Sound, Complete, and Minimal Query Rewriting for Existential Rules
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Abstract

We address the issue of Ontology-Based Data Access which consists of exploiting the semantics expressed in ontologies while querying data. Ontologies are represented in the framework of existen
tial rules, also known as Datalog+/−. We focus on the backward chaining paradigm, which involves rewriting the query (assumed to be a conjunctive query, CQ) into a set of CQs (seen as a union of
CQs). The proposed algorithm accepts any set of existential rules as input and stops for so-called finite unification sets of rules (fus). The rewriting step relies on a graph notion, called a piece, which allows to identify subsets of atoms from the query that must be processed together. We first show that our rewriting method computes a minimal set of CQs when this set is finite, i.e., the set of rules is a fus. We then focus on optimizing the rewriting step. First experiments are reported in the associated technical report.

1 Introduction

In recent years, there has been growing interest in exploiting the semantics expressed in ontologies when querying data, an issue known as ontology-based data access (OBDA). The dominant approach to this issue is based on description logics (DLs), with the most studied DLs in this context being lightweight DLs like DL-Lite and ΕΣ families [Baader, 2003; Calvanese et al., 2007] and their Semantic Web counterparts, so-called tractable fragments of OWL2. A newer approach, to which this paper contributes, is based on existential rules. Existential rules have the ability of generating unknown individuals, a feature that has been recognized as crucial in an open-world perspective. These rules are of the form body → head, where body and head are conjunc
tions of atoms (without functions), and variables that occur only in head are existentially quantified [Baget et al., 2009; 2010; 2011b; Krötzsch and Rudolph, 2011]. They are also known as Datalog± an extension of plain Datalog to database constraints called tuple-generating dependencies [Calì et al., 2008; 2009].

In this paper, we consider knowledge bases (KBs) composed of a set of facts, or data, and of existential rules. We focus on the standard basic queries, namely conjunctive queries (CQs), which can be seen as existentially quantified conjunctions of atoms. The fundamental decision problem associated with query answering can be expressed in several equivalent ways, in particular as a Boolean CQ entailment problem: is a given Boolean CQ logically entailed by a knowledge base?

CQ entailment is undecidable for general existential rules. There has been an intense research effort aimed at finding deci
dicable subsets of rules that provide good tradeoffs between expressivity and complexity of query answering (see [Mug
nier, 2011] for a synthesis). Compared to lightweight DLs, these decidable rule fragments are more powerful and flexi
ble. However, the existential rule-based OBDA framework does not come yet with practically usable algorithms, with the exception of very simple classes of rules, which can be seen as slight generalizations of lightweight DLs. In this paper, we undertake a step in this direction.

There are two classical paradigms for processing rules, namely forward chaining and backward chaining, which can both be seen as ways of integrating the rules either into the facts or into the query: forward chaining uses the rules to enrich the facts and the query is entailed by the KB if it maps by homomorphism to the enriched facts, while backward chaining uses the rules to rewrite the query in several ways and the initial query is entailed by the KB if a rewritten query maps to the initial facts. In the context of large data, the obvious advan
tage of backward chaining is that it does not make the data grow. When the set of rewritten queries is finite, this set can be seen as a single query, which is the union of the queries in the set. An approach initiated with DL-Lite consists of decomposing backward chaining into two steps: (1) rewrite the initial CQ as a union of CQs (2) use a database management system to answer this rewritten query. This approach aims to benefit from the optimizations developed for classical database queries. It is at the core of several systems, such as QuOnto [Calvanese et al., 2007], Requiem [Pérez-Urbina et al., 2009], Nyaya [Gottlob et al., 2011], Rapid [Chortaras et al., 2011], Iqaros [Venetis et al., 2012] and Quest [Rodriguez-Muro and Calvanese, 2012]. While the above work focuses on specific rule sublanguages, in this paper we consider gen-

*The paper on which this extended abstract is based was the recipient of the best paper award of the 2012 Web Reasoning and Rule Systems Conference (RR 2012) [König et al., 2012b].
eral existential rules, i.e., our algorithm accepts as input any set of existential rules, but of course is guaranteed to stop only for a subset of them (called “finite unification sets” in [Baget et al., 2010]), which includes expressive classes of rules.

