES to generate the keystream  $Z_i$ . The keystream  $Z_i$  is then XORed with the current block  $Y_i$  to generate  $X_i$ , as shown in Fig. 3(b). For SE-CAVLC, the resulting plaintext vector is split into segments in order to substitute the signs of trailing ones and suffixes  $(S_n, S_{n-1}, ..., S_1)$  in the ciphered bitstream and to generate the original CAVLC bitstream. Afterward, we apply the entropy decoding and retrieve the quantized DCT coefficients. After the inverse quantization and the inverse DCT we get the decrypted and decoded video frame. In case of SE-CABAC, the difference is that binary arithmetic decoder is used to transform the SE-CABAC bitstream to encrypted binstrings which are then accessed to make the plaintext  $Y_{i-1}$ . The plaintext is decrypted and substituted back to generate original binstrings. They are then passed through inverse binarization, inverse quantization, and inverse DCT steps to get the decrypted and decoded video frame.

### IV. EXPERIMENTAL RESULTS

In this section, we analyze the results for SE-CAVLC and SE-CABAC. We have used the reference implementation of H.264 JSVM 10.2 in AVC mode for video sequences in quarter common intermediate format (QCIF) and SD resolution. For the experimental results, nine benchmark video sequences

have been used for the analysis in QCIF format. Each of them represents different combinations of motion (fast/slow, pan/zoom/rotation), color (bright/dull), contrast (high/low), and objects (vehicle, buildings, people). The video sequences Bus, City, and Foreman contain camera motion while Football and Soccer contain camera panning and zooming along with object motion and texture in background. The video sequences Harbour and Ice contain high luminance images with smooth motion. Mobile sequence contains a complex still background and foreground motion.

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In Section IV-A, we present an analysis of joint SE and H.264/AVC compression while in Section IV-B, we compare PSNR and quality when applying SE only on I frames and on I+P frames. In Section IV-C, security analysis, showing the efficiency of the proposed method, is developed.

### A. Analysis of Joint SE and H.264/AVC Compression

We have applied simultaneously our SE and H.264/AVC compression as described in Section III, on all the benchmark video sequences. SE-CAVLC and SE-CABAC impart some characteristics to the bitstream. In spatial domain, SE video gets flat regions and change in pixel values mostly occur on MB boundaries. In temporal domain, *luma* and *chroma* values rise up to maximum limit and then come back to minimum values. This cycle keeps on repeating. Owing to this phenomenon, the pixel values change drastically in temporal domain. Lot of transitions are observed in values of color and brightness.

In a first set of experiments, we have analyzed the available ES in H.264/AVC bitstreams for both of SE-CAVLC and SE-CABAC. ES is defined as percentage of total bitstream size. MBs that contain many details and texture will have lot of NZs and, consequently, will be strongly encrypted. On the contrary, the homogeneous MBs, i.e., blocks that contain series of identical pixels, are less ciphered because they contain a lot of null coefficients which are represented by runs in CAVLC and by significant map in CABAC. In Table I, we provide ES for SE-CAVLC and SE-CABAC for different benchmark video sequences for quantization parameter (QP) value 18. While in Table II, ES for various QP values is shown for Foreman video sequence. Here the average number of bits available for SE per MB are also provided. One can note that ES is inversely proportional to QP value. When QP value is higher and implicitly the video compression is higher, we are able to encrypt fewer bits in the compressed frame. This is due to the fact that H.264/AVC has lesser number of NZs at higher QP values. From both these tables, it is evident that more ES is available for SE-CAVLC as compared to SE-CABAC. But ES is more affected by change in QP values for SE-CAVLC as compared to SE-CABAC. For example, for Foreman video sequence, ES varies from 28.55% to 6.70% for SE-CAVLC when QP varies from 12 to 42. For the same QP range, the change in ES for SE-CABAC is from 19.97% to 9.46% as shown in Table II. From Tables I and II, since PSNR of original H.264/AVC are very similar for both CAVLC and CABAC, in the rest of this section for the sake of comparison, we list only PSNR of CAVLC bitstreams.

TABLE I ANALYSIS OF ES FOR SE FOR DIFFERENT BENCHMARK VIDEO SEQUENCES AT QP VALUE 18

	SE-C	AVLC	SE-CA	ABAC
Sequence	PSNR	ES	PSNR	ES
	(dB)	(%)	(dB)	(%)
Bus	44.25	31.05	44.24	19.93
City	44.29	26.41	44.27	19.79
Crew	44.82	20.66	44.81	18.97
Football	44.61	25.33	44.59	19.45
Foreman	44.38	22.76	44.36	18.72
Harbor	44.10	30.49	44.09	20.01
Ice	46.47	24.64	46.46	17.72
Mobile	44.44	36.17	44.43	19.80
Soccer	44.27	23.42	44.21	19.94

TABLE II  $\label{eq:Analysis} \text{Analysis of ES for SE Over Whole Range of QP Values for } Foreman \text{ Video Sequence}$ 

	SE-C	AVLC	SE-CABAC		
QP	PSNR	ES	PSNR	ES	
	(dB)	(%)	(dB)	(%)	
12	50.07	28.55	50.05	19.97	
18	44.38	22.76	44.36	18.72	
24	39.43	17.13	39.42	17.61	
30	35.08	13.24	35.08	15.65	
36	31.04	9.88	31.06	12.22	
42	27.23	6.70	27.35	9.46	

TABLE III

ANALYSIS OF INCREASE IN PROCESSING POWER FOR SE-CAVLC AND
SE-CABAC AT QP VALUE 18

		SE-CAVLC				SE-CABAC			
	Enc	oder	der Decoder		Enc	oder	Decoder		
Sequence	I	I+P	I	I+P	I	I+P	I	I+P	
	(%)	(%)	(%)	(%)	(%)	(%)	(%)	(%)	
Bus	0.69	0.31	3.77	2.7	0.57	0.25	3.37	2.3	
City	0.5	0.26	3.36	2.4	0.44	0.23	3.06	2.1	
Crew	0.31	0.15	2.52	1.5	0.29	0.14	2.22	1.2	
Football	0.41	0.23	3.46	2.4	0.31	0.18	3.26	2.2	
Foreman	0.47	0.23	3.19	2.2	0.41	0.20	2.99	2.0	
Harbor	0.55	0.30	3.65	2.7	0.47	0.26	3.25	2.3	
Ice	0.41	0.21	3.16	2.1	0.33	0.17	2.96	1.9	
Mobile	0.76	0.35	4.33	3.3	0.72	0.33	4.03	3.0	
Soccer	0.44	0.21	3.17	2.2	0.38	0.18	2.87	1.9	

Table III gives a detailed overview of the required processing power for I and I+P video sequences at QP value 18. *Intra period* has been set 10 for I+P video sequences. One can observe that increase in computation time for encoder is less than 0.4% for both of SE-CAVLC and SE-CABAC while it is below than 3% for decoder for I+P sequence.

Fig. 8(a) and (b) shows the framewise analysis of increase in processing power for SE-CABAC at QP value 18 for *Foreman*. For experimentation, 2.1 GHz Intel Core 2 Duo T8100 machine with 3072 MB random access memory has been used. For I+P sequence encoding of 100 frames with *intra period* 10, it took 4372.5 s and 4381.3 s for CABAC and SE-CABAC, respectively. While it took 2.005 s and 2.045 s for CABAC and SE-CABAC decoding. It is a negligible increase in processing power and can be managed well even by handheld devices. It is important to note that increase in processing power of SE-

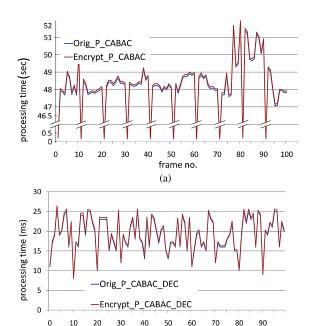


Fig. 8. Framewise time taken by SE-CABAC of *Foreman* video sequence for I+P frames at QP value 18 with *intra period* 10 during (a) encoding and (b) decoding.

frame no.
(b)

CABAC is less than SE-CAVLC owing to two reasons. First, ES of SE-CABAC is lesser than that of SE-CAVLC as shown in Tables I and II. Second, CABAC takes lot more processing power than CAVLC. So increase in processing power because of encryption will be lower in terms of percentage. Thus, SE-CAVLC and SE-CABAC is possible in real-time along with compression.

## B. PSNR and Quality of SE-CAVLC and SE-CABAC for I Frames and I+P Frames

Peak signal to noise ratio (PSNR) is widely used objective video quality metric. However, it does not perfectly correlate with a perceived visual quality due to nonlinear behavior of human visual system. Structural similarity index (SSIM) [33] takes into account the structural distortion measurement, since human vision system is highly specialized in extracting structural information from the viewing field. SSIM has a better correlation to the subjective impression. SSIM ranges from -1 to 1. SSIM is 1 when both the images are the same. To present the visual protection of encrypted video sequences, PSNR and SSIM of I and I+P frames are presented.

1) I Frames: To demonstrate the efficiency of our proposed scheme, we have compressed 100 I frames of each sequence at 30 f/s. Figs. 9 and 10 show the encrypted first frame of Foreman video sequence at different QP values for SE-CAVLC and SE-CABAC, respectively. In H.264/AVC, blocks on the top array are predicted only from left while blocks on left are always predicted from top. Owing to this prediction, a band having width of 8 pixels at top of video frames can be observed for both of SE-CAVLC and SE-CABAC while this band has width of 4 pixels on left of video frames as shown in Figs. 9 and 10. The average PSNR values of Foreman is

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Fig. 9. Decoding of SE-CAVLC frame #1 of *Foreman* sequence with QP value equal to (a) 18, (b) 30, and (c) 42.

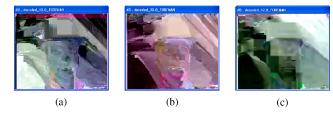


Fig. 10. Decoding of SE-CABAC frame #1 of Foreman sequence with QP value equal to (a) 18, (b) 30, and (c) 42.

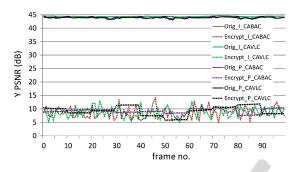


Fig. 11. Framewise PSNR of I and I+P frames for Foreman for SE-CAVLC and SE-CABAC at QP value 18.

given in Table IV over whole QP range. It is also compared with the PSNR obtained for the same video sequence without encryption. In Table IV, we present PSNR of original video only for CAVLC. PSNR for CABAC is very much similar as presented in Table I. One can note that whatever is the QP value, the quality of the encrypted video remains in the same lower range.

Table V compares the average PSNR of 100 I frames of all benchmark video sequences at QP value 18 without encryption and with SE. Average PSNR value of luma for all the sequences at QP value 18 is 9.49 dB for SE-CAVLC and 9.80 dB for SE-CABAC. It confirms that this algorithm works well for various combinations of motion, texture, and objects for I frames. It is also evident in framewise PSNR of luma of I frames of Foreman video sequence as shown in Fig. 11. Table VI contains the experimental results of SE of 100 I frames for SD resolution. Here, average PSNR value of luma is 9.82 dB for SE-CAVLC and 9.83 dB for SE-CABAC, which is almost the same as that of QCIF resolution. It is evident that this algorithm is capable to encrypt high-quality information at all resolutions. For the rest of the section, we present analysis for QCIF resolution only, since more benchmark video sequences are available in this resolution.

