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# Homomorphisms of planar $(m, n)$ -colored-mixed graphs to planar targets

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## Abstract

An  $(m, n)$ -colored-mixed graph  $G = (V, A_1, A_2, \dots, A_m, E_1, E_2, \dots, E_n)$  is a graph having  $m$  colors of arcs and  $n$  colors of edges. We do not allow two arcs or edges to have the same endpoints. A homomorphism from an  $(m, n)$ -colored-mixed graph  $G$  to another  $(m, n)$ -colored-mixed graph  $H$  is a morphism  $\varphi : V(G) \rightarrow V(H)$  such that each edge (resp. arc) of  $G$  is mapped to an edge (resp. arc) of  $H$  of the same color (and orientation). An  $(m, n)$ -colored-mixed graph  $T$  is said to be  $P_g^{(m,n)}$ -universal if every graph in  $P_g^{(m,n)}$  (the planar  $(m, n)$ -colored-mixed graphs with girth at least  $g$ ) admits a homomorphism to  $T$ .

We show that planar  $P_g^{(m,n)}$ -universal graphs do not exist for  $2m + n \geq 3$  (and any value of  $g$ ) and find a minimal (in the number vertices) planar  $P_g^{(m,n)}$ -universal graphs in the other cases.

## 1 Introduction

The concept of homomorphisms of  $(m, n)$ -colored-mixed graph was introduced by J. Nesěřil and A. Raspaud [1] in order to generalize homomorphisms of  $k$ -edge-colored graphs and oriented graphs.

An  $(m, n)$ -colored-mixed graph  $G = (V, A_1, A_2, \dots, A_m, E_1, E_2, \dots, E_n)$  is a graph having  $m$  colors of arcs and  $n$  colors of edges. We do not allow two arcs or edges to have the same endpoints and we do not allow loops. The case  $m = 0$  and  $n = 1$  corresponds to simple graphs,  $m = 1$  and  $n = 0$  to oriented graphs and  $m = 0$  and  $n = k$  to  $k$ -edge-colored graphs. For the case  $m = 0$  and  $n = 2$  (2-edge-colored graphs) we refer to the two types of edges as *blue* and *red* edges.

A *homomorphism* from an  $(m, n)$ -colored-mixed graph  $G$  to another  $(m, n)$ -colored-mixed graph  $H$  is a mapping  $\varphi : V(G) \rightarrow V(H)$  such that every edge (resp. arc) of  $G$  is mapped to an edge (resp. arc) of  $H$  of the same color (and orientation). If  $G$  admits a homomorphism to  $H$ , we say that  $G$  is *H-colorable* since this homomorphism can be seen as a coloring of the vertices of  $G$  using the vertices of  $H$  as colors. The edges and arcs of  $H$  (and their colors) give us the rules that this coloring must follow. Given a class of graphs  $\mathcal{C}$ , a graph is  *$\mathcal{C}$ -universal* if for every graph  $G \in \mathcal{C}$  is  $H$ -colorable. The class  $P_g^{(m,n)}$  contains every planar  $(m, n)$ -colored-mixed graph with girth at least  $g$ . Graph  $\overrightarrow{C}_6^2$  is the graph with vertex set  $\{0, 1, 2, 3, 4, 5\}$  such that  $uv$  is an arc if and only if  $v - u \equiv 1 \pmod{6}$  or  $v - u \equiv 2 \pmod{6}$ .

In this paper, we consider some planar  $P_g^{(m,n)}$ -universal graphs with few vertices. They are depicted in Figures 1 and 2. The known results about this topic are as follows.

### Theorem 1.

1.  $K_4$  is a planar  $P_3^{(0,1)}$ -universal graph. This is the four color theorem.
2.  $K_3$  is a planar  $P_4^{(0,1)}$ -universal graph. This is Grötzsch's Theorem [2].
3.  $\overrightarrow{C}_6^2$  is a planar  $P_{16}^{(1,0)}$ -universal graph [3].

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Our first result shows that, in addition to the case of  $(0,1)$ -graphs covered by Theorems 1.1 and 1.2, our topic is actually restricted to the cases of oriented graphs (i.e.,  $(m,n) = (1,0)$ ) and 2-edge-colored graphs (i.e.,  $(m,n) = (0,2)$ ).

