On the complexity of solving bivariate systems: the case of non-singular solutions
Romain Lebreton, Eric Schost, Esmaeil Mehrabi

To cite this version:

HAL Id: lirmm-00814992
https://hal-lirmm.ccsd.cnrs.fr/lirmm-00814992
Submitted on 18 Apr 2013

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L’archive ouverte pluridisciplinaire HAL, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d’enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.
On the complexity of solving bivariate systems: the case of non-singular solutions

Romain Lebreton
LIRMM, UMR 5506 CNRS
Université de Montpellier II
Montpellier, France
lebreton@lirmm.fr

Esmaeil Mehrabi
Computer Science Dept.
Western University
London, ON, Canada
emehrab@uwo.ca

Éric Schost
Computer Science Dept.
Western University
London, ON, Canada
eschost@uwo.ca

ABSTRACT
We give an algorithm for solving bivariate polynomial systems over either \( k(T)[X, Y] \) or \( \mathbb{Q}[X, Y] \) using a combination of lifting and modular composition techniques.

1. INTRODUCTION AND MAIN RESULTS

In this paper, we investigate the complexity of solving bivariate polynomial systems. This question is interesting in its own right, but it also plays an important role as a central subroutine in many higher-level algorithms, such as computing the topology of plane and space curves [13, 8] or solving general polynomial systems [17].

Many recent contributions on this question discuss the case of computing real solutions of bivariate systems with integer or rational coefficients [15, 12, 27, 4, 14], by a combination of symbolic elimination and real root isolation techniques. Our interest here is on complexity of the "symbolic" component of such algorithms. In a nutshell, one of our main results says that we can solve bivariate systems with integer coefficients in essentially optimal time, provided we consider only non-singular solutions.

Geometric description. Let \( A \) be a domain, let \( \mathbb{K} \) be its field of fractions and let \( \mathbb{K}^2 \) be an algebraic closure of \( \mathbb{K} \).

Let \( X, Y \) be the coordinates in \( \mathbb{K}^2 \) and let \( Z \subset \mathbb{K}^2 \) be a finite set defined over \( \mathbb{K} \) and of cardinality \( \delta \) (the former condition means that the defining ideal \( I \) of \( Z \) in \( \mathbb{K}[X, Y] \) is generated by polynomials in \( \mathbb{K}[X, Y] \)). To describe \( Z \), one may use a Gröbner basis of its defining ideal, say for the lexicographic order \( X > Y \). Such lexicographic bases can however be unwieldy; for instance, except when \( Z \) has strong geometric properties, they may involve a large number of polynomials, making modular computations difficult.

Triangular decompositions offer an alternative to Gröbner bases for which this issue can be alleviated. Geometrically, performing a triangular decomposition of the defining ideal of \( Z \) amounts to writing \( Z \) as the disjoint union of finitely many equiprojectable sets.

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. To copy otherwise, to republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee. Copyright 20XX ACM X-XXXXXX-XX-X/XX ...$10.00.

To define this notion, let \( \pi : \mathbb{K}^2 \rightarrow \mathbb{K} \) be the projection on the \( X \)-space given by \( (x, y) \rightarrow x \). To \( p = (x, y) \) in \( Z \), we associate the positive integer \( N(Z, p) \) defined as the cardinality of the fiber \( \pi^{-1}(x) \cap Z \); this is the number of points in \( Z \) lying above \( x \). We say that \( Z \) is equiprojectable if there exists a positive integer \( n \) such that \( N(Z, p) = n \) for all \( p \in Z \) (see the introduction of [10] for illustrations).

It is proved in [3] that \( Z \) is equiprojectable if and only if its defining ideal \( I \) admits a Gröbner basis for the lexicographic order \( Y > X \) that is a monic triangular set, i.e. of the form

\[
\begin{array}{c|c}
T_1 & V_1(X, Y) \\
\vdots & \vdots \\
T_s & V_s(X, Y) \\
\end{array}
\begin{array}{c|c}
U_1(X) & \\
\vdots & \\
U_s(X) & \\
\end{array}
\]

with \( U \) and \( V \) monic in respectively \( X \) and \( Y \) and having coefficients in \( \mathbb{K} \) (that result holds over a perfect field, so it applies over \( \mathbb{K} \); the fact that \( I \) has generators in \( \mathbb{K}[X, Y] \) implies that \( T \) has coefficients in \( \mathbb{K} \)). The degree \( m = \deg(U, X) + \deg(V, Y) \) is the cardinality of \( \pi(Z) \), and the equalities \( n = \deg(V, Y) + \delta = m \) hold; we will say that \( T \) has bidegree \( (m, n) \).

When \( Z \) is not equiprojectable, it can be decomposed into equiprojectable sets, usually in a non-unique manner. The equiprojectable decomposition [10] is a canonical way to do so: it decomposes \( Z \) into subsets \( Z_1, \ldots, Z_n \), where for all \( i \in \{1, \ldots, s\} \), \( Z_i \) is the set of all \( p \in Z \) for which \( N(Z, p) = n_i \). This decomposition is implicit in the Cerlienco-Murreddu description of the lexicographic Gröbner basis of the defining ideal of \( Z \) [7]; it can also be derived from Lazard’s structure theorem for bivariate Gröbner bases [19].

If \( Z \) is defined over \( \mathbb{K} \), then all \( Z_i \) are defined over \( \mathbb{K} \) as well, so they can be represented by monic triangular sets

\[
\begin{array}{c|c}
T_1 & V_1(X, Y) \\
\vdots & \vdots \\
T_s & V_s(X, Y) \\
\end{array}
\begin{array}{c|c}
U_1(X) & \\
\vdots & \\
U_s(X) & \\
\end{array}
\] (1)

with coefficients in \( \mathbb{K} \). If we let \( m_i = |\pi(Z_{i})| \), then \( T_i \) has bidegree \( (m_i, n_i) \) for all \( i \), and \( \sum_{i=1}^{s} m_i n_i = \delta \).

By abuse of notation, we will call the family of monic triangular sets \( \mathcal{I} = (T_1, \ldots, T_s) \) the equiprojectable decomposition of \( Z \). If \( I \) is a radical ideal of \( \mathbb{K}[X, Y] \) that remains radical in \( \mathbb{K}[X, Y] \), its zero-set \( Z \) is defined over \( \mathbb{K} \); then, we define the equiprojectable decomposition of \( I \) as that of \( Z \).

Solving systems. Let now \( F \) and \( G \) be in \( \mathbb{K}[X, Y] \). In this paper, we are interested in the set \( Z(F, G) \) of non-singular solutions of the system \( F = G = 0 \), that is, the points \( (x, y) \) in \( \mathbb{K}^2 \) such that \( F(x, y) = G(x, y) = 0 \) and \( J(x, y) \neq 0 \), where \( J \) is the Jacobian determinant of \( (F, G) \). Remark that \( Z(F, G) \) is a finite set, defined over \( \mathbb{K} \); if \( F \) and \( G \) have total degree at most \( d \), then \( Z(F, G) \) has cardinality \( \delta \leq d^2 \).
For instance, for generic $F$ and $G$, $Z(F,G)$ coincides with their whole zero-set $V(F,G)$, it is equiprojectable ($s = 1$) and the corresponding triangular set $T = T_1$ takes the form

$$
\begin{aligned}
T & = \{ (X, Y) \mid V(X, Y) = Y - \eta(X) \} \\
& \cup \{ X \mid U(X) \}
\end{aligned}
$$

$U$ is (up to a constant in $K$) the resultant of $F$ and $G$ in $Y$.

Given $F$ and $G$, our goal will be, up to a minor adjustment, to compute the triangular sets $T = (T_1, \ldots, T_s)$ that define the equiprojectable decomposition of $Z(F,G)$.