Table VII shows the SSIM values of *luma* of benchmark video sequences without encryption and with SE. Results

TABLE IV  ${\tt PSNR\ Comparison\ for\ I\ Frames\ Without\ Encryption\ and\ with\ SE}$   ${\tt for\ \it Foreman\ AT\ Different\ QP\ Values}$ 

	PSN	R (Y)	(dB)	PSNR (U) (dB)			PSNR (V) (dB)		
QP	ORIG	SE	SE	ORIG	SE	SE	ORIG	SE	SE
		CAVLC	CABAC		CAVLC	CABAC		CAVLC	CABAC
12	50.1	8.6	8.4	50.0	19.8	24.1	50.8	9.6	22.6
18	44.4	8.7	8.6	45.7	24.1	24.4	47.6	10.2	22.1
24	39.4	8.7	8.7	41.9	26.4	24.4	44.2	24.9	22.8
30	35.1	9.4	8.7	39.8	27.4	24.6	41.4	25.4	23.6
36	31.0	9.4	8.5	37.7	28.1	24.9	38.6	24.8	23.2
42	27.2	9.4	8.7	36.2	25.5	24.9	36.9	24.6	24.0

TABLE V PSNR Comparison for I Frames Without Encryption and with SE at QP Value 18

	PSNR (Y)	(dB)	PSNR (U)	(dB)	PSNR (V)	(dB)
Sequence	ORIG SE	SE	ORIG SE	SE	ORIG SE	SE
	CAVLC	CABAC	CAVLC	CABAC	CAVLC	CABAC
Bus	44.2 7.9	8.2	45.2 26.8	25.0	46.6 26.6	27.2
City	44.3 10.9	11.2	45.8 31.9	30.3	46.8 33.5	31.8
Crew	44.8 9.0	9.9	45.8 24.0	23.4	45.7 19.7	19.8
Football	44.6 11.5	11.5	45.8 14.9	14.4	46.0 24.3	23.6
Foreman	44.4 8.7	8.6	45.7 24.1	24.4	47.6 10.2	22.1
Harbor	44.1 9.2	9.5	45.6 27.1	24.6	46.7 33.2	31.3
Ice	46.5 10.6	10.4	48.8 24.3	25.6	49.3 16.9	20.4
Mobile	44.4 8.3	8.3	44.1 10.4	13.1	44.1 9.6	11.0
Soccer	44.3 9.3	10.6	46.6 22.1	19.7	47.9 28.2	24.4
Average	44.6 9.5	9.8	46.0 22.8	22.3	46.7 22.5	23.5

TABLE VI
PSNR COMPARISON FOR I FRAMES WITHOUT ENCRYPTION AND WITH SE
AT QP VALUE 18 (SD RESOLUTION)

		PSN	VR (Y)	(dB)	PSN	NR (U)	(dB)	PSN	VR (V)	(dB)
	Sequence	ORIG	SE SE	SE	ORIC	SE	SE	ORIC	S SE	SE
			CAVLC	CABAC		CAVLC	CABAC		CAVLC	CABAC
J	City	44.6	9.9	10.1	47.8	27.3	26.2	49.1	31.4	29.9
	Crew	45.2	9.1	9.1	46.6	24.5	22.8	47.7	20.1	20.0
1	Harbor	44.5	9.4	9.4	47.5	22.9	22.9	48.7	28.8	26.8
İ	Ice	46.2	10.7	10.4	51.5	27.8	27.8	52.0	25.0	26.0
	Soccer	45.1	10.0	10.2	47.7	18.4	18.0	49.2	26.7	24.1
ĺ	Average	45.1	9.8	9.8	48.2	24.2	23.5	49.4	26.4	25.4

verify the proposed scheme has distorted the structural information present in the original video. Average SSIM value of video sequences without encryption is 0.993, while it is 0.164 and 0.180 for SE-CAVLC and SE-CABAC, respectively. Fig. 12 shows the framewise SSIM of *luma* of *Foreman* video sequence for I frames. It is important to note SSIM value of complex video sequences is less than that of simple video sequences.

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2) *I+P Frames:* Video data normally consists of an I frame and a trail of P frames. I frames are inserted periodically to restrict the drift because of lossy compression and rounding errors. In these experiments, *intra period* is set at 10 in a sequence of 100 frames. Results shown in Table VIII verify the effectiveness of our scheme over the whole range of QP values for *Foreman* video sequence. Table IX verifies the performance of our algorithm for all video sequences for I+P frames at QP value 18. Average PSNR of *luma* for all the sequences is 9.75 dB and 10.02 dB for SE-CAVLC and SE-CABAC,

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TABLE VII SSIM COMPARISON OF luma OF I FRAMES WITHOUT ENCRYPTION AND WITH SE AT QP VALUE 18

Sequence	CAVLC	SE-CAVLC	CABAC	SE-CABAC
Bus	0.995	0.069	0.994	0.064
City	0.994	0.115	0.994	0.093
Crew	0.991	0.184	0.991	0.153
Football	0.991	0.219	0.991	0.184
Foreman	0.990	0.198	0.990	0.165
Harbor	0.998	0.047	0.998	0.038
Ice	0.990	0.419	0.990	0.398
Mobile	0.998	0.040	0.998	0.356
Soccer	0.988	0.185	0.988	0.171
Average	0.993	0.164	0.993	0.180

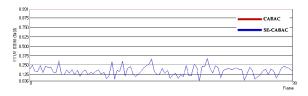


Fig. 12. Framewise SSIM of I frames for *Foreman* for SE-CABAC at QP value 18.

TABLE VIII

PSNR COMPARISON FOR I+P FRAMES WITHOUT ENCRYPTION AND WITH

SE FOR Foreman AT DIFFERENT QP VALUES

	PSNR (Y) (dB)		PSNR (U)	(dB)	PSNR (V) (dB)		
Sequence	ORIG SE	SE	ORIG SE	SE	ORIG SE	SE	
	CAVLC	CABAC	CAVLC	CABAC	CAVLC	CABAC	
12	49.6 8.7	8.1	49.9 18.4	23.0	50.6 10.4	21.6	
18	43.9 9.1	10.4	45.5 23.6	23.9	47.6 8.0	23.2	
24	38.9 9.6	9.7	42.0 26.9	24.9	44.3 25.8	25.0	
30	34.6 9.2	9.2	39.8 28.6	24.9	41.5 26.6	24.0	
36	30.7 10.1	8.2	37.9 28.4	24.3	38.8 22.8	23.3	
42	27.0 9.4	8.6	36.3 26.5	26.8	36.9 25.6	24.6	

respectively. Fig. 11 shows the framewise PSNR of *luma* of *Foreman* video sequence for I+P. Here, PSNR of SE-CAVLC and SE-CABAC remains almost the same for sequence of P frames and changes at every I frame, thus producing a staircase graph. SSIM quality metric has very low values and is not given here for the sake of brevity.

### C. Security Analysis

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1) Analysis of Entropy and Local Standard Deviation: The security of the encrypted image can be measured by considering the variations (local or global) in the protected image. Entropy is a statistical measure of randomness or disorder of a system which is mostly used to characterize the texture in the input images. Considering this, the information content of image can be measured with the entropy H(X) and local standard deviation  $\sigma(j)$ . If an image has  $2^k$  gray levels  $\alpha_i$  with  $0 \le i \le 2^k$  and the probability of gray level  $\alpha_i$  is  $P(\alpha_i)$ , and without considering the correlation of gray levels, the first order entropy H(X) is defined as follows:

$$H(X) = -\sum_{i=0}^{2^{k}-1} P(\alpha_i) log_2(P(\alpha_i)).$$
 (4)

TABLE IX  $\label{table encryption} \mbox{Comparison of PSNR Without Encryption and with SE for I+P } \mbox{Frames at QP Value } 18$ 

	PSNR (Y) (dB)		PSNR (U) (	dB)	PSNR (V) (dB)		
Sequence	ORIG SE	SE	ORIG SE	SE	ORIG SE	SE	
	CAVLC	CABAC	CAVLC	CABAC	CAVLC	CABAC	
Bus	43.7 7.6	7.7	45.1 27.2	25.4	46.4 24.7	27.0	
City	43.8 11.4	11.1	45.7 32.5	30.2	46.8 32.5	31.7	
Crew	44.5 9.0	10.0	45.8 25.1	22.0	45.7 19.6	20.2	
Football	44.2 12.1	11.3	45.7 14.3	14.6	46.1 24.8	24.3	
Foreman	43.9 9.1	10.4	45.5 23.6	23.9	47.6 8.0	23.2	
Harbor	43.7 9.5	9.8	45.4 24.5	22.9	46.6 33.9	31.7	
Ice	46.1 10.9	10.4	48.6 23.6	25.3	49.1 19.2	19.7	
Mobile	43.8 8.4	8.8	44.2 10.1	12.5	44.1 9.6	11.8	
Soccer	43.6 9.6	10.6	46.5 21.8	20.8	47.8 27.4	22.2	
Average	44.2 9.75	10.0	45.8 22.5	21.9	46.7 22.2	23.5	

If the probability of each gray level in the image is  $P(\alpha_i) = \frac{1}{2^k}$ , then the encryption of such image is robust against statistical attacks of first order, and thus  $H(X) = log_2(2^k) = k$  bits/pixel. In the image, the information redundancy r is defined as follows:

$$r = k - H(X). (5)$$

Similarly, the local standard deviation  $\sigma(j)$  for each pixel  $\underline{p(j)}$  taking account of its neighbors to calculate the local mean  $\overline{p(j)}$ , is given as follows:

$$\sigma(j) = \sqrt{\frac{1}{m} \sum_{i=1}^{m} (p(i) - \overline{p(j)})}$$
 (6)

where m is the size of the pixel block to calculate the local mean and standard deviation, and  $0 \le j < M$ , if M is the image size. In case of full encryption, entropy H(X) is maximized with high values of local standard deviation. But in case of SE-CAVLC and SE-CABAC, the video frame is transformed to flat regions with blocking artifacts as depicted in Figs. 9 and 10. It is generally owing to variation in pixel values at MB boundaries. For all the benchmark sequences, the average information redundancy r for SE-CAVLC and SE-CABAC sequences is 0.94 and 0.55, respectively, while it is 1.11 for all the original sequences. Despite the fact that SE-CAVLC and SE-CABAC transform the video frames into flat region, the entropy of the encrypted video sequences from (4) is higher as compared to the original sequences. These flat regions are because of two reasons. First, flat regions are due to the fact that prediction is performed from edge pixels of neighboring MBs. Second, pixels have either very high value (bright video frame) or very low value (dark video frame) in SE video frame. This is owing to the fact that during reconstruction pixel value are clipped to 255 if they are greater than it and to 0 if they are below this lower range. So if many pixels have value beyond the upper or lower range, all of them will be clipped to the same value, thus creating a flat region which is either dark or bright. Based on this analysis, the statistical characteristics of SE-CAVLC and SE-CABAC bitstreams vary from full encryption systems.