**Theorem 2.** *For every  $g \geq 3$ , there exists no planar  $P_g^{(m,n)}$ -universal graph if  $2m + n \geq 3$ .*

As Theorems 1.1 and 1.2 show for  $(0,1)$ -graphs, there might exist a trade-off between minimizing the girth  $g$  and the number of vertices of the universal graph, for a fixed pair  $(m,n)$ . For oriented graphs, Theorem 1.3 tries to minimize the girth. For oriented graphs and 2-edge-colored graphs, we choose instead to minimize the number of vertices of the universal graph.

**Theorem 3.**

1.  $\vec{T}_5$  is a planar  $P_{28}^{(1,0)}$ -universal graph on 5 vertices.
2.  $T_6$  is a planar  $P_{22}^{(0,2)}$ -universal graph on 6 vertices.

The following results shows that Theorem 3 is optimal in terms of the number of vertices of the universal graph.

**Theorem 4.**

1. For every  $g \geq 3$ , there exists an oriented bipartite cactus graph (i.e.,  $K_4^-$  minor-free graph) with girth at least  $g$  and oriented chromatic number at least 5.
2. For every  $g \geq 3$ , there exists a 2-edge-colored bipartite outerplanar graph (i.e.,  $(K_4^-, K_{2,3})$  minor-free graph) with girth at least  $g$  that does not map to a planar graph with at most 5 vertices.

Most probably, Theorem 3 is not optimal in terms of girth. The following constructions give lower bounds on the girth.

**Theorem 5.**

1. There exists an oriented bipartite 2-outerplanar graph with girth 14 that does not map to  $\vec{T}_5$ .
2. There exists a 2-edge-colored planar graph with girth 11 that does not map to  $T_6$ .
3. There exists a 2-edge-colored bipartite planar graph with girth 10 that does not map to  $T_6$ .

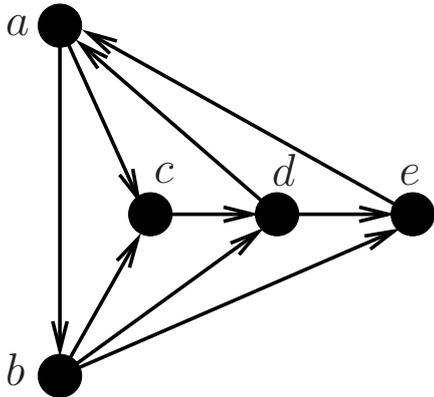


Figure 1: The  $P_{28}^{(1,0)}$ -universal graph  $\vec{T}_5$ .

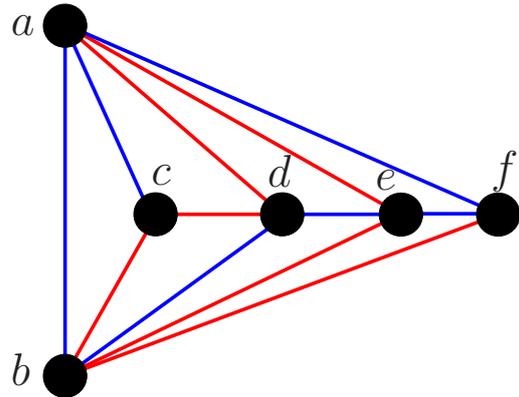


Figure 2: The  $P_{22}^{(0,2)}$ -universal graph  $T_6$ .

Next, we obtain the following complexity dichotomies:

**Theorem 6.**

1. For any fixed girth  $g \geq 3$ , either every graph in  $P_g^{(1,0)}$  maps to  $\vec{T}_5$  or it is NP-complete to decide whether a graph in  $P_g^{(1,0)}$  maps to  $\vec{T}_5$ . Either every bipartite graph in  $P_g^{(1,0)}$  maps to  $\vec{T}_5$  or it is NP-complete to decide whether a bipartite graph in  $P_g^{(1,0)}$  maps to  $\vec{T}_5$ .
2. Either every graph in  $P_g^{(0,2)}$  maps to  $T_6$  or it is NP-complete to decide whether a graph in  $P_g^{(1,0)}$  maps to  $T_6$ . Either every bipartite graph in  $P_g^{(0,2)}$  maps to  $T_6$  or it is NP-complete to decide whether a bipartite graph in  $P_g^{(1,0)}$  maps to  $T_6$ .

Finally, we can use Theorem 6 with the non-colorable graphs in Theorem 5.