Representing these polynomials requires $O(d^3)$ elements of $K$. We will show below that one can compute them using $O(d^3)$ operations in $K$, where $O(\cdot)$ indicates the omission of logarithmic factors. This is not a surprising result; notice the analogy with resultant computation, for which we can compute $\mathcal{R} = \text{res}(F,G,Y)$ in time $O(d^2)$ as well using Reischert’s algorithm [25] over $k[X]$, or through evaluation-interpolation. It is a major open problem to compute $\mathcal{R}$ in time $O(d)$, just like it is an open problem to compute the resultant of $F$ and $G$ in such a cost [31, Problem 11.10].

**Size considerations.** In this paper, we are mainly interested in a refinement of this situation to cases where $k$ is endowed with a “length” function; in such cases, the cost analysis must take this length into account. Rather than giving an axiomatic treatment, we will assume that we are in one of the following situations:

- $k = k[T]$ and $K = k(T)$, for a field $k$, where we use the length function $\lambda(a) = \deg(a)$, for $a \in k \setminus \{0\}$;
- $k = \mathbb{Q}$ and $K = \mathbb{Q}$, where we use the length function $\lambda(a) = \log(|a|)$, for $a \in k \setminus \{0\}$.

In both cases, the length of $a \in k$ represents the amount of storage needed to represent it, in terms of elements of $k$, resp. bits. It will be useful to introduce a notion of length for polynomials with coefficients in $k$. If $P$ is such a polynomial, $\lambda(P)$ denotes the maximum of the lengths $\lambda(n_i)$ and $\lambda(d_i)$, where $n_i$ and $d_i$ are the numerators and denominators of the coefficients of $P$, when written in reduced form.

When $k = k[T]$, we are studying the intersection of two surfaces in a $3$-dimensional space with coordinates $T, X, Y$; the output describes the solution curve for generic $T$.

In that case, write again $d = \max(\deg(F), \deg(G))$, as well as $\ell = \max(\lambda(F), \lambda(G))$. Then, the polynomials $U_1, \ldots, U_s$ in the equiprojectable decomposition (1) of $Z(F,G)$ are in $k[T][X]$, and the sum of their degrees in $X$ is at most $d^2$. These polynomials are all factors of the resultant $\text{res}(F,G,Y)$, which implies that $\lambda(U_i)$ is at most $2d\ell$ for each $i$, so that representing them involves $O(d^2\ell)$ coefficients in $k$.

For the polynomials $V_1, \ldots, V_s$, however, the bounds are worse: [11] proves that $\lambda(V_i)$ only admits a weaker bound of order $d^2\ell + d^3$, so they involve $O(d^3\ell + d^4)$ coefficients in $k$.

Practice shows that these bounds are realistic: the polynomials $V_i$ are usually much larger than the polynomials $U_i$.

In order to resolve this issue, we will use the polynomials $N_1, \ldots, N_s$ defined by $N_i = U_i^\epsilon V_i \mod U_i$ for all $i$. Then, Theorem 2 from [11] combined with the bi-homogeneous Bézout bound shows that $\lambda(N_i) \leq 2d\ell + 3d^2\ell$ for all $i$; thus, storing these polynomials uses $O(d^3\ell + d^4)$ coefficients in $k$.

Entirely similar considerations apply in the case $k = \mathbb{Q}$; in that case, Theorem 1 from [11] and an arithmetic Bézout theorem [18] prove that $\lambda(U_i) \leq 2d\ell + 24d^2\ell$, and similarly for $\lambda(V_i)$, so $O(d^3\ell + d^4)$ bits are sufficient to store them.

We call modified equiprojectable decomposition of $Z(F,G)$ the set of polynomials $\Psi = (C_1, \ldots, C_s)$, with $C_i = (U_i, N_i)$. These are not monic triangular sets anymore ($N_i$ is not monic in $Y$), but regular chains [2]. In the particular case where $s = 1$ and $V = V_1$ has the form $V(X, Y) = Y - \eta(X)$, it coincides with the rational univariate representation [26].

**Main results.** Our main results are the following theorems, that give upper bounds on the cost of computing the modified equiprojectable decomposition. We start with the case $k = k[T]$, where we count operations in $k$ at unit cost. Our second result concerns the case $k = \mathbb{Q}$; in this case, we measure the cost of our algorithm using bit operations.

In what follows, we let $M : N \rightarrow N$ be such that over any ring, univariate polynomials of degree less than $d$ can be multiplied in $M(d)$ ring operations, under the super-linearity conditions of [31, Ch. 8]; using FFT techniques, we can take $M(d) \in O(d \log(d) \log \log(d))$. We also let $\omega$ be such that we can multiply $n \times n$ matrices using $O(n^\omega)$ ring operations, over any ring. The best known bound is $\omega < 2.38$ [30].

**Theorem 1.** Let $k$ be a field and let $F, G$ be in $k[T][X,Y]$, with $d = \max(\deg(F), \deg(G))$ and $\ell = \max(\lambda(F), \lambda(G))$. If $\lambda$ has characteristic less than $4d^4(2d^3 + 6d^4)$, one can compute the modified equiprojectable decomposition of $Z(F,G)$ over $k(T)[X,Y]$ by a probabilistic algorithm using

$$
O \left( M(d^3) \right) M(d^4 + d^6(2d^3)^{1/2} \log(d)) \subset O \left( d^{6\ell} + d^{6\ell} \right)
$$

operations in $k$, with probability of success at least $1/2$.

**Theorem 2.** Let $\varepsilon > 0$, let $F, G$ be in $\mathbb{Z}[X,Y]$, and write $d = \max(\deg(F), \deg(G))$ and $\ell = \max(\lambda(F), \lambda(G))$. One can compute the modified equiprojectable decomposition of $Z(F,G)$ over $\mathbb{Q}[X,Y]$ by a probabilistic algorithm using

$$
O \left( (d^3 F^\epsilon + d^3) \right)
$$

bit operations, with probability of success at least $1/2$.

In both cases, one can easily obtain a cost of $O(d^3 \ell + d^4)$ using modular methods: for example, over $k = k[T]$, we can solve the system at $O(d \ell + d^2)$ values of $T$, each of which costs $O(d^3)$ operations in $k$, and use rational function interpolation. Our main contribution is to show that this direct approach is sub-optimal; over $k = \mathbb{Q}$, our algorithm is essentially optimal, as its cost almost matches the known upper bounds on the output size.

The structure of our algorithm is the same in both cases: we compute $Z(F,G)$ modulo an ideal $m$ of $k$, lift the result modulo a high power of $m$ and reconstruct all rational function coefficients. This approach is similar to the algorithm of [10]; the key difference is in how we implement the lifting process. The result in [10] assumes that the input system is given by means of a straight-line program; here, without this assumption, we rely on fast modular composition techniques.

Our results imply similar bounds for computing the resultant $R = \text{res}(F,G,Y)$, at least for systems without singular roots: one can reconstruct $R$ from $U_1, \ldots, U_s$, taking care if needed of the leading coefficients of $F$ and $G$ in $Y$; we leave the details to the reader. For computing this resultant, or the equiprojectable decomposition, the main challenge is to handle systems with multiplicities without affecting the complexity. We expect that deflation techniques will make this possible, and leave this to future work.
This paper is organized as follows. After a section of preliminaries, we first give (Section 3) an algorithm to compute \( Z(F,G) \) over an arbitrary field in time \( O(n^2) \). Section 4 is devoted to computing normal forms modulo triangular sets by means of modular composition techniques; this is the key ingredient of the main algorithm given in Section 5. Section 6 reports on preliminary experimental results.

2. PRELIMINARIES

2.1 Notation and basic results

In the introduction, we defined monic triangular sets with coefficients in a field. We will actually allow coefficients in a ring \( A \); as in the introduction, all monic triangular sets will be bivariate, that is, in \( A[X,Y] \).