The originality of our work lies in the rewriting step based on a notion stemming from a graph view of a set of atoms, that of a piece. Briefly, a piece is a subset of atoms from the query that must be rewritten together. Classically, in logic programming, rules and queries are processed atom by atom: at each step, an atom of a query $Q$ is unified with the head of a rule $R$ (a single atom) and a new query is generated by replacing $a$ in $Q$ by the body of $R$ (precisely: let $u$ be the unifier, the new query is $u(body(R)) \cup u(Q \setminus \{a\})$). Here, existential variables in rule heads have to be taken into account, which prevents the use of atomic unification. Instead, subsets of atoms (the pieces) have to be considered at once.

We present below a very simple example.

**Example 1** Let the rule $R = \forall x (q(x) \rightarrow \exists y p(x, y))$, and the Boolean CQ $Q = \exists u \exists w (p(u, v) \land p(w, v) \land r(u, w))$. Assume we want to unify the atom $p(u, v)$ from $Q$ with $p(x, y)$ by a substitution $\{ \{u, x\}, \{v, y\}\}$. Since $v$ is unified with the existential variable $y$, all other atoms containing $v$ must also be considered: indeed, simply rewriting $Q$ into $q(x) \land p(u, y) \land r(x, w)$ would be incorrect: intuitively, the fact that the atoms $p(u, v)$ and $p(w, v)$ in $Q$ share a variable would be lost in atoms $q(x)$ and $p(w, y)$. Thus, $p(u, v)$ and $p(w, v)$ have to be both unified with the head of $R$ by means of the following substitution: $\{ \{u, x\}, \{v, y\}, \{w, x\}\}$. $\{p(u, v), p(w, v)\}$ is called a piece. The corresponding rewriting of $Q$ is $q(x) \land r(x, x)$.

An alternative method would be to consider the Skolem form of rules, i.e., to replace existential variables in the head by Skolem functions of variables occurring in the body, however we think it is simpler and more intuitive to keep the original rule language. This framework established, we then posed ourselves the following questions:

1. Can we ensure that we produce a minimal set of rewritten conjunctive queries, in the sense that no sound and complete algorithm can produce a smaller set?
2. How to optimize the rewriting step? The problem of deciding whether there is a piece-unifier between a query and a rule head is NP-complete and the number of piece-unifiers can be exponential in the size of the query.

With respect to the first question, we first point out that any sound and complete set of CQs remains sound and complete when it is restricted to its most general elements (w.r.t. the generalization relation induced by homomorphism). We then show that all sound and complete sets of CQs restricted to their most general CQs have the same cardinality, which is minimal w.r.t. the completeness property.

With respect to the second question, we consider rules with an atomic head. This is not a restriction in terms of expressivity, since any rule can be decomposed into an equivalent set of atomic-head rules by simply introducing a new predicate for each rule [Cali et al., 2008; Baget et al., 2009]. Besides, many rules found in the literature have an atomic head. We exploit the fact that each atom in a CQ $Q$ belongs to at most one piece with respect to a rule $R$ (which is false for existential rules with non-atomic head) to efficiently compute a rewriting step, i.e., generate all CQs obtainable from $R$ and $Q$. An algorithm producing a sound and complete minimal set of rewritten CQs, and benefiting from the above optimizations, has been implemented.

The paper is organized as follows. Section 2 introduces our framework. Sections 3 and 4 are respectively devoted to the first and to the second question. Finally, Section 5 reports first experiments and outlines further work. See [König et al., 2012a] for the associated technical report with all proofs.