From (6), we also analyzed the local standard deviation  $\sigma$  for each pixel while taking into account its neighbors.

	(	CAVLC	CABAC		
QP	ORIG	SE-CAVLC	ORIG	SE-CABAC	
12	6.75	71.49	7.02	69.69	
18	7.21	73.23	7.53	59.97	
24	8.57	91.98	8.63	84.55	
30	6.35	35.99	6.71	57.87	
36	6.90	47.42	6.93	68.04	
42	7.91	75.26	8.11	71.17	

In Table X, the mean local standard deviation for *Foreman* sequence at different QP values is given. For all benchmark video sequences, the mean local standard deviation of *luma* equals to 69.15 and 61.48 for the SE-CAVLC and SE-CABAC bitstreams, respectively, where the mean local standard deviation is less than ten gray levels for the original benchmark sequences. One can note that local standard deviation of encrypted sequences is higher than original sequences.

2) Correlation of Adjacent Pixels: Visual data is highly correlated, i.e., pixels values are highly probable to repeat in horizontal, vertical, and diagonal directions. A correlation of a pixel with its neighboring pixel is then given by a tuple  $(x_i, y_i)$  where  $y_i$  is the adjacent pixel of  $x_i$ . Since there is always three directions in images, i.e., horizontal, vertical, and diagonal, so we can define correlation direction between any two adjacent pixels as follows:

$$corr_{(x,y)} = \frac{1}{n-1} \sum_{i=0}^{n} \left( \frac{x_i - \overline{x_i}}{\sigma_x} \right) \left( \frac{y_i - \overline{y_i}}{\sigma_y} \right) \tag{7}$$

where *n* represents the total number of tuples  $(x_i, y_i)$ ,  $\overline{x_i}$  and  $\overline{y_i}$  represent the local mean, and  $\sigma_x$  and  $\sigma_y$  represent the local standard deviation, respectively.

Owing to the flat regions in SE-CAVLC and SE-CABAC video sequences, the correlation values in these sequences will be higher as compared to original image which contain texture and edges. For all the benchmark sequences, the average horizontal correlation coefficient is 0.88 and 0.87 for the SE-CAVLC and SE-CABAC, respectively, while it is 0.80 for the original sequences.

- 3) Key Sensitivity Test: Robustness against cryptanalyst can be improved if the cryptosystem is highly sensitive toward the key. The more the visual data is sensitive toward the key, the more we would have data randomness. For this purpose, a key sensitivity test is assumed where we pick one key and then apply the proposed technique for encryption and then make a 1 bit change in the key and decode the bitstream. Numerical results show that the proposed technique is highly sensitive toward the key change, i.e., a different version of encrypted video sequence is produced when the keys are changed, as shown in Fig. 13. PSNR of *luma* of decrypted frames with 1-bit different key is 10.39 dB and 8.31 dB for SE-CAVLC and SE-CABAC as shown in Table XI. It lies in the same lower range as decoded frames without decryption.
- 4) Removal of Encrypted Data Attack: In another experiment, we have replaced the encrypted bits with constant values in order to measure the strength of SE-CAVLC and SE-



Fig. 13. Key sensitivity test for encrypted frame #1 of *Foreman* video sequence for QP value 18. Encrypted frames are decrypted and decoded with (a) original key, (b) 1-bit different key (SE-CAVLC), and (c) 1-bit different key (SE-CABAC).

#### TABLE XI

KEY SENSITIVITY TEST OF SE-CAVLC AND SE-CABAC ENCRYPTED VIDEO FOR FRAME #1 Foreman VIDEO SEQUENCE FOR QP VALUE 18

	PSNR (Y)	PSNR (U)	PSNR (V)
	(dB)	(dB)	(dB)
Original key	44.60	45.73	47.35
SE-CAVLC	10.39	24.46	14.02
(1-bit different key)			
SE-CABAC	8.31	25.13	24.82
(1-bit different key)			

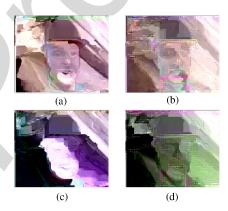


Fig. 14. Attack in the selectively encrypted image by removing the encrypted data. (a) SE-CAVLC encrypted image  $\{Y, U, V\} = \{10.01, 26.86, 25.24\}$  dB. (b) SE-CAVLC attacked image  $\{Y, U, V\} = \{8.87, 27.3, 26.3\}$  dB. (c) SE-CABAC encrypted image  $\{Y, U, V\} = \{8.20, 17.95, 24.53\}$  dB. (d) SE-CABAC attacked image  $\{Y, U, V\} = \{7.72, 28.6, 24.6\}$  dB.

CABAC proposed method as described in [27]. Here we have used frame #1 of *Foreman* video sequence with QP value 24. Fig. 14 shows both encrypted and attacked video frames for SE-CAVLC and SE-CABAC. For example, Fig. 14(a) shows SE-CAVLC video frame with PSNR = 10.01 dB for *luma*. If we set the encrypted bits of all NZs to zero, we get the video frame illustrated in Fig. 14(b) with *luma* PSNR = 8.87 dB. Similarly, Fig. 14(c) shows SE-CABAC video frame having PSNR = 8.20 dB while the attacked SE-CABAC video frame has PSNR = 7.72 dB as shown in Fig. 14(d).

### D. Comparative Evaluation

For the sake of comparative evaluation of our scheme, we have compared it with six other recent techniques, which include scrambling [9], NAL unit encryption [14], MB header encryption [16], reversible ROI encryption [5], I frame encryption [2], and multiple Huffman table permutation [36]. These techniques are different from each other in several

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TABLE XII

COMPARISON OF PROPOSED SCHEME WITH OTHER RECENT METHODS

Video SE Scheme	Format Compliant	Robust to Transcoding	Domain	Bitrate Increase	Compression Independent	Encryption Algorithm
Scrambling for privacy protection [9]	Yes	No	Transform	Yes	Yes	Pseudo random sign inversion
NAL unit encryption [14]	No	No	Bitstream	No	No	Stream cipher
MB header data encryption [16]	No	No	Transform	No	No	Stream cipher
Reversible encryption of ROI [5]	Yes	Yes	Pixel	Yes	Yes	Pseudo random pixel permutations
I frame encryption [2]	No	No	Bitstream	No	No	AES
Multiple Huffman tables [36]	No	No	Bitstream	Yes	No	Huffman table permutations
Our scheme	Yes	No	Bitstream <sup>a</sup>	No	No	AES (CFB mode)

<sup>&</sup>lt;sup>a</sup>For SE-CAVLC, bitstream is encrypted, while for SE-CABAC, binstrings are encrypted as explained in Section III-B.

aspects, e.g., working domain (pixel, transform, or bitstream) and encryption algorithm (pseudo random permutation, stream cipher, or AES). The comparison has been made based on several important characteristics of SE systems and is summarized in Table XII. Encryption algorithm used in SE scheme is of vital importance for the security level. AES has the highest security among all the known ciphers and our proposed scheme utilizes AES. Among the recent techniques, AES has been used only in [2] but their SE scheme is very naive and encrypts only I frames.

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SE should not result in increase of bitrate. For example, if a video for 3G wireless connection has bitrate of 384 kb/s, its encrypted version should have the same bitrate. Otherwise, it cannot be played back on 3G connection. Our scheme keeps the bitrate intact. It is in contrast to other schemes which either allow increase in bitrate [5], [9], [36] or use stream cipher for the sake of same bitrate [14], [16], thus compromising on the security of the system.

Format compliance is another important aspect for encrypted video data. Most of the schemes are not format complaint and their encrypted bitstreams cannot be decoded by reference decoder except SE schemes which work in pixel domain [5] and transform domain [9].

Our SE-CABAC scheme is the first format compliant technique which is for arithmetic coding-based entropy coding module, while keeping the bitrate unchanged. Recent encryption techniques for arithmetic coding [11], [13] are not format complaint and require lot of processing power.

To summarize, our proposed schemes (SE-CAVLC and SE-CABAC) meet all the requirements of an integrated compression-encryption system. Our proposed system is fully compliant to H.264/AVC decoder, with no change in bitrate and has the security of AES cipher.

### V. CONCLUSION

In this paper, an efficient SE system has been proposed for H.264/AVC video codec for CAVLC and CABAC. The SE is performed in the entropy coding stage of the H.264/AVC using the AES encryption algorithm in the CFB mode. In this way, the proposed encryption method does not affect the bitrate and the H.264/AVC bitstream compliance. The SE is performed in CAVLC codewords and CABAC binstrings such that they remain a valid codewords/binstrings thereafter having exactly the same length. Experimental analysis has

been presented for I and P frames. The proposed scheme can be used for B frames without any modification, since B frames are also inter-frames but have bidirectional prediction. The proposed method has the advantage of being suitable for streaming over heterogeneous networks because of no change in bitrate. The experiments have shown that we can achieve the desired level of encryption, while maintaining the full bitstream compliance, under a minimal set of computational requirements. The presented security analysis confirmed a sufficient security level for multimedia applications in the context of SE. The proposed system can be extended for ROI-specific video protection [26] for video surveillance and can be applied to medical video transmission [24].

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### **AUTHOR QUERIES**

### AUTHOR PLEASE ANSWER ALL QUERIES

AQ:1= Please provide the expanded form of NZs.

AQ:2= Please provide the expanded form of AC and DC.

AQ:3= Please provide the expanded form of SD.

AQ:4= Please verify the volume no. in Ref. [5].

AQ:5= Please provide the issue no. or month in Ref. [5].

AQ:6= Please provide the technical report no. in Ref. [7].

AQ:7= Please provide the membership year of Puech.

AQ:8= Please verify the sense of the sentence "...he has been in the Area Chair...."

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# Fast Protection of H.264/AVC by Selective Encryption of CAVLC and CABAC for I and P Frames

Zafar Shahid, Marc Chaumont, and William Puech, Member, IEEE

Abstract—This paper presents a novel method for the protection of bitstreams of state-of-the-art video codec H.264/AVC. The problem of selective encryption (SE) is addressed along with the compression in the entropy coding modules. H.264/AVC supports two types of entropy coding modules. Context-adaptive variable length coding (CAVLC) is supported in H.264/AVC baseline profile and context-adaptive binary arithmetic coding (CABAC) is supported in H.264/AVC main profile. SE is performed in both types of entropy coding modules of this video codec. For this purpose, in this paper the encryption step is done simultaneously with the entropy coding CAVLC or CABAC. SE is performed by using the advanced encryption standard (AES) algorithm with the cipher feedback mode on a subset of codewords/binstrings. For CAVLC, SE is performed on equal length codewords from a specific variable length coding table. In case of CABAC, it is done on equal length binstrings. In our scheme, entropy coding module serves the purpose of encryption cipher without affecting the coding efficiency of H.264/AVC by keeping exactly the same bitrate, generating completely compliant bitstream and utilizing negligible computational power. Owing to no escalation in bitrate, our encryption algorithm is better suited for real-time multimedia streaming over heterogeneous networks. It is perfect for playback on handheld devices because of negligible increase in processing power. Nine different benchmark video sequences containing different combinations of motion, texture, and objects are used for experimental evaluation of the proposed algorithm.