For positive integers \( m,n \), \( A[X]_m \) denotes the set of all \( F \in A[X] \) such that \( \deg(F) < m \), and \( A[X,Y]_{m,n} \) the set of all \( F \in A[X,Y] \) such that \( \deg(F,X) < m \) and \( \deg(F,Y) < n \). Using Kronecker’s substitution, we can multiply polynomials in \( A[X,Y]_{m,n} \) in \( O(M(mn)) \) operations in \( A \).

For a monic triangular set \( T \in A[X,Y] \), the monicity assumption makes the notion of remainder modulo the ideal \( \langle T \rangle \) well-defined; if \( T \) has bidegree \( (m,n) \), then for any \( F \in A[X,Y] \), the remainder \( F \mod (T) \) is in \( A[X,Y]_{m,n} \). In terms of complexity, we have the following result about computations with such a triangular set (see [22, 21]).

**Lemma 1.** Let \( T \) be a monic triangular set in \( A[X,Y] \) of bidegree \((m,n)\). Then, given \( F \in A[X,Y]_{m’,n’} \), with \( m \leq m’ \) and \( n \leq n’ \), one can compute \( F \mod (T) \) in \( O(M(m’n’)) \) operations in \( A \). Besides, adds, irres., multiplications modulo \( (T) \) can be done in respectively \( O(mn) \) and \( O(M(mn)) \) operations in \( A \).

We continue with a result on polynomial matrix multiplication. The proof is the same as that of [5, Lemma 8], up to replacing univariate polynomials by bivariate ones.

**Lemma 2.** Let \( m,n,k \) be positive integers and let \( M_1,M_2 \) be matrices of sizes \((a \times b)\) and \((b \times c)\), with entries in \( A[X,Y]_{m,n} \). If \( a = O(\ell^{1/2}) \), \( b = O(\ell^{1/2})\), and \( c = O(\ell) \), one can compute \( M = M_1M_2 \) using \( O(M(mn)^{\ell(\ell+1)/2}) \) operations in \( A \).

2.2 Chinese Remainder techniques

Let \( \mathcal{T} = (T_1,\ldots,T_s) \) be a family of monic triangular sets in \( A[X,Y] \), where \( A \) is a ring. In such situations, we denote \( \langle T \rangle = \langle T_1 \rangle \cap \cdots \cap \langle T_s \rangle \); if \( A \) is a field, we write \( V(\mathcal{T}) = V(T_1) \cup \cdots \cup V(T_s) \), where \( V(T) \) denotes the zero-set of \( T \) over the algebraic closure of \( A \).

Following [10], we say that \( \mathcal{T} \) is non-critical if for \( i \in \{1,\ldots,s\} \), \( F_i = U_1 \cdots U_{i-1}U_{i+1}\cdots U_s \) is invertible modulo \( U_i \); if \( A \) is a field, this simply means that \( U_1,\ldots,U_s \) are pairwise coprime. The family \( \mathcal{T} \) is a non-critical decomposition of an ideal \( I \) if \( \mathcal{T} \) is non-critical and \( \langle \mathcal{T} \rangle = I \).

Let \( \mathcal{T} = (T_1,\ldots,T_s) \) be a non-critical family of triangular sets, with \( T_i = (U_i(X),V_i(X,Y)) \) of bidegree \((m_i,n_i)\), and suppose that there exists \( n \) such that \( n_i = n \) for all \( i \); let also \( m = m_1 + \cdots + m_s \). Under these conditions, the following lemma shows how to merge \( \mathcal{T} \) into a single monic triangular set \( T \) of bidegree \((m,n)\).

**Lemma 3.** Given a non-critical family \( \mathcal{T} \) as above, under the assumption \( n_i = n \) for all \( i \), and given the cofactors \( \Theta \), we can compute a monic triangular set \( T \) of bidegree \((m,n)\) such that \( \langle T \rangle = \langle \mathcal{T} \rangle \) using \( o(nM(m\log(m))) \) operations in \( A \).

Proof. For \( i = 1,\ldots,s \), write \( V_i = \sum_{j=0}^{n-1} v_{i,j}Y^j \), with all \( v_{i,j} \in A[X] \). Algorithm 10.22 in [31], where our polynomials \( R_i \) are written \( \mathcal{T} \), allows us to apply the Chinese Remainder Theorem, yielding \( v_{i,j} = v_{i,j} \mod U_i \) for all \( i,j \). Since \( v_{i,j} = 1 \) for all \( i,j \) as well, so we get \( U = U_1 \cdots U_s \). Using Kronecker’s substitution, we can multiply polynomials \( F \mod (T_i) \) using \( O(nM(m\log(m))) \) operations in \( A \).

**Corollary 1.** Let \( K \) be a field and let \( \mathcal{T} = (T_1,\ldots,T_s) \) be a non-critical family of monic triangular sets in \( K[X,Y] \), with \( T_i = (U_i,V_i) \) of bidegree \((m_i,n_i)\) for all \( i \). Suppose that the ideal \( \langle \mathcal{T} \rangle \subseteq K[X,Y] \) is radical. Then one can compute the equiprojective decomposition of the ideal \( \langle \mathcal{T} \rangle \) using \( O(M(\delta)(\log(\delta))) \) operations in \( K \), with \( \delta = \sum_{i=1}^s m_i n_i \).

Proof. Partition \( \mathcal{T} \) in the classes of the equivalence relation where \( (U,V) \equiv (U’,V’) \) if and only if \( \deg(V,Y) = \deg(V’,Y) \). Let \( \mathcal{T}_1,\ldots,\mathcal{T}_t \) be these classes; for \( j \in \{1,\ldots,t\} \), let \( \mu_j = \sum_{(U,V) \in \mathcal{T}_j} \deg(U,X) \) and let \( \nu_j \) be the common value of \( \deg(V,Y) \) for \( (U,V) \in \mathcal{T}_j \); then, \( \sum_{i=1}^t \mu_i \delta = \delta \).

For \( j = 1,\ldots,t \), let \( \mathcal{T}_j \) be the monic triangular set obtained by applying the previous lemma to \( \mathcal{T}_j \). Since \( K \) is a field, the cofactors \( \Theta \), are computed in time \( O(M(\mu_j \log(\mu_j))) \) using \( O(M(\nu_j \log(\nu_j))) \), with all \( j \in \{1,\ldots,t\} \). Summing over all \( j \), the total cost is seen to be \( O(M(\delta)(\log(\delta))) \).

Since \( \langle \mathcal{T} \rangle \) is radical in \( K[X,Y] \), we deduce that for all \( i \in \{1,\ldots,s\} \), the zero set \( Z_i \) of \( T_i \) is equiprojectable, with fibers for the projection \( \pi: K^2 \to K \) all having cardinality \( n_i \). Thus, the triangular sets \( T_1,\ldots,T_t \) form the equiprojective decomposition of \( \langle \mathcal{T} \rangle \).

**2.3 Specialization properties**

Consider a domain \( A \), its fraction field \( K \), and a maximal ideal \( m \subset A \) with residual field \( k = A/m \). Given \( F \) and \( G \) in \( A[X,Y] \), our goal here is to relate the equiprojective decomposition of \( Z(F,G) \) to that of \( Z(F \mod m,G \mod m) \), where the former is defined over \( K \) and the latter over \( k \).

The following results give quantitative estimates for ideals of “good reduction” in the two cases we are interested in, \( A = k[T] \) and \( A = Z \); in both cases, we use the length function \( \lambda \) defined in the introduction. The case \( A = k[T] \), while not treated in [10], is actually the simpler, so we only sketch the proof; for \( A = Z \), we can directly apply [10, Th. 1].

**Lemma 4.** Let \( F,G \) be in \( k[T][X,Y] \) and let \( T_1,\ldots,T_s \subset k[T][X,Y] \) be the equiprojective decompositions of \( Z(F,G) \).
If $d = \max(\deg(F), \deg(G))$ and $t = \max(\lambda(F), \lambda(G))$, then exist $A \in k[T] - \{0\}$ of degree at most $2d(6d^2 + 9d)T$ and with the following property.