### 2 Framework

**An atom** is of the form $p(t_1, \ldots, t_k)$ where $p$ is a predicate with arity $k$, and the $t_i$ are terms, i.e., variables or constants. Given an atom or a set of atoms $A$, $\text{vars}(A)$, $\text{consts}(A)$ and $\text{terms}(A)$ denote its set of variables, of constants and of terms, respectively. In the following examples, all the terms are variables (denoted by $x$, $y$, $z$, etc.). $\models$ denotes the classical logical consequence. A *fact* is an existentially closed conjunction of atoms.

A *conjunctive query* (CQ) is an existentially quantified conjunction of atoms. When it is a closed formula, it is called a *Boolean CQ* (BCQ). Hence facts and BCQs have the same logical form. In the following, we will see them as sets of atoms. Given sets of atoms $A$ and $B$, a *homomorphism* $h$ from $A$ to $B$ is a substitution of $\text{vars}(A)$ by $\text{terms}(B)$ s.t. $h(A) \subseteq B$. We say that $A$ maps to $B$ by $h$. If there is a homomorphism from $A$ to $B$, we say that $A$ is more general than $B$ (or $B$ is more specific than $A$), which is denoted $A \supseteq B$ (or $B \subseteq A$). Given a fact $F$ and a BCQ $Q$, the answer to $Q$ in $F$ is positive if $F \models Q$. It is well-known that $F \models Q$ iff there is a homomorphism from $Q$ to $F$.

**Definition 1 (Existential rule)** An existential rule (or simply rule) is a formula $R = \forall x \forall y (B[x, y] \rightarrow \exists z H[y, z])$ where $B = \text{body}(R)$ and $H = \text{head}(R)$ are conjunctions of atoms, resp. called the body and the head of $R$. The frontier of $R$, noted $\text{fr}(R)$, is the set $\text{vars}(B) \cap \text{vars}(H) = \emptyset$.

A *knowledge base* (KB) $\mathcal{K} = (F, R)$ is composed of a finite set of facts (seen as a single fact $F$) and a finite set of existential rules $R$. The *BCQ entailment problem* takes as input a KB $\mathcal{K} = (F, R)$ and a BCQ $Q$, and asks if $F, R \models Q$.

**Other notations:** Throughout the paper we note respectively $R$ and $Q$ the considered rule and query. We always assume that $R$ and $Q$ have no variables in common. Given $Q' \subseteq Q$, we note $Q'$ the set $Q \setminus Q'$. The variables in $\text{vars}(Q') \cap \text{vars}(Q')$ are called *separating variables* and noted $\text{sep}(Q')$.

In the examples, we will omit quantifiers in facts and rules since there is no ambiguity.

As explained in the introduction, the rewriting step relies on a specific unification operation based on “pieces”.

A piece-unifier is a pair $(Q', u)$, where $Q' \subseteq Q$ and $u$ is a substitution that “unifies” $Q'$ with some $H' \subseteq \text{head}(R)$.

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1Pieces come from earlier work on conceptual graph rules [Salvat and Mugnier, 1996] recast in the framework of existential rules in [Baget et al., 2009; 2011a].

2We generalize the classical notion of a fact in order to take existential variables into account.
in the sense that \( u(Q') = u(H'). \) The substitution \( u \) can be decomposed as follows: (1) it specializes \( fr(R) \), thus \( head(R) \geq u(head(R)) \), with existential variables left unchanged; (2) it maps \( Q' \) to \( u(head(R)) \), while satisfying the following constraint: the separating variables in \( Q' \) are not mapped to existential variables.

**Definition 2 (Piece-unifier)** A piece-unifier of \( Q \) with \( R \) is a pair \( \mu = (Q', u) \) with \( Q' \subseteq Q \), \( Q' \neq \emptyset \), a substitution \( fr(R) \cup vars(Q') \) by terms \( \mu \) (\( head(R) \cup cons(Q' \cup head(R)) \)) such that:

1. for all \( x \in fr(R), u(x) \in fr(R) \cup cons(Q' \cup head(R)) \),
2. for all \( x \in \text{sep}(Q'), u(x) \in fr(R) \cup cons(Q' \cup head(R)) \),
3. \( u(Q') \subseteq u(head(R)) \).