**Corollary 7.**

1. Deciding whether a bipartite graph in  $P_{14}^{(1,0)}$  maps to  $\vec{T}_5$  is NP-complete.
2. Deciding whether a graph in  $P_{11}^{(0,2)}$  maps to  $T_6$  is NP-complete.
3. Deciding whether a bipartite graph in  $P_{10}^{(0,2)}$  maps to  $T_6$  is NP-complete.

A 2-edge-colored path or cycle is said to be *alternating* if any two adjacent edges have distinct colors.

**Proposition 8** (folklore).

- Every planar simple graph on  $n$  vertices has at most  $3n - 6$  edges.
- Every planar simple graph satisfies  $(\text{mad}(G) - 2) \cdot (g(G) - 2) < 4$ .

## 2 Proof of Theorem 3

We use the discharging method for both results in Theorem 3. The following lemma will handle the discharging part. We call a vertex of degree  $n$  an  $n$ -vertex and a vertex of degree at least  $n$  an  $n^+$ -vertex. If there is a path made only of 2-vertices linking two vertices  $u$  and  $v$ , we say that  $v$  is a weak-neighbor of  $u$ . If  $v$  is a neighbor of  $u$ , we also say that  $v$  is a weak-neighbor of  $u$ . We call a (weak-)neighbor of degree  $n$  an  $n$ -(weak-)neighbor.

**Lemma 9.** *Let  $k$  be a non-negative integer. Let  $G$  be a graph with minimum degree 2 such that every 3-vertex has at most  $k$  2-weak-neighbors and every path contains at most  $\frac{k+1}{2}$  consecutive 2-vertices. Then  $\text{mad}(G) \geq 2 + \frac{2}{k+2}$ . In particular,  $G$  cannot be a planar graph with girth at least  $2k + 6$ .*

*Proof.* Let  $G$  be as stated. Every vertex has an initial charge equal to its degree. Every  $3^+$ -vertex gives  $\frac{1}{k+2}$  to each of its 2-weak-neighbors. Let us check that the final charge  $ch(v)$  of every vertex  $v$  is at least  $2 + \frac{2}{k+2}$ .

- If  $d(v) = 2$ , then  $v$  receives  $\frac{1}{k+2}$  from each of its 3-weak-neighbors. Thus  $ch(v) = 2 + \frac{2}{k+2}$ .
- If  $d(v) = 3$ , then  $v$  gives  $\frac{1}{k+2}$  to each of its 2-weak-neighbors. Thus  $ch(v) \geq 3 - \frac{k}{k+2} = 2 + \frac{2}{k+2}$ .
- If  $d(v) = d \geq 4$ , then  $v$  has at most  $\frac{k+1}{2}$  2-weak-neighbors in each of the  $d$  incident paths. Thus  $ch(v) \geq d - d \left(\frac{k+1}{2}\right) \left(\frac{1}{k+2}\right) = \frac{d}{2} \left(1 + \frac{1}{k+2}\right) \geq 2 + \frac{2}{k+2}$ .

This implies that  $\text{mad}(G) \geq 2 + \frac{2}{k+2}$ . Finally, if  $G$  is planar, then the girth of  $G$  cannot be at least  $2k + 6$ , since otherwise  $(\text{mad}(G) - 2) \cdot (g(G) - 2) \geq \left(2 + \frac{2}{k+2} - 2\right) (2k + 6 - 2) = \left(\frac{2}{k+2}\right) (2k + 4) = 4$ , which contradicts Proposition 8.  $\square$

## 2.1 Proof of Theorem 3.1

We prove that the oriented planar graph  $\vec{T}_5$  on 5 vertices from Figure 1 is  $P_{28}^{(1,0)}$ -universal by contradiction. Assume that  $G$  is an oriented planar graph with girth at least 28 that does not admit a homomorphism to  $\vec{T}_5$  and is minimal with respect to the number of vertices. By minimality,  $G$  cannot contain a vertex  $v$  with degree at most one since a  $\vec{T}_5$ -coloring of  $G - v$  can be extended to  $G$ . Similarly,  $G$  does not contain the following configurations.

- A path with 6 consecutive 2-vertices.
- A 3-vertex with at least 12 2-weak-neighbors.

Suppose that  $G$  contains a path  $u_0u_1u_2u_3u_4u_5u_6u_7$  such that the degree of  $u_i$  is two for  $1 \leq i \leq 6$ . By minimality of  $G$ ,  $G - u_1, u_2, u_3, u_4, u_5, u_6$  admits a  $\vec{T}_5$ -coloring  $\varphi$ . We checked on a computer that for any  $\varphi(v_0)$  and  $\varphi(v_6)$  in  $V(\vec{T}_5)$  and every possible orientation of the 7 arcs  $u_iu_{i+1}$ , we can always extend  $\varphi$  into a  $\vec{T}_5$ -coloring of  $G$ , a contradiction.