If an element $t_0 \in k$ does not cancel $A$, then none of the denominators of the coefficients of $T_1, \ldots, T_s$ vanishes at $T = t_0$ and their evaluation at $T = t_0$ forms the equiprojectable decomposition of $Z(F(t_0, X, Y), G(t_0, X, Y))$.

Proof. The approach of [10, Section 3] still applies in this case, and shows that if an element $t_0 \in k$ satisfies three assumptions (denoted by $H_1$, $H_2$, $H_3$ in [10]), then the specialization property holds. These properties imply the existence of a non-zero $A \in k[T]$ as claimed in the lemma; its degree can be bounded using the results of [28, 11].

**Lemma 5.** Let $F, G$ be in $\mathbb{K}[X, Y]$ and let $T_1, \ldots, T_s \subset \mathbb{K}[X, Y]$ be the equiprojectable decomposition of $Z(F, G)$. If $d = \max(\deg(F), \deg(G))$ and $t = \max(\lambda(F), \lambda(G))$, there exists $A \in \mathbb{K} - \{0\}$, with $\lambda(A) \leq 8d(3t + 10 \log(d) + 22)$ and with the following property.

If a prime $p \in \mathbb{K}$ does not divide $A$, then none of the denominators of the coefficients of $T_1, \ldots, T_s$ vanishes modulo $p$, and their reduction modulo $p$ forms the modified equiprojectable decomposition of $Z(F \mod p, G \mod p)$.

### 3. A DIRECT ALGORITHM

In this section, we work over a field $\mathbb{K}$. We give an algorithm that takes as input $F, G$ in $\mathbb{K}[X, Y]$ and computes the equiprojectable decomposition $T_1, \ldots, T_s$ of $Z(F, G)$.

If $F$ and $G$ have degree at most $d$, the running time is $O(d^3)$, that is, essentially the same as computing res$(F, G)$ (we count all operations in $\mathbb{K}$ at unit cost). This result is by no means surprising (a particular case appears in [20]) and certainly not enough to prove our main theorems. We will only use it as the initialization step of our lifting process.

The rest of this section is devoted to prove this proposition, following a few preliminaries.

**Proposition 1.** Let $F, G$ be in $\mathbb{K}[X, Y]$, of total degree at most $d$. If the characteristic of $\mathbb{K}$ is greater than $2d^2 + d + 1$, one can compute the equiprojectable decomposition of $Z(F, G)$ using $O(M(d)M(d^2) \log(d^3))$ operations in $\mathbb{K}$.

**Regular GCDs and quotients.** Let $R$ be a nonzero, squarefree polynomial in $\mathbb{K}[X, Y]$, and let $F, G$ be in $\mathbb{K}[X, Y]$. A regular GCD of $(F, G)$ modulo $R$ is a non-critical decomposition of the ideal $(R, F, G)$; a regular quotient of $F$ by $G$ modulo $R$ is a non-critical decomposition of the ideal $(R, F, G)$: $\exists \mathcal{F} = (T_1, \ldots, T_s)$ is a regular GCD of $(F, G)$ modulo $R$, with $T_i = (U_i, V_i)$ for all $i$, and if $F$ is monic in $Y$, then $\mathcal{F} = (S_1, \ldots, S_s)$, with $S_i = (U_i, F/V_i, \text{mod } U_i)$ for all $i$, is a regular quotient of $F$ by $G$ modulo $R$.

If $F, G$ have degree at most $d$ in $Y$, and $R, F, G$ have degree at most $m$ in $X$, then using the algorithm of [1], both operations can be done in time $O(M(d)M(m) \log(d) \log(m))$.

**Radical computation.** Regular quotients allow us to compute radicals. Let indeed $\mathcal{T} = (U, V)$ be a monic triangular set of bidegree $(m, n)$ in $\mathbb{K}[X, Y]$, with $U$ squarefree and with $m$ and $n$ greater than the characteristic of $\mathbb{K}$; we prove that $I = (U, V) : \partial V / \partial Y$ is the radical of the ideal $\langle \mathcal{T} \rangle$.

Let $I'$ be the extension of $I$ in $\mathbb{K}[X, Y]$. Over $\mathbb{K}$, the assumption on $m$ ensures that $U$ is still squarefree, so the ideal $\langle U, V \rangle$ is the intersection of primary ideals of the form $p_i = \langle X - x_i, Y - y_i \rangle$, where $(x_i, y_i)_{1 \leq i \leq t}$ are the zeros of $\mathcal{T}$, and $e_i \in \mathbb{N}_{\geq 0}$ is the multiplicity of the factor $Y - y_i$ in $V(x_i, Y)$. Then, $I'$ is the intersection of the ideals $p_i : \partial V / \partial Y$, which we can rewrite as

$$I' = \bigcap_{1 \leq i \leq t} \langle X - x_i, (Y - y_i)^{e_i} \rangle : (e_i(Y - y_i)^{e_i - 1})$$

The assumption on $n$ implies that $e_i \neq 0$ in $\mathbb{K}$, so that $I'$ is the intersection of the maximal ideals $\langle X - x_i, Y - y_i \rangle$; our claim is proved. As a consequence, under the above assumption on $\mathcal{T}$, we can compute a non-critical decomposition of the radical of $\langle \mathcal{T} \rangle$ in time $O(M(m)(m) \log(n) \log(m))$.

After these preliminaries, we can turn to the algorithm.

In what follows, $J$ is the Jacobian determinant of $(F, G)$, $H = \text{gcd}(F, G)$, $F' = F/H$ and $G' = G/H$. The idea is classical: we compute the resultant $R = \text{res}(F', G', Y)$, then a regular GCD of $(F', G')$ modulo $R$; make the result radical and finally we remove all points where $J$ vanishes.

**Step 0.** We compute $H, F', G'$ as defined above. Corollary 11.9 in [31] gives an expected $O(dM(d) \log(d))$ operations for computing $H$; we briefly explain how to make it deterministic, up to an acceptable increase in running time (this is routine; some details are left to the reader).

Choosing $2d^2 + d + 1$ values $x_i$ in $\mathbb{K}$, we compute $H_i = \text{gcd}(F(x_i, Y), G(x_i, Y)), F'_i = F(x_i, Y)/H_i, G'_i = G(x_i, Y)/H_i$. Lucky values of $x_i$ are those where the leading coefficient of $(say) F$ in $Y$ and the resultant of $(F', G')$ in $Y$ are non-zero. We are sure to find at least $d^2 + 1$ of them; these will be those $x_i$’s where $H_i$ has maximal degree. These are enough to reconstruct $H, F'$ and $G'$ by interpolation, hence a total running time of $O(M(d)M(d^2) \log(d))$.

**Step 1.** Compute the (nonzero) resultant $R = \text{res}(F', G', Y)$. Using Reischert’s algorithm [25], this takes time $O(M(d)M(d^2) \log(d))$.

**Step 2.** Replace $R$ by its squarefree part, which takes time $O(M(d^2) \log(d))$. Note that $V(R, F', G') = V(F', G')$.

**Step 3.** Compute a regular GCD $\mathcal{F} = (T_1, \ldots, T_s)$ of $(F', G')$ modulo $R$, in time $O(M(d)M(d^2) \log(d^2))$. Note that $V(\mathcal{F})$ is equal to $V(R, F', G')$, that is, $V(F', G')$.

**Step 4.** For $i = 1, \ldots, s$, writing $T_i = (U_i, V_i)$, compute a regular quotient of $V_i$ by $\partial V_i / \partial Y$ modulo $U_i$.

Letting $(m_i, n_i)$ be the bidegree of $T_i$, the cost for each $i$ is $O(M(d)M(m_i) \log(d) \log(m_i))$. Using the super-linearity of $M$, the total is seen to be $O(M(d)M(d^2) \log(d^2))$.