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Index Terms—AES algorithm, CABAC, CAVLC, selective encryption, stream cipher, video security.

### I. INTRODUCTION

WITH THE RAPID growth of processing power and network bandwidth, many multimedia applications have emerged in the recent past. As digital data can easily be copied and modified, the concern about its protection and authentication have surfaced. Digital rights management (DRM) has emerged as an important research field to protect the copyrighted multimedia data. DRM systems enforce the rights

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Color versions of one or more of the figures in this paper are available online at http://ieeexplore.ieee.org.

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of the multimedia property owners while ensuring the efficient rightful usage of such property.

Multimedia data requires either full encryption or selective encryption (SE) depending on the application requirements. For example, military and law enforcement applications require full encryption. Nevertheless, there is a large spectrum of applications that demands security on a lower level, as, e.g., that ensured by SE. SE encrypts part of the plaintext and has two main advantages. First, it reduces the computational requirements, since only a part of plaintext is encrypted [6]. Second, encrypted bitstream maintains the essential properties of the original bitstream [3]. SE just prevents abuse of the data. In the context of video, it refers to destroying the commercial value of video to a degree which prevents a pleasant viewing experience.

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SE schemes based on H.264/AVC have been already presented on context-adaptive variable length coding (CAVLC) [29] and context-adaptive binary arithmetic coding (CABAC) [30]. These two previous methods fulfill real-time constraints by keeping the same bitrate and by generating completely compliant bitstream. In this paper, we have enhanced the previous proposed approaches by encryption of more syntax elements for CAVLC and extending it for P frames. Here, we have also used advanced encryption standard (AES) [7] in the cipher feedback (CFB) mode which is a stream cipher algorithm. Security of the proposed schemes has also been analyzed in detail.

The rest of this paper is organized as follows. In Section II, overview of H.264/AVC and AES algorithm is presented. We explain the whole system architecture of the proposed methods in Section III. Section IV contains experimental evaluation and security analysis. In Section V, we present the concluding remarks about the proposed schemes.

## II. DESCRIPTION OF THE H.264/AVC-BASED VIDEO ENCRYPTION SYSTEM

### A. Overview of H.264/AVC

H.264/AVC (also known as MPEG4 Part 10) [1] is state-of-the-art video coding standard of ITU-T and ISO/IEC. H.264/AVC has some additional features and outperforms previous video coding standards including MPEG2 and MPEG4 Part II [35]. We review the basic working of CAVLC in Section II-A1 and of CABAC in Section II-A2.

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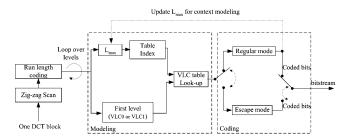


Fig. 1. Block diagram of level coding in CAVLC of H.264/AVC.

1) CAVLC: In CAVLC, run-length coding is performed first as it encodes levels and runs separately. CAVLC is designed to exploit the characteristics of NZs and works in several steps.

To adapt to the local statistical features of discrete cosine transform (DCT) coefficients, CAVLC uses seven fixed variable length coding (VLC) tables. For example, "2" will be coded as "010" using VLC1 table, while it will be coded as "1010" using VLC3 table. If magnitude of NZ lies within the range of that VLC table, it is coded by regular mode, otherwise escape mode is used. Adaptive nature is introduced by changing the table for the next NZ based on the magnitude of the current NZ as shown in Fig. 1. For the first NZ, VLC0 table is used unless there are more than ten NZs and less than three trailing ones, in which case it is coded with VLC1 table.

2) CABAC: CABAC is designed to better exploit the characteristics of NZs as compared to CAVLC, consumes more processing, and offers about 10% better compression than CAVLC on average [22]. Run-length coding has been replaced by significant map coding which specifies the position of NZs in the 4 × 4 block. Binary arithmetic coding (BAC) module of CABAC uses many context models to encode NZs and context model for a specific NZ depends on recently coded NZs.

CABAC consists of multiple stages as shown in Fig. 2(a). First of all, binarization is done in which non-binary syntax elements are converted to binary form called binstrings which are more amenable to compression by BAC. Binary representation for a non-binary syntax element is done in such a way that it is close to minimum redundancy code. In CABAC, there are four basic code trees for binarization step, namely, the *unary* code, the *truncated unary* code, the *kth order Exp-Golomb* code (EGk), and the *fixed length* code as shown in Fig. 2(b).

For an unsigned integer value  $x \ge 0$ , the unary code consists of x 1s plus a terminating 0 bit. The truncated unary code is only defined for x with  $0 \le x \le s$ . For x < s, the code is given by the unary code, whereas for x = s the terminating "0" bit is neglected. EGk is constructed by a concatenation of a prefix and a suffix parts and is suitable for binarization of syntax elements that represent prediction residuals. For a given unsigned integer value x > 0, the prefix part of the EGk binstring consists of a unary code corresponding to the length  $l(x) = \left[log_2(\frac{x}{2k} + 1)\right]$ . The EGk suffix part is computed as the binary representation of  $x + 2^k(1 - 2^{l(x)})$  using k + l(x) significant bits. Consequently for EGk binarization, the code length is 2l(x) + k + 1. When k = 0, 2l(x) + k + 1 = 2l(x) + 1.

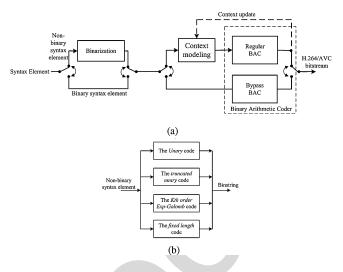


Fig. 2. (a) Block diagram of CABAC of H.264/AVC. (b) Binarization stage.

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The fixed length code is applied to syntax elements with a nearly uniform distribution or to syntax elements, for which each bit in the fixed length code binstring represents a specific coding decision, e.g., coded block flag. Three syntax elements are binarized by concatenation of the basic code trees, namely, coded block pattern, NZ, and the motion vector difference (MVD). Binarization of absolute level of NZs is done by concatenation of truncated unary code and EG0. The truncated unary code constitutes the prefix part with cutoff value S = 14. Binarization and subsequent arithmetic coding process is applied to the syntax element coeff abs value minus1 = abs level - 1, since quantized transformed coefficients with zero magnitude are encoded using significant map. For MVD, binstring is constructed by concatenation of the truncated unary code and EG3. The truncated unary constitutes the prefix part with cutoff value S = 9. Suffix part of MVDs contains EG3 of |MVD| - 9 for |MVD| > 9 and sign bit.

### B. AES Encryption Algorithm

The AES algorithm consists of a set of processing steps repeated for a number of iterations called rounds [7]. The number of rounds depends on the size of the key and the size of the data block. The number of rounds is nine, e.g., if both the block and the key are 128 bits long. Given a sequence  $\{X_1, X_2, ..., X_n\}$  of bit plaintext blocks, each  $X_i$  is encrypted with the same secret key k producing the ciphertext blocks  $\{Y_1, Y_2, ..., Y_n\}$ . To encipher a data block  $X_i$  in AES, you first perform an AddRoundKey step by XORing a subkey with the block. The incoming data and the key are added together in the first AddRoundKey step. Afterward, it follows the round operation. Each regular round operation involves four steps which are SubBytes, ShiftRows, MixColumns, and AddRoundKey. Before producing the final ciphered data  $Y_i$ , the AES performs an extra final routine that is composed of SubBytes, ShiftRows, and AddRoundKey steps.

The AES algorithm can support several cipher modes: electronic code book (ECB), cipher block chaining, output feedback (OFB), CFB, and counter (CTR) [31]. The ECB mode is actually the basic AES algorithm. In CFB mode, as

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Fig. 3. CFB stream cipher. (a) Encryption. (b) Decryption.

shown in Fig. 3, the keystream element  $Z_i$  is generated and the ciphertext block  $Y_i$  is produced as follows:

$$\begin{cases}
Z_i = E_k(Y_{i-1}), & \text{for } i \ge 1 \\
Y_i = X_i \oplus Z_i
\end{cases}$$
(1)

where  $\oplus$  is the XOR operator.

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Although AES is a block cipher, in the OFB, CFB, and CTR modes it operates as a stream cipher.

### C. SE of Image and Video

SE is a technique aiming to save computational time or to enable new system functionalities by only encrypting a portion of a compressed bitstream while still achieving adequate security [18]. SE as well as partial encryption (PE) are applied only on certain parts of the bitstream. In the decoding stage, both the encrypted and the non-encrypted information should be appropriately identified and displayed [6], [21], [26]. The copyright protection of the multimedia content is a required feature for DRM systems. The technical challenges posed by such systems are high and previous approaches have not entirely succeeded in tackling them [17].

In [32], Tang proposed a technique called zigzag permutation applicable to DCT-based image and video codecs. On one hand, this method provides a certain level of confidentiality, while on the other hand, it increases the overall bitrate. For image, several SE techniques have been proposed in the literature. In [8], Droogenbroeck and Benedett proposed a technique for encryption of JPEG images. It encrypts a selected number of AC coefficients. The DC coefficients are not ciphered since they carry important visual information and they are highly predictable. In spite of the constancy in the bitrate while preserving the bitstream compliance, the compression and the encryption process are separated and consequently the computational complexity is increased.

The AES [7] has been used for SE of image and video in the literature. The AES was applied on the Haar discrete wavelet transform compressed images in [23]. The encryption of color images in the wavelet transform has been addressed in [21]. In this approach, the encryption is performed on the resulting wavelet code bits. In [25], SE was performed on color JPEG images by selectively encrypting only *luma* component using AES cipher. The protection rights of individuals and the privacy of certain moving objects in the context of security surveillance systems using viewer generated masking and the AES encryption standard has been addressed in [37].

Combining PE and image/video compression using the set partitioning in hierarchical trees was used in [6]. Nevertheless, this approach requires a significant computational complexity. A method that does not require significant processing time and which operates directly on the bit planes of the image was proposed in [19]. The robustness of partially encrypted videos to attacks which exploit the information from non-encrypted bits together with the availability of side information was studied in [27]. Fisch *et al.* [10] proposed a scalable encryption method for a DCT-coded visual data wherein the data are organized in a scalable bitstream form. These bitstreams are constructed with the DC and some AC coefficients of each block which are then arranged in layers according to their visual importance, and PE process is applied over these layers.