**Example 2** Let \( Q = \{p(x, y) \land Q_1, \ldots, Q_n\} \) and \( Q_1 \). There are three \( \mu \):\( \{u(x), v(y), (z, x)\} \); \( \{u(x), v(y), (z, x)\} \); \( \{u(x), v(y), (z, x), (w, x), (t, y)\} \).

We are now able to formally define pieces. Generally speaking, a set of atoms can be partitioned into subsets (called pieces) s.t. a set \( T \) of variables acting as “cutpoints”:

**Definition 3 (Piece)** \( Baget \text{ et al., 2011a} \) Let \( A \) be a set of atoms and \( T \subseteq \text{vars}(A) \). A piece \( \mu \) of \( T \) is a minimal non-empty subset \( A \cup \{\mu\} \). A piece \( A \) according to \( T \) is a minimal non-empty subset \( P \) of \( A \) s.t. \( a \in P \) and \( \text{vars}(a) \cap \text{vars}(\mu) \neq \emptyset \).

**Definition 4 (Cutpoint, Piece of Q)** Given a piece-unifier \( \mu = (Q', \mu) \) of \( Q \) with \( R \), a variable \( x \in Q' \) is a cutpoint if \( u(x) \in fr(R) \cup cons(Q' \cup head(R)) \). The set of cutpoints associated with \( \mu \) is denoted by \( T_\mu \). We call piece of \( Q \) (for \( \mu \)) a piece of \( Q \) according to \( T_\mu \).

**Example 2** (contd) \( Q_1, Q_2, Q_3 \) are pieces for \( \mu_1 \) and \( \mu_2 \) respectively. Both are pieces for \( \mu_3 \).

In fact, for any piece-unifier \( \mu = (Q', \mu) \), \( Q' \) is a set of pieces of \( Q \), which justifies the name “piece-unifier”. To sum up, a piece of \( Q \) is a minimal subset of atoms that must be considered together once cutpoints in \( Q \) have been defined (indeed, an atom of \( Q \) may belong to different pieces according to different piece-unifiers). Finally, note that in rules without existential variables, such as in plain Datalog, each piece is restricted to a single atom.

**Definition 5 (Immediate Rewriting)** A piece-unifier \( \mu = (Q', \mu) \) of \( Q \) with \( R \) is the immediate rewriting of \( Q \) according to \( \mu \), denoted \( \beta(Q,R,\mu) \), is \( u(\text{body}(R)) \cup u(Q') \).

**Definition 6 (R-rewritings of Q)** An \( R \)-rewriting of \( Q \) is a \( \mu \)-rewriting of \( Q \) obtained by a finite sequence \( Q_{0} = \mu, Q_{1}, \ldots, Q_{k} \) s.t. for all \( 0 \leq i < k \), there is \( R_{i} \in R \) and a piece-unifier \( \mu_{i} \) of \( Q_{i} \) with \( R_{i} \) s.t. \( Q_{i+1} = \beta(Q_{i}, R_{i}, \mu_{i}) \).

To evaluate the correctness of different rewriting mechanisms, we introduce the notions of soundness and completeness of a set of CQs with respect to \( Q \) and \( R \) (such a set is called a rewriting set hereafter):

**Definition 7 (Sound and Complete (rewriting) set of CQs)** Let \( R \) be a set of existential rules and \( Q \) be a \( \mu \)-rewriting of \( Q \) obtained by \( \beta(Q_{0}, R_{0}, \mu_{0}) \). Let \( \beta(Q_{1}, R_{1}, \mu_{1}) \).