Suppose that  $G$  contains a 3-vertex  $v$  with at least 12 2-weak-neighbors. Let  $u_1, u_2, u_3$  be the 3<sup>+</sup>-weak-neighbors of  $v$  and let  $l_i$  be the number of common 2-weak-neighbors of  $v$  and  $u_i$ , i.e., 2-vertices on the path between  $v$  and  $u_i$ . Without loss of generality and by the previous discussion, we have  $5 \geq l_1 \geq l_2 \geq l_3$  and  $l_1 + l_2 + l_3 \geq 12$ . So we have to consider the following cases:

- **Case 1:**  $l_1 = 5, l_2 = 5, l_3 = 2$ .
- **Case 2:**  $l_1 = 5, l_2 = 4, l_3 = 3$ .
- **Case 3:**  $l_1 = 4, l_2 = 4, l_3 = 4$ .

By minimality, the graph  $G'$  obtained from  $G$  by removing  $v$  and its 2-weak-neighbors admits a  $\vec{T}_5$ -coloring  $\varphi$ . Let us show that in all three cases, we can extend  $\varphi$  into a  $\vec{T}_5$ -coloring of  $G$  to get a contradiction.

With an extensive search on a computer we found that if a vertex  $v$  is connected to a vertex  $u$  colored in  $\varphi(u)$  by a path made of  $l$  2-vertices ( $0 \leq l \leq 5$ ) then  $v$  can be colored in:

- at least 1 color if  $l = 0$ ,
- at least 2 colors if  $l = 1$ ,
- at least 2 colors if  $l = 2$  (the sets  $\{c, d, e\}$  and  $\{b, c, d\}$  are the only sets of size 3 that can be forbidden from  $v$ ),
- at least 3 colors if  $l = 3$ ,
- at least 4 colors if  $l = 4$  and
- at least 4 colors if  $l = 5$  (only the sets  $\{b\}$ ,  $\{c\}$ , and  $\{e\}$  can be forbidden from  $v$ ).

In Case 1,  $u_3$  forbids at most 3 colors from  $v$  since  $l_3 = 2$ . If it forbids less than 3 colors, we will be able to find a color for  $v$  since  $u_1$  and  $u_2$  forbid at most 1 color from  $v$ . The only sets of 3 colors that  $u_3$  can forbid are  $\{b, c, d\}$  and  $\{c, d, e\}$ . Since  $u_1$  and  $u_2$  can each only forbid  $b, c$  or  $e$ , we can always find a color for  $v$ .

In Case 2,  $u_1$  and  $u_2$  each forbid at most one color and  $u_3$  forbids at most 2 colors so there remains at least one color for  $v$ .

In Case 3,  $u_1, u_2$ , and  $u_3$  each forbid at most one color, so there remains at least two colors for  $v$ .

We can always extend  $\varphi$  into a  $\vec{T}_5$ -coloring of  $G$ , a contradiction.

So  $G$  contains at most 5 consecutive 2-vertices and every 3-vertex has at most 11 2-weak-neighbors. Using Lemma 9 with  $k = 11$  contradicts the fact that the girth of  $G$  is at least 28.

## 2.2 Proof of Theorem 3.2

We prove that the 2-edge-colored planar graph  $T_6$  on 6 vertices from Figure 2 is  $P_{22}^{(0,2)}$ -universal by contradiction. Assume that  $G$  is a 2-edge-colored planar graphs with girth at least 22 that does not admit a homomorphism to  $T_6$  and is minimal with respect to the number of vertices. By minimality,  $G$  cannot contain a vertex  $v$  with degree at most one since a  $T_6$ -coloring of  $G - v$  can be extended to  $G$ . Similarly,  $G$  does not contain the following configurations.

- A path with 5 consecutive 2-vertices.
- A 3-vertex with at least 9 2-weak-neighbors.

Suppose that  $G$  contains a path  $u_0u_1u_2u_3u_4u_5u_6$  such that the degree of  $u_i$  is two for  $1 \leq i \leq 5$ . By minimality of  $G$ ,  $G - u_1, u_2, u_3, u_4, u_5$  admits a  $T_6$ -coloring  $\varphi$ . We checked on a computer that for any  $\varphi(v_0)$  and  $\varphi(v_6)$  in  $V(T)$  and every possible colors of the 6 edges  $u_iu_{i+1}$ , we can always extend  $\varphi$  into a  $T_6$ -coloring of  $G$ , a contradiction.