For video, there are several SE techniques for different video codecs presented in the literature. SE of MPEG4 video standard was studied in [34] wherein data encryption standard was used to encrypt fixed length and variable length codes. In this approach, the encrypted bitstream is completely compliant with MPEG4 bitstream format but it increases the bitrate. A tradeoff has to be made among complexity, security, and the bit overhead. In [38], SE of MPEG4 video standard is proposed by doing frequency domain selective scrambling, DCT block shuffling, and rotation. This scheme is very easy to perform but its limitation is its bitrate overhead. SE of region of interest (ROI) of MPEG4 video has been presented in [9]. It performs SE by pseudo randomly inverting sign of DCT coefficients in ROI. SE of H.264/AVC has been studied in [15] wherein encryption has been carried out in some fields like intra-prediction mode, residual data, inter-prediction mode, and motion vectors. A scheme for commutative encryption and watermarking of H.264/AVC is presented in [16]. Here, SE of some macroblock (MB) header fields is combined with watermarking of magnitude of DCT coefficients. This scheme presents a watermarking solution in encrypted domain without exposing video content. The limitation of techniques proposed in [15] and [16] is that they are not format compliant. Encryption for H.264/AVC has been discussed in [5] wherein they do permutations of the pixels of MBs which are in ROI. The drawback of this scheme is that bitrate increases as the size of the ROI increases. This is due to change in the statistics of ROI as it is no more a slow varying region which is the basic assumption for video signals. SE of H.264/AVC at network abstraction layer (NAL) has been proposed in [14]. Important NAL units, namely, instantaneous decoding refresh picture, sequence parameter set, and picture parameter set are encrypted with a stream cipher. The limitation of this scheme is that it is not format compliant and cannot be parsed even at frame level. SE of H.264/AVC using AES has been proposed in [2]. In this scheme, encryption of I frame is performed, since P and B frame are not significant without I frames. This scheme is not format compliant.

The use of general entropy coder as encryption cipher using statistical models has been studied in the literature in [36]. It encrypts by using different Huffman tables for different input symbols. The tables, as well as the order in which they are used, are kept secret. This technique is vulnerable to known plaintext attacks as explained in [12]. Key-based interval splitting of arithmetic coding (KSAC) has used an approach [13] wherein intervals are partitioned in each iteration of arithmetic coding. Secret key is used to decide

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how the interval will be partitioned. Number of subintervals in which an interval is divided should be kept small as it increases the bitrate of bitstream. Randomized arithmetic coding [11] is aimed at arithmetic coding but instead of partitioning of intervals like in KSAC, secret key is used to scramble the order of intervals. The limitation of these entropy coding-based techniques is that encrypted bitstream is not format compliant. Moreover, these techniques require lot of processing power.

In the context of DRM systems, our paper addresses the simultaneous SE and compression for state-of-the-art H.264/AVC. The encrypted bitstream is format compliant with absolutely no escalation in bitrate. Furthermore, it does not require lot of processing power for encryption and decryption. In Section III, we describe our proposed approaches to apply SE and H.264/AVC compression in video sequences, simultaneously.

### III. PROPOSED SE SCHEMES

Our approach consists of SE during the entropy coding stage of H.264/AVC. In baseline profile, SE is performed in CAVLC entropy coding stage (SE-CAVLC). While in main profile, it is performed in CABAC entropy coding stage (SE-CABAC). In SE of video, encrypted bitstream compliance is a required feature for some direct operations such as displaying, time seeking, and browsing. Encrypted bitstream will be compliant and fulfills real-time constraints if the following three conditions are fulfilled.

- To keep the bitrate of encrypted bitstream same as the original bitstream, encrypted codewords/binstrings must have the same size as the original codewords/binstrings.
- 2) The encrypted codewords/binstrings must be valid so that they may be decoded by entropy decoder.
- 3) The decoded value of syntax element from encrypted codewords/binstrings must stay in the valid range for that syntax element. Any syntax element which is used for prediction of neighboring MBs should not be encrypted. Otherwise, the drift in the value of syntax element will keep on increasing and after a few iterations, value of syntax element will fall outside the valid range and bitstream will be no more decodable.

In each MB, header information is encoded first, which is followed by the encoding of MB data. To keep the bitstream compliant, we cannot encrypt MB header, since it is used for prediction of future MBs. MB data contains NZs and can be encrypted. A MB is further divided into 16 blocks of  $4 \times 4$  pixels to be processed by integer transform module. The coded block pattern is a syntax element used to indicate which 8 × 8 blocks within a MB contain NZs. The macroblock mode (MBmode) is used to indicate whether a MB is *skipped* or not. If MB is not skipped, then MBmode indicates the prediction method for a specific MB. For a  $4 \times 4$  block inside MB, if coded block pattern and MBmode are set, it indicates that this block is encoded. Inside  $4 \times 4$  block, coded block flag is the syntax element used to indicate whether it contains NZs or not. It is encoded first. If it is zero, no further data is transmitted; otherwise, it is followed by encoding of significant map in case of CABAC. Finally, the absolute value of each NZ and

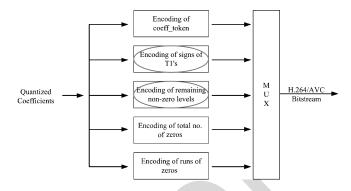


Fig. 4. Block diagram of CAVLC of H.264/AVC. Encircled syntax elements are used for SE-CAVLC.

its sign are encoded. Similar to MB header, header of  $4 \times 4$  block which includes coded block flag and significant map, should not be encrypted for the sake of bitstream compliance. Available encryption space (ES) which fulfills the abovementioned conditions for SE-CAVLC and SE-CABAC is presented in Sections III-A and III-B, respectively. Encryption and decryption of the protected bitstream are presented in Sections III-C and III-D, respectively.

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In CAVLC, five syntax elements are used to code levels and runs as shown in Fig. 4. NZs are coded by three syntax elements, namely, coeff\_token, signs of trailing ones, and remaining nonzero levels. Zeros are coded by two syntax elements, namely, total number of zeros and runs of zeros. A single syntax element, namely, coeff\_token is used to code total NZs and number of trailing ones. It is followed by coding of signs of trailing ones (T1s). Remaining NZs are then coded using seven VLC look-up tables either by regular mode or by escape mode as explained in Section II-A1. They are mapped to some code from a specific VLC look-up table.

To keep the bitstream compliant, we cannot encrypt coeff token, total number of zeros, and runs of zeros. Two syntax elements fulfill the above-mentioned conditions for encryptions. First is signs of trailing ones. Second is sign and magnitude of remaining NZs, both in regular and escape mode. For the sake of same bitrate, ES of SE-CAVLC consists of only those NZs whose VLC codewords have the same length. CAVLC uses multiple VLC tables with some threshold for incrementing the table as given in (2). Since the threshold for a specific table is highest possible value possible with that codeword length (this is the case when all the suffix bits of the codeword are 1), magnitude of encrypted NZ is such that VLC table transition is not affected. VLC codes, having same code length, constitute the ES. For VLC n table, ES is  $2^n$  as given in (3). For table VLCO, every NZ has different codeword length, consequently we cannot encrypt the NZs in this table as follows:

$$TH[0...6] = (0, 2, 3, 6, 12, 24, 48, \infty).$$
 (2)

$$ES[0...6] = (1, 2, 4, 8, 16, 32, 64, \infty).$$
 (3)

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Fig. 5. SE of binstrings in SE-CABAC.

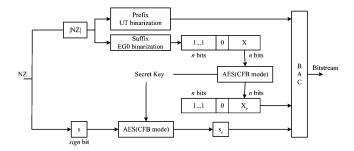


Fig. 6. Encryption process for NZs and their signs in CABAC of H.264/AVC.

### B. ES for SE-CABAC

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The main difference between SE-CAVLC and SE-CABAC is that in SE-CABAC, SE is not performed on CABAC bitstream. Rather it is performed on binstrings which are input to BAC as shown in Fig. 5. Among all the four binarization techniques, the unary and truncated unary codes have different code lengths for each input value as explained in Section II-A2. They do not fulfill the first condition and their encryption will change the bitrate of bitstream. Suffix of EGk and the fixed length code can be encrypted while keeping the bitrate unchanged. EGk is used for binarization of absolute value of levels and MVDs. Number of MVD binstrings have the same length and hence, first and second conditions are fulfilled. But owing to the fact that MVDs are part of MB header and are used for prediction of future motion vectors, their encryption does not fulfill third condition and their encryption makes the bitstream non-compliant. To conclude, the syntax elements which fulfill the criteria for encryption of H.264/AVC compliant bitstream are suffix of EG0 and sign bits of levels. Hence, for each NZ with |NZ| > 14, encryption is performed on l(x) of EG0. It is followed by encryption of syntax element coeff sign flag which represents sign of levels of all nonzero levels. The fixed length code is used for binarization of syntax elements which belong to MB header and cannot be encrypted.

To keep the bitrate intact, ES for SE-CABAC consists of only those NZs whose EG0 binstrings have the same length as shown in Fig. 6. EG0 codes, having same code length, constitute the ES and it depends upon ||NZ||. The ES is  $2^{log_2(n+1)}$  where n is the maximum possible value by suffix bits of EG0, i.e., when all the bits in suffix are 1.

### C. SE of NZs in the Entropy Coding Stage of H.264/AVC

Let us consider  $Y_i = X_i \oplus E_k(Y_{i-1})$  as the notation for the encryption of a n bit block  $X_i$ , using the secret key k with the AES cipher in CFB mode as given by (1), and performed as described in the scheme from Fig. 3. We have chosen to use this mode in order to keep the original compression rate. Indeed, with the CFB mode for each block, the size of the encrypted data  $Y_i$  can be exactly the same one as

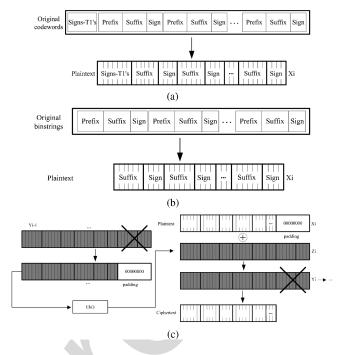


Fig. 7. (a) CAVLC plaintext. (b) CABAC plaintext. (c) Proposed SE scheme.

the size of the plaintext  $X_i$ . In this mode, the code from the previously encrypted block is used to encrypt the current one as shown in Fig. 3. The three stages of the proposed algorithm are the construction of the plaintext  $X_i$ , described in Section III-C1, the encryption of  $X_i$  to create  $Y_i$  which is provided in Section III-C2, and the substitution of the original codeword/binstring with the encrypted information, which is explained in Section III-C3. The overview of the proposed SE method is provided in Fig. 7.

- 1) Construction of Plaintext: As slices are independent coding units, SE should be performed on them independently. In case of SE-CAVLC, the plaintext is created by copying the encrypt-able bits from CAVLC bitstream to the vector  $X_i$ until either  $X_i$  is completely filled or slice-boundary comes as shown in Fig. 7(a). Let C, the length of the vector  $X_i$ , is 128. In case of SE-CABAC, we perform SE before BAC as shown in Fig. 7(b). In that case, we transform the non-binary syntax elements to binstrings through process of binarization and at the same time we fill the  $X_i$  with encrypted bits until either the vector  $X_i$  is completely filled or the slice boundary comes. The binarization of many syntax elements at the same time also makes the CABAC coding faster and increases its throughput [39]. Let  $L(X_i)$  be the length up to which vector  $X_i$  is filled. In case of slice boundary, if  $L(X_i) < C$ , we apply a padding function p(j) = 0, where  $j \in \{L(X_i) + 1, ..., C\}$ , to fill in the vector  $X_i$  with zeros up to C bits. Historically, padding was used to increase the security of the encryption, but in here it is used for rather technical reasons [28].
- 2) Encryption of the Plaintext with AES in the CFB Mode: In the encryption step with AES in the CFB mode, the previous encrypted block  $Y_{i-1}$  is used as the input of the AES algorithm in order to create  $Z_i$ . Then, the current plaintext  $X_i$  is XORed with  $Z_i$  in order to generate the encrypted text  $Y_i$  as given by (1). For the initialization, the initialization vector (IV)

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is created from the secret key k according to the following strategy. The secret key k is used as the seed of the pseudo random number generator (PRNG). First, the secret key k is divided into 8 bits (byte) sequences. The PRNG produces a random number for each byte component of the key that defines the order of IV formation. Then, we substitute  $Y_0$  with the IV, and  $Y_0$  is used in AES to produce  $Z_1$ . As illustrated in Fig. 7(c), with the CFB mode of the AES algorithm, the generation of the keystream  $Z_i$  depends on the previous encrypted block  $Y_{i-1}$ . Consequently, if two plaintexts are identical  $X_i = X_j$  in the CFB mode, then always the two corresponding encrypted blocks are different,  $Y_i \neq Y_j$ .