Let $\mathcal{F} = (S_1, \ldots, S_s)$ be the union of all triangular sets obtained this way, with $S_i = (P_i, Q_i)$. Since $d^2$ is greater than the characteristic of $\mathbb{K}$, this is also the case for all $m_i$ and $n_i$. As a result, by the discussion above, $\mathcal{F}$ is the defining ideal of $V(F', G')$; in particular, it is radical in $\mathbb{K}[X, Y]$.

**Step 5.** For $i = 1, \ldots, t$, compute $J_i = J \mod P_i$, where $J$ is the Jacobian determinant of $(F, G)$. Using fast simultaneous modular reduction, this costs $O(M(d^2) \log(d))$.

**Step 6.** For $i = 1, \ldots, t$, compute a regular quotient of $Q_i$ by $J_i$ modulo $P_i$; again, the cost is $O(M(d)M(d^2) \log(d^2))$. Let $\mathcal{F}'$ be the union of all resulting triangular sets; then, $\mathcal{F}'$ is the defining ideal of $V(F', G') - V(J)$, and one verifies that the latter set is $Z(F, G)$.

**Step 7.** Finally, apply the algorithm of Corollary 1 to $\mathcal{F}'$ to obtain the equiprojectable decomposition of $Z(F, G)$. Since $Z(F, G)$ has size at most $d^2$, the cost is $O(M(d^2) \log(d))$. 

4. NORMAL FORM ALGORITHMS

We consider now the problem of reducing \( F \in \mathbb{A}[X,Y] \) modulo several triangular sets. Our input is as follows:

- \( \mathcal{S} = (T_1, \ldots, T_s) \) is a non-critical family of monic triangular sets in \( \mathbb{A}[X,Y] \), where \( T_i = (U_i, V_i) \) of bidegree \( (m_i, n_i) \) for all \( i \) and \( \mathbb{A} \) is a ring;
- \( \mathcal{R} = (R_1, \ldots, R_r) \) is the family of cofactors associated to \( \mathcal{S} \), as in Subsection 2.2;
- \( F \) is in \( \mathbb{A}[X,Y] \), of total degree less than \( d \).

We make the following assumptions:

\[
\sum_{i=1}^{s} m_i n_i \leq d^2 \quad \text{and} \quad n_i \leq d \quad \text{for all} \quad i.
\]

Then, the size of input and output is \( \Theta(d^2) \) elements of \( \mathbb{A} \).

Proposition 4. [23, 24] Under assumption \((H')\), for any \( \varepsilon > 0 \), there exists an algorithm that takes as input a prime power \( N \) and \( F \) and \( \mathbf{T} \) as above, with coefficients in \( \mathbb{Z}/N\mathbb{Z} \), and returns \( F \mod \mathbf{T} \) using \( \Theta(d^2 + \varepsilon \log(N)) \) bit operations.

Since in this case the input and output size is \( \Theta(d^2 \log(N)) \) bits, this algorithm is close to being optimal.

If we consider the question over an abstract ring \( \mathbb{A} \), no quasi-optimal algorithm is known. Under assumption \((H'')\), the second algorithm of [24] runs in time \( O(d^3) \); this is subquadratic in the size \( d^3 \) of the problem, but worse than \( O(d^2) \). The following proposition gives an improved result.

Proposition 5. Under assumption \((H'')\), there exists an algorithm that takes as input \( F \) and \( \mathbf{T} \) as above and returns \( F \mod \mathbf{T} \) using \( O(M(d^2)d(\omega-1)/2) \) operations in \( \mathbb{A} \).

The rest of this subsection is devoted to prove this proposition. As in [24], we use a baby steps / giant steps approach inspired by Brent and Kung’s algorithm [6], but with a slightly more refined subdivision scheme.

Step 0. Replace \( F \) by \( F \mod U \); as a consequence, we can assume \( F \in \mathbb{A}[X,Y]_{d^t} \) with \( t = \min(d,m) \). For future use, note that \( \frac{mn}{d^t} \leq \sqrt{r} \), this is because \( mn \leq d^2 \). Else, \( r = m \), and the claim follows from the fact that \( n \leq d \).

Cost: we do \( d \) reductions of polynomials of degree less than \( d \) by a polynomial of degree \( m \) in \( \mathbb{A}[X] \); this is free if \( d < m \) and costs \( O(d \mathbb{M}(d)) \) otherwise.

Step 1. Let \( t = \lfloor d/n \rfloor - 1 \) and write \( F \) as

\[
F = F_0 + F_1 Y^{\nu_0} + \cdots + F_r Y^{\nu_r},
\]

where all \( F_i \) are in \( \mathbb{A}[X,Y]_{r^t,i} \). Cost: no operation in \( \mathbb{A} \).

Step 2. Let \( \rho = \lfloor d/n^{(1/2)} \rfloor \) and \( t = \lfloor (t + 1)/\rho \rfloor \); note that since \( d \geq n \), \( \rho \geq 1/ \omega \) is well-defined. Furthermore, both \( \rho \) and \( \mu \) are \( O(d/n^{(1/2)}) \) and \( \mu \geq 1 + t \).

Set up the \( (\mu \times \rho) \) matrix \( M_{11} = E_{0 \leq c < \mu, 0 \leq d < \rho} \) with entries in \( \mathbb{A}[X,Y]_{r^t,i} \), where we set \( F_0 = 0 \) for \( k > t \). Cost: no operation in \( \mathbb{A} \).

Step 3. For \( i = 0, \ldots, \rho \), compute \( \sigma_i = Y^{\nu_i} \) mod \( \mathbf{T} \). Cost: since \( \deg(Y) = \nu_i \) mod \( \mathbf{T} \) is equal to \( Y^{-\nu_i} \), so computing it takes time \( O(\mathbb{M}(\nu_i)) \). Then, it takes time \( O(\mathbb{M}(\mu n)) \) to deduce all other \( \sigma_i's \).

Step 4. Let \( \nu = \lfloor m/r \rfloor - 1 \); for \( i = 0, \ldots, \rho - 1 \), write

\[
\sigma_i = \sigma_{i+1} X^{\nu+i} + \cdots + \sigma_{i+1} X^{\nu_i},
\]

with all \( \sigma_{i,j} \) in \( \mathbb{A}[X,Y]_{r^t,i} \). Build the \( \rho \times (\mu + 1) \) matrix \( M_{12} = [\sigma_{i,j}]_{0 \leq i < \rho} \). Cost: we have seen that \( mn \leq d^2 \), so that \( d/r \leq d/n \) and thus \( \nu = O(d/n) \). Using the bounds on \( \rho, \mu, \nu \) and Lemma 2, we deduce that the cost is \( O(\mathbb{M}(\nu i/n)) \).

Step 5. Let \( [m_{i,j}]_{0 \leq i < \nu_i, 0 \leq j < \nu} \) be the entries of \( M \), which are in \( \mathbb{A}[X,Y]_{2r-1,2n-1} \). For \( i = 0, \ldots, \mu - 1 \), compute

\[
G_i = m_{i,0} + m_{i,1} X^{\nu_0} + \cdots + m_{i,\nu} X^{\nu_0},
\]

and \( H_i = G_i \mod \mathbf{T} \). Because

\[
m_{i,j} = F_{i+1} \sigma_{i,j} + F_{i+1} \sigma_{i+1,j} + \cdots + F_{i+1} \sigma_{i+1,n} - 1 \sigma_{i,j},
\]
we deduce that

\[ G_i = F_{\rho} \sigma_0 + F_{\rho+1} \sigma_1 + \cdots + F_{(i+1)\rho-1} \sigma_{\rho-1}. \]

Since \( \sigma_j = Y^{n_j} \mod (T) \) for all \( j \), this proves that

\[ H_i = F_{\rho} + F_{\rho+1} Y^n + \cdots + F_{(i+1)\rho-1} Y^{n(\rho-1)} \mod (T). \]

Cost: computing a single \( G_i \) takes \( O(r\nu v) \) additions in \( A \), which is \( O(mn) \) since \( r\nu = O(m) \). By construction, \( G_i \) is in \( A[X,Y]_{2(\rho+1)-2n,2n-1} \); since \( r\nu + 2 = O(m) \), Lemma 1 implies that reducing \( G_i \) to obtain \( H_i \) takes time \( O(M(mn)) \). The total for all \( G_i \)'s is \( O(M(mn)) \).