Suppose that  $G$  contains a 3-vertex  $v$  with at least 9 2-weak-neighbors. Let  $u_1, u_2, u_3$  be the 3<sup>+</sup>-weak-neighbors of  $v$  and let  $l_i$  be the number of common 2-weak-neighbors of  $v$  and  $u_i$ , i.e., 2-vertices on the path between  $v$  and  $u_i$ . Without loss of generality and by the previous discussion, we have  $4 \geq l_1 \geq l_2 \geq l_3$  and  $l_1 + l_2 + l_3 \geq 9$ . So we have to consider the following cases:

- **Case 1:**  $l_1 = 3, l_2 = 3, l_3 = 3$ .
- **Case 2:**  $l_1 = 4, l_2 = 3, l_3 = 2$ .
- **Case 3:**  $l_1 = 4, l_2 = 4, l_3 = 1$ .

By minimality of  $G$ , the graph  $G'$  obtained from  $G$  by removing  $v$  and its 2-weak-neighbors admits a  $T_6$ -coloring  $\varphi$ . Let us show that in all three cases, we can extend  $\varphi$  into a  $T_6$ -coloring of  $G$  to get a contradiction.

With an extensive search on a computer we found that if a vertex  $v$  is connected to a vertex  $u$  colored in  $\varphi(u)$  by a path  $P$  made of  $l$  2-vertices ( $0 \leq l \leq 4$ ) then  $v$  can be colored in:

- at least 1 color if  $l = 0$  (the sets  $a, c, d, e, f$  and  $b, c, d, e, f$  of colors are the only sets of size 5 that can be forbidden from  $v$  for some  $\varphi(u) \in T$  and edge-colors on  $P$ ),
- at least 2 colors if  $l = 1$  (the sets  $a, b, c, f$  and  $b, c, e, f$  are the only sets of size 4 that can be forbidden from  $v$ ),
- at least 3 colors if  $l = 2$  (the sets  $b, c, f, c, e, f$  and  $d, e, f$  are the only sets of size 3 that can be forbidden from  $v$ ),
- at least 4 colors if  $l = 3$  (the set  $c, b$  is the only set of size 2 that can be forbidden from  $v$ ), and
- at least 5 colors if  $l = 4$  (the sets  $c$  and  $f$  are the only sets of size 1 that can be forbidden from  $v$ ).

Suppose that we are in Case 1. Vertices  $u_1, u_2$ , and  $u_3$  each forbid at most 2 colors from  $v$  since  $l_1 = l_2 = l_3 = 3$ . Suppose that  $u_1$  forbids 2 colors. It has to forbid colors  $c$  and  $f$  (since it is the only pair of colors that can be forbidden by a path made of 3 2-vertices). If  $u_2$  or  $u_3$  also forbids 2 colors, they will forbid the exact same pair of colors. We can therefore assume that they each forbid 1 color from  $v$ . There are 6 available colors in  $T_6$ , so we can always find a color for  $v$  and extend  $\varphi$  to a  $T_6$ -coloring of  $G$ , a contradiction. We proceed similarly for the other two cases.

So  $G$  contains at most 4 consecutive 2-vertices and every 3-vertex has at most 8 2-weak-neighbors. Then Lemma 9 with  $k = 8$  contradicts the fact that the girth of  $G$  is at least 22.

### 3 Proof of Theorem 4.1

We construct an oriented bipartite cactus graph with girth at least  $g$  and oriented chromatic number at least 5. Let  $g'$  be such that  $g' \geq g$  and  $g' \equiv 4 \pmod{6}$ . Consider a circuit  $v_1, \dots, v_{g'}$ . Clearly, the oriented chromatic number of this circuit is 4 and the only tournament on 4 vertices it can map to is the tournament  $\vec{T}_4$  induced by the vertices  $a, b, c$ , and  $d$  in  $\vec{T}_5$ . Now we consider the cycle  $C = w_1, \dots, w_{g'}$  containing the arcs  $w_{2i-1}w_{2i}$  with  $1 \leq i \leq g'/2$ ,  $w_{2i+1}w_{2i}$  with  $1 \leq i \leq g'/2 - 1$ , and  $w_{g'}w_1$ .