3) Substitution of the Original Bitstream: The third step is the substitution of the original  $Y_i$  by the encrypted  $Y_i$ . For SE-CAVLC, CAVLC bitstream is accessed in sequential order as in the first step (construction of the plaintext  $X_i$ ). Given the length in bits of each amplitude  $(S_n, S_{n-1}, \ldots, S_1)$ , we start substituting the original bits in the bitstream by the corresponding parts of  $Y_i$  as shown in Fig. 7. For SE-CABAC, binstrings are accessed in sequential order and we start substituting the original bits in them by the corresponding parts of  $Y_i$  as shown in Fig. 7. In case of slice boundaries, the total quantity of replaced bits is  $L(X_i)$  and consequently we do not necessarily use all the bits of  $Y_i$ .

### D. Decryption Process

The decryption process in the CFB mode works as follows. The previous block  $Y_{i-1}$  is used as the input to the AES algorithm in order to generate  $Z_i$ . By knowing the secret key k, we apply the same function  $E_k(\cdot)$  as that used in the encryption stage. The difference is that the input of this process is now the ciphered vector. In case of SE-CAVLC, the ciphered vector is accessed in the sequential way in order to construct the plaintext  $Y_{i-1}$  which is then used in the AES to generate the keystream  $Z_i$ . The keystream  $Z_i$  is then XORed with the current block  $Y_i$  to generate  $X_i$ , as shown in Fig. 3(b). For SE-CAVLC, the resulting plaintext vector is split into segments in order to substitute the signs of trailing ones and suffixes  $(S_n, S_{n-1}, ..., S_1)$  in the ciphered bitstream and to generate the original CAVLC bitstream. Afterward, we apply the entropy decoding and retrieve the quantized DCT coefficients. After the inverse quantization and the inverse DCT we get the decrypted and decoded video frame. In case of SE-CABAC, the difference is that binary arithmetic decoder is used to transform the SE-CABAC bitstream to encrypted binstrings which are then accessed to make the plaintext  $Y_{i-1}$ . The plaintext is decrypted and substituted back to generate original binstrings. They are then passed through inverse binarization, inverse quantization, and inverse DCT steps to get the decrypted and decoded video frame.

### IV. EXPERIMENTAL RESULTS

In this section, we analyze the results for SE-CAVLC and SE-CABAC. We have used the reference implementation of H.264 JSVM 10.2 in AVC mode for video sequences in quarter common intermediate format (QCIF) and SD resolution. For the experimental results, nine benchmark video sequences

have been used for the analysis in QCIF format. Each of them represents different combinations of motion (fast/slow, pan/zoom/rotation), color (bright/dull), contrast (high/low), and objects (vehicle, buildings, people). The video sequences Bus, City, and Foreman contain camera motion while Football and Soccer contain camera panning and zooming along with object motion and texture in background. The video sequences Harbour and Ice contain high luminance images with smooth motion. Mobile sequence contains a complex still background and foreground motion.

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In Section IV-A, we present an analysis of joint SE and H.264/AVC compression while in Section IV-B, we compare PSNR and quality when applying SE only on I frames and on I+P frames. In Section IV-C, security analysis, showing the efficiency of the proposed method, is developed.

### A. Analysis of Joint SE and H.264/AVC Compression

We have applied simultaneously our SE and H.264/AVC compression as described in Section III, on all the benchmark video sequences. SE-CAVLC and SE-CABAC impart some characteristics to the bitstream. In spatial domain, SE video gets flat regions and change in pixel values mostly occur on MB boundaries. In temporal domain, *luma* and *chroma* values rise up to maximum limit and then come back to minimum values. This cycle keeps on repeating. Owing to this phenomenon, the pixel values change drastically in temporal domain. Lot of transitions are observed in values of color and brightness.

In a first set of experiments, we have analyzed the available ES in H.264/AVC bitstreams for both of SE-CAVLC and SE-CABAC. ES is defined as percentage of total bitstream size. MBs that contain many details and texture will have lot of NZs and, consequently, will be strongly encrypted. On the contrary, the homogeneous MBs, i.e., blocks that contain series of identical pixels, are less ciphered because they contain a lot of null coefficients which are represented by runs in CAVLC and by significant map in CABAC. In Table I, we provide ES for SE-CAVLC and SE-CABAC for different benchmark video sequences for quantization parameter (QP) value 18. While in Table II, ES for various QP values is shown for Foreman video sequence. Here the average number of bits available for SE per MB are also provided. One can note that ES is inversely proportional to QP value. When QP value is higher and implicitly the video compression is higher, we are able to encrypt fewer bits in the compressed frame. This is due to the fact that H.264/AVC has lesser number of NZs at higher QP values. From both these tables, it is evident that more ES is available for SE-CAVLC as compared to SE-CABAC. But ES is more affected by change in QP values for SE-CAVLC as compared to SE-CABAC. For example, for Foreman video sequence, ES varies from 28.55% to 6.70% for SE-CAVLC when QP varies from 12 to 42. For the same QP range, the change in ES for SE-CABAC is from 19.97% to 9.46% as shown in Table II. From Tables I and II, since PSNR of original H.264/AVC are very similar for both CAVLC and CABAC, in the rest of this section for the sake of comparison, we list only PSNR of CAVLC bitstreams.

TABLE I
ANALYSIS OF ES FOR SE FOR DIFFERENT BENCHMARK VIDEO
SEQUENCES AT QP VALUE 18

	SE-C	AVLC	SE-CA	ABAC
Sequence	PSNR	ES	PSNR	ES
_	(dB)	(%)	(dB)	(%)
Bus	44.25	31.05	44.24	19.93
City	44.29	26.41	44.27	19.79
Crew	44.82	20.66	44.81	18.97
Football	44.61	25.33	44.59	19.45
Foreman	44.38	22.76	44.36	18.72
Harbor	44.10	30.49	44.09	20.01
Ice	46.47	24.64	46.46	17.72
Mobile	44.44	36.17	44.43	19.80
Soccer	44.27	23.42	44.21	19.94

TABLE II  $\label{eq:Analysis} \text{Analysis of ES for SE Over Whole Range of QP Values for } Foreman \text{ Video Sequence}$ 

	SE-C	AVLC	SE-CABAC		
QP	PSNR	ES	PSNR	ES	
	(dB)	(%)	(dB)	(%)	
12	50.07	28.55	50.05	19.97	
18	44.38	22.76	44.36	18.72	
24	39.43	17.13	39.42	17.61	
30	35.08	13.24	35.08	15.65	
36	31.04	9.88	31.06	12.22	
42	27.23	6.70	27.35	9.46	

TABLE III

ANALYSIS OF INCREASE IN PROCESSING POWER FOR SE-CAVLC AND
SE-CABAC AT QP VALUE 18

		SE-CAVLC				SE-CABAC			
	Enc	Encoder Decoder		Enc	oder	Decoder			
Sequence	I	I+P	I	I+P	I	I+P	I	I+P	
	(%)	(%)	(%)	(%)	(%)	(%)	(%)	(%)	
Bus	0.69	0.31	3.77	2.7	0.57	0.25	3.37	2.3	
City	0.5	0.26	3.36	2.4	0.44	0.23	3.06	2.1	
Crew	0.31	0.15	2.52	1.5	0.29	0.14	2.22	1.2	
Football	0.41	0.23	3.46	2.4	0.31	0.18	3.26	2.2	
Foreman	0.47	0.23	3.19	2.2	0.41	0.20	2.99	2.0	
Harbor	0.55	0.30	3.65	2.7	0.47	0.26	3.25	2.3	
Ice	0.41	0.21	3.16	2.1	0.33	0.17	2.96	1.9	
Mobile	0.76	0.35	4.33	3.3	0.72	0.33	4.03	3.0	
Soccer	0.44	0.21	3.17	2.2	0.38	0.18	2.87	1.9	

Table III gives a detailed overview of the required processing power for I and I+P video sequences at QP value 18. *Intra period* has been set 10 for I+P video sequences. One can observe that increase in computation time for encoder is less than 0.4% for both of SE-CAVLC and SE-CABAC while it is below than 3% for decoder for I+P sequence.

Fig. 8(a) and (b) shows the framewise analysis of increase in processing power for SE-CABAC at QP value 18 for *Foreman*. For experimentation, 2.1 GHz Intel Core 2 Duo T8100 machine with 3072 MB random access memory has been used. For I+P sequence encoding of 100 frames with *intra period* 10, it took 4372.5 s and 4381.3 s for CABAC and SE-CABAC, respectively. While it took 2.005 s and 2.045 s for CABAC and SE-CABAC decoding. It is a negligible increase in processing power and can be managed well even by handheld devices. It is important to note that increase in processing power of SE-

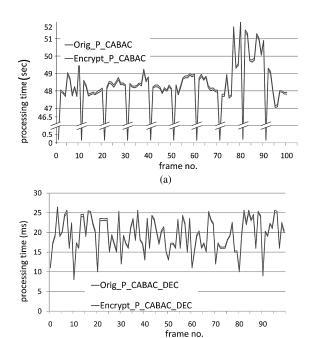


Fig. 8. Framewise time taken by SE-CABAC of *Foreman* video sequence for I+P frames at QP value 18 with *intra period* 10 during (a) encoding and (b) decoding.

(b)

CABAC is less than SE-CAVLC owing to two reasons. First, ES of SE-CABAC is lesser than that of SE-CAVLC as shown in Tables I and II. Second, CABAC takes lot more processing power than CAVLC. So increase in processing power because of encryption will be lower in terms of percentage. Thus, SE-CAVLC and SE-CABAC is possible in real-time along with compression.

## B. PSNR and Quality of SE-CAVLC and SE-CABAC for I Frames and I+P Frames

Peak signal to noise ratio (PSNR) is widely used objective video quality metric. However, it does not perfectly correlate with a perceived visual quality due to nonlinear behavior of human visual system. Structural similarity index (SSIM) [33] takes into account the structural distortion measurement, since human vision system is highly specialized in extracting structural information from the viewing field. SSIM has a better correlation to the subjective impression. SSIM ranges from -1 to 1. SSIM is 1 when both the images are the same. To present the visual protection of encrypted video sequences, PSNR and SSIM of I and I+P frames are presented.