**Step 6.** Compute \( H = H_0 + H_1 \sigma_0 + \cdots + H_{\rho-1} \sigma_{\rho-1} \mod (T) \) using Horner's scheme; the expression given above for the polynomials \( H_i \) implies that \( H = F \mod (T) \).

Cost: \( O(p) \) additions and multiplications modulo \( T \), each of which costs \( O(M(mn)) \) operations in \( A \).

**Summary.** Summing all contributions, we obtain

\[ O\left(M(d) + M(mn)(d/n)^{1/2} + M(r\nu)(d/n)^{(\omega+1)/2}\right).\]

The first two terms are easily seen to be \( O(M(d^2)d^{1/2}) \). To simplify the proof, let us assume that \( r \leq d \) and \( M(\rho) \leq M(2n) \), and the super-linearity of \( M \) implies that \( M(\rho n) \leq M(d^2)n/d \). Thus, the third term is \( O(M(d^2)(d/n)^{(\omega+1)/2}) \), which is \( O(M(d^2)(d/n)^{(\omega+1)/2}) \).

**Proposition 5 is proved.**

### 4.2 Reduction modulo several triangular sets

We now prove Proposition 2 and 3. To simplify the presentation, we give details for the first result (in the algebraic model); the proof in the boolean model requires no notable modification (just use Proposition 1 instead of 5 below).

Let \( T = (T_1, \ldots, T_r) \) be monic triangular sets of the form \( T_i = (U_i, V_i) \), with coefficients in \( A \) and bidegrees \((m_i, n_i)\). We also assume that the cofactors \( \mathcal{P}_i = (R_1, \ldots, R_{i-1}) \) are given.

Given \( F \in A[X,Y] \) of degree less than \( d \), we consider the question of reducing \( F \) modulo all \( (T_i) \), under assumption (H). Our proof distinguishes three cases, from the most particular to the general case.

**Identical \( n_i \)'s.** Assume first that there exists \( n_i = n \) for all \( i \). Writing \( m_i = m_1 + \cdots + m_s \), Lemma 3 shows that we can build a monic triangular set \( T \in A[X,Y] \) of bidegree \((m, n)\), such that the ideal \( (T) \) is the intersection of all \( (T_i) \), in time \( O(nm(m) log(m)) \).

To compute all \( F \mod (T_i) \), because \((H)\) implies \( mn \leq d^2 \), we first compute \( H = F \mod (T) \) using Proposition 5, in time \( O(M(d^2)d^{(\omega+1)/2}) \). Then, we use Lemma 3 to reduce \( H \) modulo all \( (T_i) \) in time \( O(M(m) log(m)) \). Since \( nm(m) \) is \( O(M(d^2)) \), the cost of this step is negligible.

**Similar \( n_i \)'s.** We now relax the assumption that all \( n_i \)'s are the same; instead, we assume that there exists \( n \) such that \( n_i \in \{n, \ldots, 2n - 1\} \) for all \( i \); as above, we write \( m = m_1 + \cdots + m_s \), and we introduce \( n' = 2n - 1 \).

For \( i = 1, \ldots, s \), define \( V'_i = V^{n'-n_i}V_i \) and \( T'_i = (U_i, V'_i) \), so that the \( T'_i \) are monic triangular sets of bidegrees \((m_i, n')\). These new triangular sets and \( F \) may not satisfy \((H)\) anymore, but they will, provided we replace \( d \) by \( d' = 2d \). Indeed, notice that the inequality \( n' \leq 2n_i \) holds for all \( i \); using \((H)\), this yields

\[ \sum_{i=1}^{s} m_i n' \leq 2 \sum_{i=1}^{s} m_i n_i \leq 2d^2 \leq d'^2, \]

and similarly \( n' \leq d' \). The algorithm in the previous paragraph then allows us to compute all \( H_i \) \( \equiv F \mod (T'_i) \), still in time \( O(M(d^2)d^{(\omega+1)/2}) \). Then, for all \( i \), we compute the remainder \( H_i \mod (T_i) \). Using Lemma 1, this can be done in time \( O(M(mn)) \) for each \( i \), for a negligible total cost of \( O(M(mn)) \). \( O(M(d^2)) \).

**Arbitrary degrees.** Finally, we drop all assumptions on the degrees \( n_i \). Instead, we partition the set \( S = \{1, \ldots, s\} \) into \( S_1, \ldots, S_r \) such that \( i \in S_j \) if and only if \( n_i \) is in \( \{2^j, \ldots, 2^{j+1} - 1\} \). Because all \( n_i \) satisfy \( n_i \leq d, \kappa \) in \( O(log(d)) \). We write as usual \( m = m_1 + \cdots + m_s \).

We are going to apply the algorithm of the previous paragraph to all \( S \) independently. Remark that if all \( T_i \) and \( F \) satisfy assumption (H), the subset \( \{T_i \mid i \in S_i\} \) and \( F \) still satisfy this assumption.

Let us thus fix \( \ell \in \{0, \ldots, \kappa\} \). The only thing that we need to take care of are the cofactors required for Chinese Remaindering. As input, we assume that we know all \( R_i = 1/(U_1 \cdots U_{i-1}U_{i+1} \cdots U_s) \mod U_i \); what we need are the inverses

\[ R_{\ell,i} = \prod_{j \neq i, \ell} U_j/ U_{\ell,j} \mod U_i \].

These polynomials are computed easily: first, we form the product \( B_T = \prod_{i} S_i U_i \) using [31, Lemma 10.4], this takes \( O(M(mn) log(m)) \) operations in \( A \). Then, we reduce \( B_T \) modulo all \( U_i \), for \( i \in S_\ell \), for the same amount of time as above. Finally, we obtain all \( R_{\ell,i} \) as \( R_{\ell,i} = R_{\ell}B_T \mod U_i \); the time needed for these products is \( O(M(m)) \).

Once the polynomials \( R_{\ell,i} \) are known, the algorithm of the previous paragraph gives us all \( F \mod (T_i) \), for \( i \in S_\ell \), in time \( O(M(d^2)d^{(\omega+1)/2}) \); this dominates the cost of computing the polynomials \( R_{\ell,i} \). Summing over all \( \ell \) finishes the proof of Proposition 2.

### 5. PROOF OF THE MAIN RESULTS

We assume here that we are one of the cases \( A = k[T] \) or \( A = Z \) and we prove Theorems 1 and 2. Given \( F,G \) in \( A[X,Y] \) and writing as before \( \mathcal{T} = (T_1, \ldots, T_r) \) for the equiprojectable decomposition modulo \( Z(F,G) \) and \( \mathcal{P} = (C_1, \ldots, C_s) \) for its modified version, we show here how to compute the latter.

In both cases \( A = k[T] \) and \( A = Z \), the algorithm follows the template given in [10]: compute the equiprojectable decomposition modulo a randomly chosen maximal ideal \( m \) of \( \mathcal{P} \), lift it modulo \( m^\omega \), for a high enough precision \( \omega \), and reconstruct all rational fractions that appear as coefficients in \( \mathcal{P} \) from their expansion modulo \( m^\omega \).

The difference with [10] lies in the manner we perform the lifting: in that reference, the input polynomials were given by a straight-line program, and the lifting algorithm exploited this fact. Here, we do not make this assumption, and we rely on the results of the previous section.

We suppose that \( \lambda(F), \lambda(G) \leq \ell \) and \( \deg(F), \deg(G) \leq d \), where \( \lambda \) is the length function defined in the introduction. For \( i \leq s \), we write \((m_i, n_i)\) for the bidegree of \( T_i \); then, we have the upper bound \( \sum_{i=1}^{s} m_i n_i \leq d^2 \). Besides, each \( n_i \) is at most \( d \), so assumption (H) of Section 4 holds.