**Example 3** Let \( Q = \{p(x, y) \land Q_1, \ldots, Q_n\} \) and \( Q_1 \). There are three \( \mu \):\( \{u(x), v(y), (z, x)\} \); \( \{u(x), v(y), (z, x)\} \); \( \{u(x), v(y), (z, x), (w, x), (t, y)\} \).

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Algorithm 1: Rewriting Algorithm

Data: A fus $\mathcal{R}$, a conjunctive query $Q$
Result: A cover of the set of $\mathcal{R}$-rewritings of $Q$

$Q_F \leftarrow \{Q\}$; // resulting set
$Q_E \leftarrow \{Q\}$; // queries to be explored

while $Q_E \neq \emptyset$ do

$Q_t \leftarrow \emptyset$; // queries generated at this rewriting step

for $q \in Q_E$ do

for $R \in \mathcal{R}$ do

for $\mu$ piece-unifier of $Q$ with $R$ do

$Q_t \leftarrow Q_t \cup \beta(Q_t, R, \mu)$;

end for

end for

$Q' \leftarrow \text{ComputeCover}(Q_F \cup Q_t)$; // update cover

$Q_E \leftarrow Q' \setminus Q_F$; // select unexplored queries

end for

end while

return $Q_F$

Lemma 1 If $Q_1 \geq Q_2$ then for all piece-unifiers $\mu_2$ of $Q_2$ with $R$: either (i) $Q_1 \geq \beta(Q_2, R, \mu_2)$ or (ii) there is a piece-unifier $\mu_1$ of $Q_1$ with $R$ such that $\beta(Q_1, R, \mu_1) \geq \beta(Q_2, R, \mu_2)$.

Theorem 1 Let $\mathcal{R}$ be a fus and $Q$ be a sound and complete rewriting set of $Q$ (with $\mathcal{R}$). Any cover of $Q$ is of minimal cardinality among sound and complete rewriting sets of $Q$.

From the previous observation, we conclude that any sound and complete rewriting algorithm can be “optimized” so that it outputs a set of rewritings of minimal cardinality. If we moreover delete redundant atoms from the obtained CQs, we obtain a unique sound and complete set of CQs that has both minimal cardinality and elements of minimal size (unicity is of course up to a bijective variable renaming).

4 Most General Single-Piece Unifiers

W.l.o.g. we now focus on rules with atomic head. What is simpler with these rules? The definition of a piece-unifier in itself does not change. The difference lies in the number of piece-unifiers to be considered at a rewriting step. We first notice that we can restrict our focus to most general single-piece unifiers: the number of such unifiers of $Q$ with $\mathcal{R}$ is bounded by $|Q|$, since there is a unique way of associating any atom in $Q$ with head $R$.

Let $\mu_1 = (Q', u_1)$ and $\mu_2 = (Q', u_2)$ be two piece-unifiers of $Q$ with $R$, defined on the same subset $Q'$ of $Q$. $\mu_1$ is said to be more general than $\mu_2$, noted $\mu_1 \geq \mu_2$, if $u_1$ is more general than $u_2$ (i.e., there is a substitution $s$ s.t. $u_2 = s \circ u_1$).

Property 1 Let $\mu_1 = (Q', u_1)$ and $\mu_2 = (Q', u_2)$ be piece-unifiers s.t. $\mu_1 \geq \mu_2$. Then $\beta(Q, R, \mu_1) \geq \beta(Q, R, \mu_2)$.

A piece-unifier $\mu = (Q', u)$ of $Q$ with $R$ is said to be single-piece if $Q'$ is a piece of $Q$. Any piece-unifier can be decomposed into single-piece unifiers. Note however that applying successively each of these underlying single-piece unifiers may lead to a CQ strictly more general than $\beta(Q, R, \mu)$, as illustrated in the next example:

Example 4 Let $R = p(x, y) \rightarrow q(x, y)$ and $Q = q(u, v) \land r(v, w) \land q(t, w)$. Let $\mu = (Q', u)$ be a piece-unifier of $Q$ with $R$ with $Q' = \{q(u, v), q(t, w)\}$ and $u = \{\langle u, x\rangle, \langle v, y\rangle, \langle t, x\rangle, \langle w, v\rangle\}$. $\beta(Q, R, \mu) = p(x, y) \land (y, y)$. $Q'$ has two pieces w.r.t. $\mu$: $P_1 = \{q(u, v)\}$ and $P_2 = \{q(t, w)\}$. If we successively apply the underlying single-piece unifiers $\mu_{P_1}$ and $\mu_{P_2}$, we obtain $\beta(\beta(Q, R, \mu_{P_1}), R, \mu_{P_2}) = \beta(p(x, y) \land r(y, w) \land q(t, w), R, \mu_{P_2}) = p(x, y) \land (r(y, y) \land p(x, y) \land (y, y)).$

Property 2 For any piece-unifier $\mu$ of $Q$ with $R$, there is $Q^*$ an $(R\setminus r)$-rewriting of $Q$ obtained by considering exclusively most general single-piece unifiers s.t. $Q^* \geq \beta(Q, R, \mu)$.

From Lemma 1 and Property 2, we obtain:

Theorem 2 Given a set of rules $\mathcal{R}$, the set of $\mathcal{R}$-rewritings of $Q$ obtained by considering exclusively most general single-piece unifiers is sound and complete.

However, single-piece unifiers cannot be used as such in Algorithm 1. The next example shows that, despite the completeness result of Theorem 2, the restriction to single-piece unifiers is not compatible with selecting most general rewritings at each step, as done in Algorithm 1.

Example 5 Let $Q = p(y, z) \land p(z, y)$ and $R = r(x, x) \rightarrow p(x, x)$. There are two single-piece unifiers of $Q$ with $R$, $\mu_1 = (p(y, z), u)$ and $\mu_2 = (p(z, y), u)$ with $u = \{\langle y, x\rangle, \langle z, x\rangle\}$, which yield the same rewriting $Q_1 = r(x, x) \land p(x, x)$. There is also a two-piece unifier $\mu = (Q, u)$, which yields $Q' = r(x, x)$. A query equivalent to $Q'$ can be obtained from $Q_1$ by a further single-piece unification.

Now, assume that we restrict unifiers to single-piece unifiers and keep most general rewritings at each step. Since $Q \geq Q_1$, $Q_1$ is not kept, so $Q'$ will never be generated, whereas it is incomparable with $Q$.

To keep the correctness of Algorithm 1, we have to combine single-piece unifiers when they are compatible: two piece-unifiers of $Q$ with $R$, $\mu_1 = (Q_1', u_1)$ and $\mu_2 = (Q_2', u_2)$, are said to be compatible if (1) $Q_1' \cap Q_2' = \emptyset$ (2) for all $x \in \text{vars}(Q_1') \cap \text{vars}(Q_2')$, when $u_1(x) = u_2(x)$ and $u_2(x)$ are both constants, it holds that $u_1(x) = u_2(x)$. To sum up, we keep the schema of Algorithm 1 but, instead of computing all the piece-unifiers at a given step, we compute the single-piece unifiers, then apply together the compatible ones.

5 Perspectives

First experiments were led with the same benchmark as [Gottlob et al., 2011], then extended using the query generator from [Imprialou et al., 2012]. We compared our algorithm to Nyaya/NY* rewriting engine [Gottlob et al., 2011]. Both running times were comparable, however we found that NY* did not output minimal rewriting sets (although its output was already shown smaller than the output of other existing systems). Since the benchmark we used consists of very simple ontologies we need to consider larger and more complex rule bases. We also have to compare to other recent rewriting systems, though these systems deal with more restricted classes of rules. Finally, our rewriting mechanism is yet far from being optimized. For instance, the number of explored queries is still very large w.r.t. the size of the final cover. The question of whether it is worthwhile, when rules do not have atomic heads, to deal directly with them, still needs to be addressed.
References