1) I Frames: To demonstrate the efficiency of our proposed scheme, we have compressed 100 I frames of each sequence at 30 f/s. Figs. 9 and 10 show the encrypted first frame of Foreman video sequence at different QP values for SE-CAVLC and SE-CABAC, respectively. In H.264/AVC, blocks on the top array are predicted only from left while blocks on left are always predicted from top. Owing to this prediction, a band having width of 8 pixels at top of video frames can be observed for both of SE-CAVLC and SE-CABAC while this band has width of 4 pixels on left of video frames as shown in Figs. 9 and 10. The average PSNR values of Foreman is

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Fig. 9. Decoding of SE-CAVLC frame #1 of *Foreman* sequence with QP value equal to (a) 18, (b) 30, and (c) 42.







Fig. 10. Decoding of SE-CABAC frame #1 of *Foreman* sequence with QP value equal to (a) 18, (b) 30, and (c) 42.

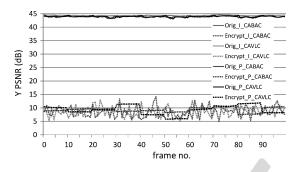


Fig. 11. Framewise PSNR of I and I+P frames for Foreman for SE-CAVLC and SE-CABAC at QP value 18.

given in Table IV over whole QP range. It is also compared with the PSNR obtained for the same video sequence without encryption. In Table IV, we present PSNR of original video only for CAVLC. PSNR for CABAC is very much similar as presented in Table I. One can note that whatever is the QP value, the quality of the encrypted video remains in the same lower range.

Table V compares the average PSNR of 100 I frames of all benchmark video sequences at QP value 18 without encryption and with SE. Average PSNR value of luma for all the sequences at QP value 18 is 9.49 dB for SE-CAVLC and 9.80 dB for SE-CABAC. It confirms that this algorithm works well for various combinations of motion, texture, and objects for I frames. It is also evident in framewise PSNR of luma of I frames of Foreman video sequence as shown in Fig. 11. Table VI contains the experimental results of SE of 100 I frames for SD resolution. Here, average PSNR value of luma is 9.82 dB for SE-CAVLC and 9.83 dB for SE-CABAC, which is almost the same as that of QCIF resolution. It is evident that this algorithm is capable to encrypt high-quality information at all resolutions. For the rest of the section, we present analysis for QCIF resolution only, since more benchmark video sequences are available in this resolution.

Table VII shows the SSIM values of *luma* of benchmark video sequences without encryption and with SE. Results

TABLE IV  $PSNR \ Comparison \ for \ I \ Frames \ Without \ Encryption \ and \ with \ SE$   $for \ \textit{Foreman} \ \ \text{At Different QP Values}$ 

	PSN	R (Y)	(dB)	PSNR (U) (dB)			PSNR (V) (dB)		
QP	ORIG	SE	SE	ORIG	SE	SE	ORIG	SE	SE
		CAVLC	CABAC		CAVLC	CABAC		CAVLC	CABAC
12	50.1	8.6	8.4	50.0	19.8	24.1	50.8	9.6	22.6
18	44.4	8.7	8.6	45.7	24.1	24.4	47.6	10.2	22.1
24	39.4	8.7	8.7	41.9	26.4	24.4	44.2	24.9	22.8
30	35.1	9.4	8.7	39.8	27.4	24.6	41.4	25.4	23.6
36	31.0	9.4	8.5	37.7	28.1	24.9	38.6	24.8	23.2
42	27.2	9.4	8.7	36.2	25.5	24.9	36.9	24.6	24.0

TABLE V
PSNR COMPARISON FOR I FRAMES WITHOUT ENCRYPTION AND WITH SE
AT QP VALUE 18

	PSNR (Y	(dB)	PSNR (U	) (dB)	PSNR (V)	(dB)
Sequence	ORIG SE	SE	ORIG SE	SE	ORIG SE	SE
	CAVLC	CABAC	CAVLC	CABAC	CAVLC	CABAC
Bus	44.2 7.9	8.2	45.2 26.8	25.0	46.6 26.6	27.2
City	44.3 10.9	11.2	45.8 31.9	30.3	46.8 33.5	31.8
Crew	44.8 9.0	9.9	45.8 24.0	23.4	45.7 19.7	19.8
Football	44.6 11.5	11.5	45.8 14.9	14.4	46.0 24.3	23.6
Foreman	44.4 8.7	8.6	45.7 24.1	24.4	47.6 10.2	22.1
Harbor	44.1 9.2	9.5	45.6 27.1	24.6	46.7 33.2	31.3
Ice	46.5 10.6	10.4	48.8 24.3	25.6	49.3 16.9	20.4
Mobile	44.4 8.3	8.3	44.1 10.4	13.1	44.1 9.6	11.0
Soccer	44.3 9.3	10.6	46.6 22.1	19.7	47.9 28.2	24.4
Average	44.6 9.5	9.8	46.0 22.8	22.3	46.7 22.5	23.5

TABLE VI
PSNR COMPARISON FOR I FRAMES WITHOUT ENCRYPTION AND WITH SE
AT QP VALUE 18 (SD RESOLUTION)

	C		IR (Y)	` /		VR (U)	` /		VR (V)	` /
	Sequence	OKIC	ı SE	SE	ORIC	r SE	SE	ORIC	J SE	SE
			CAVLC	CABAC		CAVLC	CABAC		CAVLC	CABAC
J	City	44.6	9.9	10.1	47.8	27.3	26.2	49.1	31.4	29.9
İ	Crew	45.2	9.1	9.1	46.6	24.5	22.8	47.7	20.1	20.0
1	Harbor	44.5	9.4	9.4	47.5	22.9	22.9	48.7	28.8	26.8
İ	Ice	46.2	10.7	10.4	51.5	27.8	27.8	52.0	25.0	26.0
	Soccer	45.1	10.0	10.2	47.7	18.4	18.0	49.2	26.7	24.1
	Average	45.1	9.8	9.8	48.2	24.2	23.5	49.4	26.4	25.4

verify the proposed scheme has distorted the structural information present in the original video. Average SSIM value of video sequences without encryption is 0.993, while it is 0.164 and 0.180 for SE-CAVLC and SE-CABAC, respectively. Fig. 12 shows the framewise SSIM of *luma* of *Foreman* video sequence for I frames. It is important to note SSIM value of complex video sequences is less than that of simple video sequences.

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2) *I+P Frames:* Video data normally consists of an I frame and a trail of P frames. I frames are inserted periodically to restrict the drift because of lossy compression and rounding errors. In these experiments, *intra period* is set at 10 in a sequence of 100 frames. Results shown in Table VIII verify the effectiveness of our scheme over the whole range of QP values for *Foreman* video sequence. Table IX verifies the performance of our algorithm for all video sequences for I+P frames at QP value 18. Average PSNR of *luma* for all the sequences is 9.75 dB and 10.02 dB for SE-CAVLC and SE-CABAC,

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TABLE VII SSIM COMPARISON OF luma OF I FRAMES WITHOUT ENCRYPTION AND WITH SE AT QP VALUE 18

Sequence	CAVLC	SE-CAVLC	CABAC	SE-CABAC
Bus	0.995	0.069	0.994	0.064
City	0.994	0.115	0.994	0.093
Crew	0.991	0.184	0.991	0.153
Football	0.991	0.219	0.991	0.184
Foreman	0.990	0.198	0.990	0.165
Harbor	0.998	0.047	0.998	0.038
Ice	0.990	0.419	0.990	0.398
Mobile	0.998	0.040	0.998	0.356
Soccer	0.988	0.185	0.988	0.171
Average	0.993	0.164	0.993	0.180

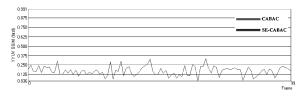


Fig. 12. Framewise SSIM of I frames for Foreman for SE-CABAC at QP value 18

TABLE VIII

PSNR COMPARISON FOR I+P FRAMES WITHOUT ENCRYPTION AND WITH

SE FOR Foreman AT DIFFERENT QP VALUES

	PSNR (Y)	(dB)	PSNR (U)	(dB)	PSNR (V)	(dB)
Sequence	ORIG SE	SE	ORIG SE	SE	ORIG SE	SE
	CAVLC	CABAC	CAVLC	CABAC	CAVLC	CABAC
12	49.6 8.7	8.1	49.9 18.4	23.0	50.6 10.4	21.6
18	43.9 9.1	10.4	45.5 23.6	23.9	47.6 8.0	23.2
24	38.9 9.6	9.7	42.0 26.9	24.9	44.3 25.8	25.0
30	34.6 9.2	9.2	39.8 28.6	24.9	41.5 26.6	24.0
36	30.7 10.1	8.2	37.9 28.4	24.3	38.8 22.8	23.3
42	27.0 9.4	8.6	36.3 26.5	26.8	36.9 25.6	24.6

respectively. Fig. 11 shows the framewise PSNR of *luma* of *Foreman* video sequence for I+P. Here, PSNR of SE-CAVLC and SE-CABAC remains almost the same for sequence of P frames and changes at every I frame, thus producing a staircase graph. SSIM quality metric has very low values and is not given here for the sake of brevity.

### C. Security Analysis

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1) Analysis of Entropy and Local Standard Deviation: The security of the encrypted image can be measured by considering the variations (local or global) in the protected image. Entropy is a statistical measure of randomness or disorder of a system which is mostly used to characterize the texture in the input images. Considering this, the information content of image can be measured with the entropy H(X) and local standard deviation  $\sigma(j)$ . If an image has  $2^k$  gray levels  $\alpha_i$  with  $0 \le i \le 2^k$  and the probability of gray level  $\alpha_i$  is  $P(\alpha_i)$ , and without considering the correlation of gray levels, the first order entropy H(X) is defined as follows:

$$H(X) = -\sum_{i=0}^{2^{k}-1} P(\alpha_i) log_2(P(\alpha_i)).$$
 (4)

TABLE IX  $\label{table encryption} \mbox{Comparison of PSNR Without Encryption and with SE for I+P } \\ \mbox{Frames at QP Value } 18$ 

	PSNR (Y) (dB)		PSNR (U) (	dB)	PSNR (V) (dB)		
Sequence	ORIG SE	SE	ORIG SE	SE	ORIG SE	SE	
	CAVLC	CABAC	CAVLC	CABAC	CAVLC	CABAC	
Bus	43.7 7.6	7.7	45.1 27.2	25.4	46.4 24.7	27.0	
City	43.8 11.4	11.1	45.7 32.5	30.2	46.8 32.5	31.7	
Crew	44.5 9.0	10.0	45.8 25.1	22.0	45.7 19.6	20.2	
Football	44.2 12.1	11.3	45.7 14.3	14.6	46.1 24.8	24.3	
Foreman	43.9 9.1	10.4	45.5 23.6	23.9	47.6 8.0	23.2	
Harbor	43.7 9.5	9.8	45.4 24.5	22.9	46.6 33.9	31.7	
Ice	46.1 10.9	10.4	48.6 23.6	25.3	49.1 19.2	19.7	
Mobile	43.8 8.4	8.8	44.2 10.1	12.5	44.1 9.6	11.8	
Soccer	43.6 9.6	10.6	46.5 21.8	20.8	47.8 27.4	22.2	
Average	44.2 9.75	10.0	45.8 22.5	21.9	46.7 22.2	23.5	