Suppose for contradiction that  $C$  admits a homomorphism  $\varphi$  such that  $\varphi(w_1) = d$ . This implies that  $\varphi(w_2) = a$ ,  $\varphi(w_3) = d$ ,  $\varphi(w_4) = a$ , and so on until  $\varphi(w_{g'}) = a$ . Since  $\varphi(w_{g'}) = a$  and  $\varphi(w_1) = d$ ,  $w_{g'}w_1$  should map to  $ad$ , which is not an arc of  $\vec{T}_4$ , a contradiction.

Our cactus graph is then obtain from the circuit  $v_1, \dots, v_{g'}$  and  $g'$  copies of  $C$  by identifying every vertex  $v_i$  with the vertex  $w_1$  of a copy of  $C$ . This cactus graph does not map to  $\vec{T}_4$  since one of the  $v_i$  would have to map to  $d$  and then the copy of  $C$  attached to  $v_i$  would not be  $\vec{T}_4$ -colorable.

### 4 Proof of Theorem 4.2

We construct a 2-edge-colored bipartite outerplanar graph with girth at least  $g$  that does not map to a 2-edge-colored planar graph with at most 5 vertices. Let  $g'$  be such that  $g' \geq g$  and  $g' \equiv 2 \pmod{4}$ . Consider an alternating cycle  $C = v_0, \dots, v_{g'-1}$ . For every  $0 \leq i \leq g' - 3$ , we add  $g' - 2$  2-vertices  $w_{i,1}, \dots, w_{i,g'-2}$  that form the path  $P_i = v_i w_{i,1} \dots w_{i,g'-2} v_{i+1}$  such that the edges of  $P_i$  get the color distinct from the color of the edge  $v_i v_{i+1}$ . Let  $G$  be the obtained graph. The 2-edge-colored chromatic number of  $C$  is 5. So without loss of generality, we assume for contradiction that  $G$  admits a homomorphism  $\varphi$  to a 2-edge-colored planar graph  $H$  on 5 vertices. Let us define  $\mathcal{E} = \bigcup_{i \text{ even}} \varphi(v_i)$  and  $\mathcal{O} = \bigcup_{i \text{ odd}} \varphi(v_i)$ . Since  $C$  is alternating,  $\varphi(v_i) \neq \varphi(v_{i+2})$  (indices are modulo  $g'$ ). Since  $g' \equiv 2 \pmod{4}$ , there is an odd number of  $v_i$  with an even (resp. odd) index. Thus,  $|\mathcal{E}| \geq 3$  and  $|\mathcal{O}| \geq 3$ . Therefore we must have  $\mathcal{E} \cap \mathcal{O} \neq \emptyset$ .

Notice that every two vertices  $v_i$  and  $v_j$  in  $G$  are joined by a blue path and a red path such that the lengths of these paths have the same parity as  $i - j$ . Thus, the blue (resp. red) edges of  $H$  must induce a connected spanning subgraph of  $H$ . Since  $|V(H)| = 5$ ,  $H$  contains at least 4 blue (resp. red) edges. Since red and blue edges play symmetric roles in  $G$  and since  $|E(H)| \leq 9$  by Proposition 8, we assume without loss of generality that  $H$  contains exactly 4 blue edges. Moreover, these 4 blue edges induce a tree. In particular, the blue edges induce a bipartite graph which partitions  $V(H)$  into 2 parts. Thus, every  $v_i$  with even index is mapped into one part of  $V(H)$  and every  $v_i$  with odd index is mapped into the other part of  $V(H)$ . So  $\mathcal{E} \cap \mathcal{O} = \emptyset$ , which is a contradiction.

### 5 Proof of Theorem 2

Let  $T$  be a  $P_g^{(m,n)}$ -universal planar graph for some  $g$  that is minimal with respect to the subgraph order.

By minimality of  $T$ , there exists a graph  $G \in P_g^{(m,n)}$  such that every color in  $T$  has to be used at least once to color  $G$ . Without loss of generality,  $G$  is connected, since otherwise we can replace  $G$  by the connected graph obtained from  $G$  by choosing a vertex in each component of  $G$  and identifying them. We obtain a graph  $G'$  from  $G$  as follows:

For each edge or arc  $uv$  in  $G$ , we keep  $uv$  in  $G'$  and we add  $4m + n$  paths starting at  $u$  and ending at  $v$  made of vertices of degree 2:

- For each type of edge, we add a path made of  $g - 1$  edges of this type.
- For each type of arc, we add two paths made of  $g - 1$  arcs of this type such that the paths alternate between forward and backward arcs. We make the paths such that  $u$  is the tail of the first arc of one path and the head of the first arc of the other path.