### 5.1 One lifting step

In this subsection, \( m \) is a maximal ideal of \( A \), and we assume that none of the denominators of the coefficients of
the polynomials in $\mathcal{F}$ vanishes modulo $m$. Thus, for $N \geq 1$, we can define $\mathcal{F}_N = \mathcal{F} \mod m^N$ by reducing all coefficients of $\mathcal{F}$ modulo $m^N$.

Given $\mathcal{F}_N$, we show here how to compute $\mathcal{F}_{2N}$; this will be the core of our main algorithm. We start by describing some basic operations in $A_N = A/m^N$ (when $N = 1$, we also use the notation $k$ to denote the residual field $\mathbb{F}_m$).

For complexity analyzes, we assume that $A = k[T]$ and that $m$ has the form $(T - t_0)$, for some $t_0$ in $k$; we discuss the case $A = \mathbb{Z}$ afterwards. Remark in particular that operations $(+,-,\times)$ in $A_N$ can be done in $O(M(m))$ operations in $k$.

### Univariate inversion

Given $Q$ monic of degree $m$ in $A_N[X]$ and $F \in A_N[X]$ of degree less than $m$, consider the problem of computing $1/F$ in $A_N[X]/(Q)$, if it exists.

This is done by computing the inverse modulo $m$ (i.e., over $k[X]$) by an extended GCD algorithm and lifting it by Newton iteration [31, Ch. 9]. The first step uses $O(M(m)\log(m))$ operations in $k$, the second one $O(M(m)M(N))$.

### Bivariate inversion

Given $Q$ monic of degree $m$ in $A_N[X,Y]$ and $F \in A_N[X,Y]$ of degree less than $m$, consider the problem of computing $1/F$ in $A_N[X,Y]/(Q)$, if it exists.

We use the same approach as above, but with bivariate computations. For inversion modulo $m$, we use [9, Prop. 6], which gives a cost $O(M(m)M(n)\log(m)^3\log(n)^3)$. The lifting now takes $O(M(mn)M(N))$.

### Lifting $\mathcal{F}_N$

We can now explain the main algorithm, called Lift in the next subsection. In what follows, we write $\mathcal{F}_N = (\mathcal{T}_{N,1}, \ldots, \mathcal{T}_{N,s})$; all computations take place over $A_{2N}$.

#### Step 0.

First, as in the proof of Corollary 1, we compute the cofactors $\mathcal{F}_{2N}$ associated to $\mathcal{F}_N$ using [31, Algo. 10.18]; this time, though, we work over the ring $A_{2N}$.

Steps 1 and 2 of that algorithm work over any ring; Step 3, which computes inverses modulo the polynomials $\mathcal{T}_{N,j}$, is dealt with using the remarks made above on univariate inversion. Because $\mathcal{T}_{N,j}$ has bidegree $(m_1,j_1), n_2$, with $\sum_{i,j} m_i n_j \leq d^2$, the total cost is $O(M(d^2)M(N)\log(d)\log(d))$ operations in $k$.

#### Step 1.

We will use formulas from [28] to lift from $\mathcal{F}_N$ to $\mathcal{F}_{2N}$. First, we reduce the polynomials $F, G$ and the entries of their Jacobian matrix $J$ modulo $m^N$; as a result, we will now see these polynomials as elements of $A_N[X,Y]$.

Over $A = k[T]$, the assumption that $\lambda(F), \lambda(G) \leq \ell$ means that $F$ and $G$ have degree at most $\ell$ in $T$; we are reducing them modulo the polynomial $(T - t_0)2N$. The time for one coefficient reduction is $O(M(\ell))$, since when $2N > \ell$, no work is needed. The total time is $O(2M(\ell))$.

#### Step 2.

We compute $F_{N,j} = F \mod (\mathcal{T}_{N,j})$ over $A_{2N}[X,Y]$ for all $j \in \{1, \ldots, s\}$, as well as $G_{N,j} = G \mod (\mathcal{T}_{N,j})$ and $J_{N,j} = J \mod (\mathcal{T}_{N,j})$. This is the most costly part of the algorithm: because we know the cofactors $\mathcal{F}_{2N}$ associated to $\mathcal{F}_N$, and because assumption (H) of Section 4 is satisfied, Proposition 2 shows that one can compute all $F_{N,j}$ using $O(M(d^2)M(N)\ell^{d-1/2}\log(d))$ operations in $k$. The same holds for all $G_{N,j}$ and $J_{N,j}$.

#### Step 3.

Finally, for all $j$, we compute the $(2 \times 2)$ Jacobian matrix $M_{N,j}$ of $\mathcal{T}_{N,j}$ in $A_{2N}[X,Y]$ and the vector

$$
\delta_{N,j} = M_{N,j}J_{N,j}^{-1}(\mathcal{F}_{N,j}, \mathcal{G}_{N,j}) \mod (A_{2N}[X,Y]/(\mathcal{T}_{N,j})).
$$

Proposition 4 in [28] then proves that $\mathcal{T}_{2N,j} = \mathcal{T}_{N,j} + \delta_{N,j}$, where $\delta_{N,j}$ is the canonical preimage of $\delta_{N,j}$ over $A_{2N}[X,Y]$.

The dominant cost of this step is the inversion of the $(2 \times 2)$ matrices $J_{N,j}$. Using the remark above, the cost for a given $j$ is $O(M(m_1)M(n_1)\log(m_1)^3\log(n_1)^3 + M(m_1)M(N))$; summing over all $j$, we see that this step is negligible compared to Step 3.

#### Summary

When $A = k[T]$, the cost of deducing $\mathcal{F}_{2N}$ from $\mathcal{F}_N$ is $O(d^2\log(l) + M(d^2)M(N)\ell^{d-1/2}\log(d))$ operations in $k$, which is $O(d^2\ell + d^{d+3}/2N)$.

When $A = \mathbb{Z}$ and $m = (p)$, for a prime $p$, the algorithm does not change; but the complexity analysis does. Using the fact that computations modulo $p^\nu$ can be done in $O(\log(p^\nu))$ bit operations, and using Proposition 3, we obtain a cost of $d^2\epsilon^2\mathcal{O}(\ell + N\log(p))$ bit operations, for any $\epsilon > 0$.

### 5.2 Main algorithm

Our main algorithm is as follows.

**Input:** $F, G$ in $A[X,Y]$, $m \subset A$, $\ell \in \mathbb{N}$, $d \in \mathbb{N}$

**Output:** $\mathcal{F}_N = (C_1, \ldots, C_s)$

1. $\mathcal{F}_9 \leftarrow F \mod m, G \mod m$
2. $i \leftarrow 1$
3. while $\lambda(m^2) < 4d^2 + 48d^2$ do
   (a) $\mathcal{F}_N \leftarrow \text{Lift}(\mathcal{F}_{2N-1}, F, G)$
   (b) $i \leftarrow i + 1$
4. $\mathcal{F}_{2N-1} \leftarrow \text{Convert}(\mathcal{F}_{2N-1})$
5. return RationalReconstruction($\mathcal{F}_{2N-1}$)

We will now analyze the main steps, thereby proving our main theorems. For simplicity, we suppose that $A = k[T]$; we give later the modifications required when $A = \mathbb{Z}$.

**Step 1.** Over $A = k[T]$, the maximal ideal $m$ has the form $m = (T - t_0)$, for some $t_0 \in k$. Reducing $F$ and $G$ modulo $m$ takes $O(d^2\ell)$ operations in $k$ by the plain algorithm.

We assume that $t_0$ is not a root of the polynomial $A$ defined in Lemma 4. By assumption, the cardinality of $k$ is at least twice the degree of $A$, so choosing $t_0$ at random, our assumption is satisfied with probability at least 1/2.