If the probability of each gray level in the image is  $P(\alpha_i) = \frac{1}{2^k}$ , then the encryption of such image is robust against statistical attacks of first order, and thus  $H(X) = log_2(2^k) = k$  bits/pixel. In the image, the information redundancy r is defined as follows:

$$r = k - H(X). (5)$$

Similarly, the local standard deviation  $\sigma(j)$  for each pixel  $\underline{p(j)}$  taking account of its neighbors to calculate the local mean  $\overline{p(j)}$ , is given as follows:

$$\sigma(j) = \sqrt{\frac{1}{m} \sum_{i=1}^{m} (p(i) - \overline{p(j)})}$$
 (6)

where m is the size of the pixel block to calculate the local mean and standard deviation, and  $0 \le j < M$ , if M is the image size. In case of full encryption, entropy H(X) is maximized with high values of local standard deviation. But in case of SE-CAVLC and SE-CABAC, the video frame is transformed to flat regions with blocking artifacts as depicted in Figs. 9 and 10. It is generally owing to variation in pixel values at MB boundaries. For all the benchmark sequences, the average information redundancy r for SE-CAVLC and SE-CABAC sequences is 0.94 and 0.55, respectively, while it is 1.11 for all the original sequences. Despite the fact that SE-CAVLC and SE-CABAC transform the video frames into flat region, the entropy of the encrypted video sequences from (4) is higher as compared to the original sequences. These flat regions are because of two reasons. First, flat regions are due to the fact that prediction is performed from edge pixels of neighboring MBs. Second, pixels have either very high value (bright video frame) or very low value (dark video frame) in SE video frame. This is owing to the fact that during reconstruction pixel value are clipped to 255 if they are greater than it and to 0 if they are below this lower range. So if many pixels have value beyond the upper or lower range, all of them will be clipped to the same value, thus creating a flat region which is either dark or bright. Based on this analysis, the statistical characteristics of SE-CAVLC and SE-CABAC bitstreams vary from full encryption systems.

From (6), we also analyzed the local standard deviation  $\sigma$  for each pixel while taking into account its neighbors.

	(	CAVLC	CABAC		
QP	ORIG	SE-CAVLC	ORIG	SE-CABAC	
12	6.75	71.49	7.02	69.69	
18	7.21	73.23	7.53	59.97	
24	8.57	91.98	8.63	84.55	
30	6.35	35.99	6.71	57.87	
36	6.90	47.42	6.93	68.04	
42	7.91	75.26	8.11	71.17	

In Table X, the mean local standard deviation for *Foreman* sequence at different QP values is given. For all benchmark video sequences, the mean local standard deviation of *luma* equals to 69.15 and 61.48 for the SE-CAVLC and SE-CABAC bitstreams, respectively, where the mean local standard deviation is less than ten gray levels for the original benchmark sequences. One can note that local standard deviation of encrypted sequences is higher than original sequences.

2) Correlation of Adjacent Pixels: Visual data is highly correlated, i.e., pixels values are highly probable to repeat in horizontal, vertical, and diagonal directions. A correlation of a pixel with its neighboring pixel is then given by a tuple  $(x_i, y_i)$  where  $y_i$  is the adjacent pixel of  $x_i$ . Since there is always three directions in images, i.e., horizontal, vertical, and diagonal, so we can define correlation direction between any two adjacent pixels as follows:

$$corr_{(x,y)} = \frac{1}{n-1} \sum_{i=0}^{n} \left( \frac{x_i - \overline{x_i}}{\sigma_x} \right) \left( \frac{y_i - \overline{y_i}}{\sigma_y} \right) \tag{7}$$

where *n* represents the total number of tuples  $(x_i, y_i)$ ,  $\overline{x_i}$  and  $\overline{y_i}$  represent the local mean, and  $\sigma_x$  and  $\sigma_y$  represent the local standard deviation, respectively.

Owing to the flat regions in SE-CAVLC and SE-CABAC video sequences, the correlation values in these sequences will be higher as compared to original image which contain texture and edges. For all the benchmark sequences, the average horizontal correlation coefficient is 0.88 and 0.87 for the SE-CAVLC and SE-CABAC, respectively, while it is 0.80 for the original sequences.

- 3) Key Sensitivity Test: Robustness against cryptanalyst can be improved if the cryptosystem is highly sensitive toward the key. The more the visual data is sensitive toward the key, the more we would have data randomness. For this purpose, a key sensitivity test is assumed where we pick one key and then apply the proposed technique for encryption and then make a 1 bit change in the key and decode the bitstream. Numerical results show that the proposed technique is highly sensitive toward the key change, i.e., a different version of encrypted video sequence is produced when the keys are changed, as shown in Fig. 13. PSNR of *luma* of decrypted frames with 1-bit different key is 10.39 dB and 8.31 dB for SE-CAVLC and SE-CABAC as shown in Table XI. It lies in the same lower range as decoded frames without decryption.
- 4) Removal of Encrypted Data Attack: In another experiment, we have replaced the encrypted bits with constant values in order to measure the strength of SE-CAVLC and SE-

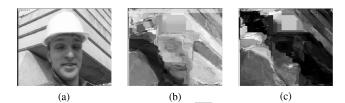


Fig. 13. Key sensitivity test for encrypted frame #1 of *Foreman* video sequence for QP value 18. Encrypted frames are decrypted and decoded with (a) original key, (b) 1-bit different key (SE-CAVLC), and (c) 1-bit different key (SE-CABAC).

#### TABLE XI

KEY SENSITIVITY TEST OF SE-CAVLC AND SE-CABAC ENCRYPTED VIDEO FOR FRAME #1 Foreman VIDEO SEQUENCE FOR QP VALUE 18

	PSNR (Y)	PSNR (U)	PSNR (V)
	(dB)	(dB)	(dB)
Original key	44.60	45.73	47.35
SE-CAVLC	10.39	24.46	14.02
(1-bit different key)			
SE-CABAC	8.31	25.13	24.82
(1-bit different key)			

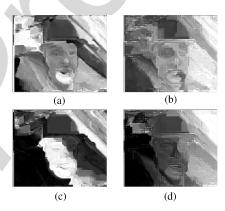


Fig. 14. Attack in the selectively encrypted image by removing the encrypted data. (a) SE-CAVLC encrypted image  $\{Y, U, V\} = \{10.01, 26.86, 25.24\}$  dB. (b) SE-CAVLC attacked image  $\{Y, U, V\} = \{8.87, 27.3, 26.3\}$  dB. (c) SE-CABAC encrypted image  $\{Y, U, V\} = \{8.20, 17.95, 24.53\}$  dB. (d) SE-CABAC attacked image  $\{Y, U, V\} = \{7.72, 28.6, 24.6\}$  dB.

CABAC proposed method as described in [27]. Here we have used frame #1 of *Foreman* video sequence with QP value 24. Fig. 14 shows both encrypted and attacked video frames for SE-CAVLC and SE-CABAC. For example, Fig. 14(a) shows SE-CAVLC video frame with PSNR = 10.01 dB for *luma*. If we set the encrypted bits of all NZs to zero, we get the video frame illustrated in Fig. 14(b) with *luma* PSNR = 8.87 dB. Similarly, Fig. 14(c) shows SE-CABAC video frame having PSNR = 8.20 dB while the attacked SE-CABAC video frame has PSNR = 7.72 dB as shown in Fig. 14(d).

### D. Comparative Evaluation

For the sake of comparative evaluation of our scheme, we have compared it with six other recent techniques, which include scrambling [9], NAL unit encryption [14], MB header encryption [16], reversible ROI encryption [5], I frame encryption [2], and multiple Huffman table permutation [36]. These techniques are different from each other in several

TABLE XII

COMPARISON OF PROPOSED SCHEME WITH OTHER RECENT METHODS

Video SE Scheme	Format Compliant	Robust to Transcoding	Domain	Bitrate Increase	Compression Independent	Encryption Algorithm
Scrambling for privacy protection [9]	Yes	No	Transform	Yes	Yes	Pseudo random sign inversion
NAL unit encryption [14]	No	No	Bitstream	No	No	Stream cipher
MB header data encryption [16]	No	No	Transform	No	No	Stream cipher
Reversible encryption of ROI [5]	Yes	Yes	Pixel	Yes	Yes	Pseudo random pixel permutations
I frame encryption [2]	No	No	Bitstream	No	No	AES
Multiple Huffman tables [36]	No	No	Bitstream	Yes	No	Huffman table permutations
Our scheme	Yes	No	Bitstream <sup>a</sup>	No	No	AES (CFB mode)

<sup>&</sup>lt;sup>a</sup>For SE-CAVLC, bitstream is encrypted, while for SE-CABAC, binstrings are encrypted as explained in Section III-B.

aspects, e.g., working domain (pixel, transform, or bitstream) and encryption algorithm (pseudo random permutation, stream cipher, or AES). The comparison has been made based on several important characteristics of SE systems and is summarized in Table XII. Encryption algorithm used in SE scheme is of vital importance for the security level. AES has the highest security among all the known ciphers and our proposed scheme utilizes AES. Among the recent techniques, AES has been used only in [2] but their SE scheme is very naive and encrypts only I frames.

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SE should not result in increase of bitrate. For example, if a video for 3G wireless connection has bitrate of 384 kb/s, its encrypted version should have the same bitrate. Otherwise, it cannot be played back on 3G connection. Our scheme keeps the bitrate intact. It is in contrast to other schemes which either allow increase in bitrate [5], [9], [36] or use stream cipher for the sake of same bitrate [14], [16], thus compromising on the security of the system.

Format compliance is another important aspect for encrypted video data. Most of the schemes are not format complaint and their encrypted bitstreams cannot be decoded by reference decoder except SE schemes which work in pixel domain [5] and transform domain [9].

Our SE-CABAC scheme is the first format compliant technique which is for arithmetic coding-based entropy coding module, while keeping the bitrate unchanged. Recent encryption techniques for arithmetic coding [11], [13] are not format complaint and require lot of processing power.

To summarize, our proposed schemes (SE-CAVLC and SE-CABAC) meet all the requirements of an integrated compression-encryption system. Our proposed system is fully compliant to H.264/AVC decoder, with no change in bitrate and has the security of AES cipher.

### V. CONCLUSION

In this paper, an efficient SE system has been proposed for H.264/AVC video codec for CAVLC and CABAC. The SE is performed in the entropy coding stage of the H.264/AVC using the AES encryption algorithm in the CFB mode. In this way, the proposed encryption method does not affect the bitrate and the H.264/AVC bitstream compliance. The SE is performed in CAVLC codewords and CABAC binstrings such that they remain a valid codewords/binstrings thereafter having exactly the same length. Experimental analysis has

been presented for I and P frames. The proposed scheme can be used for B frames without any modification, since B frames are also inter-frames but have bidirectional prediction. The proposed method has the advantage of being suitable for streaming over heterogeneous networks because of no change in bitrate. The experiments have shown that we can achieve the desired level of encryption, while maintaining the full bitstream compliance, under a minimal set of computational requirements. The presented security analysis confirmed a sufficient security level for multimedia applications in the context of SE. The proposed system can be extended for ROI-specific video protection [26] for video surveillance and can be applied to medical video transmission [24].

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