- Similarly, for each type of arc we add two paths made of  $g$  arcs of this type such that the paths alternate between forward and backward arcs. We make the paths such that  $u$  is the tail of the first arc of one path and the head of the first arc of the other path.

Notice that  $G'$  is in  $P_g^{(m,n)}$  and thus admits a homomorphism  $\varphi$  to  $T$ . Since  $G$  is a connected subgraph of  $G'$  and every color in  $T$  has to be used at least once to color  $G$ , we can find for each pair of vertices  $(c_1, c_2)$  in  $T$  and each type of edge a path  $(v_1, v_2, \dots, v_l)$  in  $G'$  made only of edges of this type such that  $\varphi(v_1) = c_1$  and  $\varphi(v_l) = c_2$ .

This implies that for every pair of vertices  $(c_1, c_2)$  in  $T$  and each type of edge, there exists a walk from  $c_1$  to  $c_2$  made of edges of this type. Therefore, for  $1 \leq j \leq n$ , the subgraph induced by  $E_j(T)$  is connected and contains all the vertices of  $T$ . So  $E_j(T)$  contains a spanning tree of  $T$ . Thus  $T$  contains at least  $|V(T)| - 1$  edges of each type.

Similarly, we can find for each pair of vertices  $(c_1, c_2)$  in  $T$  and each type of arc a path of even length  $(v_1, v_2, \dots, v_{2l-1})$  in  $G'$  made only of arcs of this type, starting with a forward arc and alternating between forward and backward arcs such that  $\varphi(v_1) = c_1$  and  $\varphi(v_l) = c_2$ . We can also find a path of the same kind with odd length.

This implies that for every pair of vertices  $(c_1, c_2)$  in  $T$  and each type of arc there exist a walk of odd length and a walk of even length from  $c_1$  to  $c_2$  made of arcs of this type, starting with a forward arc and alternating between forward and backward arcs. Let  $p$  be the maximum of the length of all these paths. Given one of these walks of length  $l$ , we can also find a walk of length  $l + 2$  that satisfies the same constraints by going through the last arc of the walk twice more. Therefore, for every  $l \geq p$ , every pair of vertices  $(c_1, c_2)$  in  $T$ , and every type of arc, it is possible to find a homomorphism from the path  $P$  of length  $l$  made of arcs of this type, starting with a forward arc and alternating between forward and backward arcs to  $T$  such that the first vertex is colored in  $c_1$  and the last vertex is colored in  $c_2$ .

We now show that this implies that  $|A_j(T)| \geq 2|V(T)| - 1$  for  $1 \leq j \leq m$ . Let  $P$  be a path  $(v_1, v_2, \dots, v_p, v_{p+1})$  of length  $p$  starting with a forward arc and alternating between forward and backward arcs of the same type. We color  $v_1$  in some vertex  $c$  of  $T$ . Let  $C_i$  be the set of colors in which vertex  $v_i$  could be colored. We know that  $C_1 = c$  and  $C_2$  is the set of direct successors of  $c$ . Set  $C_3$  is the set of direct predecessors of vertices in  $C_2$  so  $C_1 \subseteq C_3$  and, more generally,  $C_i \subseteq C_i + 2$ . Let  $uv$  be an arc in  $T$ . If  $u \in C_i$  with  $i$  odd, then  $v \in C_{i+1}$ . If  $v \in C_i$  with  $i$  even then  $u \in C_{i+1}$ . We can see that  $uv$  is capable of adding at most one vertex to a  $C_i$  (and every  $C_j$  with  $j \equiv i \pmod{2}$  and  $i \leq j$ ). We know that  $C_{p+1} = V(T)$  hence  $T$  contains at least  $2|V(T)| - 1$  arcs of each type.

Therefore, the underlying graph of  $T$  contains at least  $m(2|V(T)| - 1) + n(|V(T)| - 1) = (2m + n)|V(T)| - m - n$  edges, which contradicts Proposition 8 for  $2m + n \geq 3$ .

## 6 Proof of Theorem 5.1

We construct an oriented bipartite 2-outerplanar graph with girth 14 that does not map to  $\vec{T}_5$ .