We use the algorithm of Section 3 over $k$ to compute the equiprojectable decomposition $\mathcal{F}_1$ of $Z(F \mod m, G \mod m)$; under our assumption on $t_0$, $\mathcal{F}_N$ coincides with $\mathcal{F}$ modulo $m$. This step takes $O(M(d^2)\log(d)^2\log(d))$ operations in $k$.

**Step 3.** We saw in the introduction that over either $A = k[T]$ or $A = \mathbb{Z}$, all polynomials $U_i$ and $N_i$ in $\mathcal{F}$ satisfy $\lambda(U_i), \lambda(N_i) \leq 2d + 2d^2$. In order to reconstruct the coefficients of these polynomials from their expansion modulo $m^N$, it is thus enough to ensure that $2(d\ell + 2d^2) \leq \lambda(m^N)$; this accounts for the bound in the while loop. If we wanted to compute $\mathcal{F}$ instead, the bound would be of order $d^4 + d^4$.

**Step 3.a.** For each value of $i$, we call the algorithm described in the previous subsection; we saw that the cost is $O(d^2\log(l) + M(d^2)M(d + d^2)\ell^{d-1/2}\log(d))$ operations in $k$. The last value $i_0$ of the loop index is such that $2^{i_0} < 4d^2 + 48d^2 < 2^{i_0+1}$. We deduce the total running time:

$$
O\left(d^2\log(l) + M(d^2)M(d + d^2)\ell^{d-1/2}\log(d)\right).
$$

**Step 4.** We obtain $\mathcal{F}$ mod $m^N$ from $\mathcal{F}$ mod $m^N$ by applying subroutine Convert, which does the following: for all $i \leq s$, $T_i$ has the form $(U_i, V_i)$ and $C_i = (U_i, N_i)$, with $N_i = U_i U_i^T \mod U_i$. Convert performs this conversion over the ring $A_{2N}[X,Y]$. The cost is negligible compared to that of the lifting.
Step 5. Finally, RationalReconstruction recovers the rational coefficients appearing in $r$ from their expansion modulo $m^{2\ell}$ (the index $i_0$ was chosen such that this precision is sufficient). There are $O(d^2)$ coefficients, each of them having numerator and denominator of degree $O(d^2 + d^3)$, so the total time is $O(d^2 M(d^2 + d^3) \log(d\ell))$ operations in $K$.

**Summary.** Summing all previous costs, we see that the total time admits the upper bound claimed in Theorem 1,

\[
O\left( M(d^2)M(d^2 + d^3)d^{(\omega-1)/2} \log(\ell/d) \right) .
\]

Over $K = \mathbb{Z}$, $m$ is of the form $(p)$, for a suitable $p$ chosen as follows: let $B = 6 \cdot 8d^2(3\ell + 10 \log(d \ell) + 22)$. Using [31, Th. 18.10], we can compute in time $O'(\log(\ell/d))$ an integer $p \in \{B+1, \ldots, 2B\} \text{ such that with probability at least } 1/2, p \text{ is prime and does not divide the integer } A \text{ of Lemma 5.}$ We apply the same algorithm as above (in particular, since $p \geq B$, the computation modulo $p$ will satisfy the requirement on the characteristic of the field $k = \mathbb{Z}/p\mathbb{Z}$ of Proposition 1).

Using the analysis in the previous subsection and the bounds on the bit-size of the output, it is straightforward to derive an upper bound of $d^{\ell+1}O'(d^2 + d^3)$ bit operations, for any $\varepsilon > 0$. Up to doubling $\varepsilon$, the polylogarithmic terms can be discarded, and we get the result of Theorem 2.

**6. EXPERIMENTAL RESULTS**

We report here on preliminary results obtained with an experimental implementation of our main algorithm in the case $K = \mathbb{Z}$, based on Shoup’s NTL [29]. Although Theorem 2 features the best complexity, it relies on Kedlaya and Umans’ algorithm, and we are not aware of an efficient implementation of it, nor do we know how to derive one. Instead, we used the baby steps / giant steps idea underlying Theorem 1, which applies over any ring.

Our prototype is limited to inputs with word-size coefficients, and handles only the generic case described in the introduction, with only one triangular set of the form $U(X), Y - \eta(X)$ in $\mathcal{F}$. We did implement some classical optimizations not described above in the lifting process, such as halving the precision needed for the Jacobian matrix [17, S 4.4]. In the size ranges below, we choose our prime $p$ of about 50 bits (this agrees with the bound given in the previous section; also, in this generic case, it is easy to verify that such a prime is “lucky”).

For the moment, our implementation does polynomial matrix multiplication with exponent $\omega = 3$. Nevertheless, this step was carefully implemented, using FFT techniques for evaluation / interpolation and fast multiplication of matrices modulo small primes.

We compare our results to a Chinese Remainder approach that computes the resultant and the last subresultant modulo many primes. NTL only computes resultants, so we used an implementation of the fast subresultant algorithm already used in [16] that mimics NTL’s built-in resultant implementation. We give timings for the two kinds of modular arithmetic supported by NTL, $\mathbb{Z}_p$ and $\mathbb{Z}_{2^p}$, for respectively “large” primes and word-size primes. The latter is usually faster, as confirmed below, but the former allows us to choose slightly larger primes for modular computations (we obtained better overall timings starting from 400 bit primes than from 50 bit primes in that case).

The following table shows timings needed to compute the output modulo $p^N$, where $p$ is a 50 bit prime, and $N$ is a power of 2, using these various approaches. On these examples, our lifting algorithm does better than our CRT-based resultant implementation. The next step in our implementation will be to confirm whether this is still the case when we lift the general position assumption.

<table>
<thead>
<tr>
<th>degree</th>
<th>precision</th>
<th>Lifting</th>
<th>CRT, $\mathbb{Z}_p$</th>
<th>CRT, $\mathbb{Z}_{2^p}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>100</td>
<td>32</td>
<td>295.67</td>
<td>1474.88</td>
<td>899.48</td>
</tr>
<tr>
<td>100</td>
<td>64</td>
<td>558.75</td>
<td>1294.76</td>
<td>1798.96</td>
</tr>
<tr>
<td>100</td>
<td>128</td>
<td>1241.41</td>
<td>5899.52</td>
<td>3597.93</td>
</tr>
<tr>
<td>120</td>
<td>32</td>
<td>421.78</td>
<td>2711.36</td>
<td>1990.40</td>
</tr>
<tr>
<td>120</td>
<td>64</td>
<td>774.14</td>
<td>1142.22</td>
<td>7939.80</td>
</tr>
<tr>
<td>120</td>
<td>128</td>
<td>1728.21</td>
<td>10845.44</td>
<td>7961.60</td>
</tr>
<tr>
<td>140</td>
<td>32</td>
<td>818.97</td>
<td>4902.24</td>
<td>2671.89</td>
</tr>
<tr>
<td>140</td>
<td>64</td>
<td>1486.35</td>
<td>9804.48</td>
<td>5343.79</td>
</tr>
<tr>
<td>140</td>
<td>128</td>
<td>3045.91</td>
<td>19609.96</td>
<td>10687.59</td>
</tr>
<tr>
<td>160</td>
<td>32</td>
<td>1890.64</td>
<td>15221.21</td>
<td>10587.28</td>
</tr>
<tr>
<td>160</td>
<td>64</td>
<td>3958.17</td>
<td>30442.24</td>
<td>21174.56</td>
</tr>
<tr>
<td>180</td>
<td>32</td>
<td>1394.61</td>
<td>11121.48</td>
<td>6541.90</td>
</tr>
<tr>
<td>180</td>
<td>64</td>
<td>2399.61</td>
<td>22242.96</td>
<td>13097.57</td>
</tr>
<tr>
<td>180</td>
<td>128</td>
<td>4961.37</td>
<td>44485.92</td>
<td>26195.15</td>
</tr>
</tbody>
</table>

7. REFERENCES