The oriented graph  $X$  is a cycle on 14 vertices  $v_0, \dots, v_{13}$  such that the tail of every arc is the vertex with even index, except for the arc  $v_{13}v_0$ . Suppose for contradiction that  $X$  has a  $\vec{T}_5$ -coloring  $h$  such that no vertex with even index maps to  $b$ . The directed path  $v_{12}v_{13}v_0$  implies that  $h(v_{12}) \neq h(v_0)$ . If  $h(v_0) = a$ , then  $h(v_1) \in \{b, c\}$  and  $h(v_2) = a$  since  $h(v_2) \neq b$ . By contagion,  $h(v_0) = h(v_2) = \dots = h(v_{12}) = a$ , which is a contradiction. Thus  $h(v_0) \neq a$ . If  $h(v_0) = c$ , then  $h(v_1) = d$  and  $h(v_2) = c$  since  $h(v_2) \neq b$ . By contagion,  $h(v_0) = h(v_2) = \dots = h(v_{12}) = c$ , which is a contradiction. Thus  $h(v_0) \neq c$ . So  $h(v_0) \notin \{a, b, c\}$ , that is,  $h(v_0) \in \{d, e\}$ . Similarly,  $h(v_{12}) \in \{d, e\}$ . Notice that  $\vec{T}_5$  does not contain a directed path  $xyz$  such that  $x$  and  $z$  belong to

$\{d, e\}$ . So the path  $v_{12}v_{13}v_0$  cannot be mapped to  $\vec{T}_5$ . Thus  $X$  does not have a  $\vec{T}_5$ -coloring  $h$  such that no vertex with even index maps to  $b$ .

Consider now the path  $P$  on 7 vertices  $p_0, \dots, p_6$  with the arcs  $\overrightarrow{p_1p_0}, \overrightarrow{p_1p_2}, \overrightarrow{p_3p_2}, \overrightarrow{p_4p_3}, \overrightarrow{p_5p_4}, \overrightarrow{p_5p_6}$ . It is easy to check that there exists no  $\vec{T}_5$ -coloring  $h$  of  $P$  such that  $h(p_0) = h(p_6) = b$ .

We construct the graph  $Y$  as follows: we take 8 copies of  $X$  called  $X_{\text{main}}, X_0, X_2, X_4, \dots, X_{12}$ . For every couple  $(i, j) \in \{0, 2, 4, 6, 8, 10, 12\}^2$ , we take a copy  $P_{i,j}$  of  $P$ , we identify the vertex  $p_0$  of  $P_{i,j}$  with the vertex  $v_i$  of  $X_{\text{main}}$  and we identify the vertex  $p_6$  of  $P_{i,j}$  with the vertex  $v_j$  of  $H_i$ .

So  $Y$  is our oriented bipartite 2-outerplanar graph with girth 14. Suppose for contradiction that  $Y$  has a  $\vec{T}_5$ -coloring  $h$ . By previous discussion, there exists  $i \in \{0, 2, 4, 6, 8, 10, 12\}$  such that the vertex  $v_i$  of  $X_{\text{main}}$  maps to  $b$ . Also, there exists  $j \in \{0, 2, 4, 6, 8, 10, 12\}$  such that the vertex  $v_j$  of  $X_i$  maps to  $b$ . So the corresponding path  $P_{i,j}$  is such that  $h(p_0) = h(p_6) = b$ , a contradiction. Thus  $Y$  does not map to  $\vec{T}_5$ .

## 7 Proof of Theorem 5.2

We construct a 2-edge-colored 2-outerplanar graph with girth 11 that does not map to  $T_6$ . We take 12 copies  $X_0, \dots, X_{11}$  of a cycle of length 11 such that every edge is red. Let  $v_{i,j}$  denote the  $j^{\text{th}}$  vertex of  $X_i$ . For every  $0 \leq i \leq 10$  and  $0 \leq j \leq 10$ , we add a path consisting of 5 blue edges between  $v_{i,11}$  and  $v_{j,i}$ .

Notice that in any  $T_6$ -coloring of a red odd cycle, one vertex must map to  $c$ . So we suppose without loss of generality that  $v_{0,11}$  maps to  $c$ . We also suppose without loss of generality that  $v_{0,0}$  maps to  $c$ . The blue path between  $v_{0,11}$  and  $v_{0,0}$  should map to a blue walk of length 5 from  $c$  to  $c$  in  $T_6$ . Since  $T_6$  contains no such walk, our graph does not map to  $T_6$ .

## 8 Proof of Theorem 5.3

We construct a 2-edge-colored bipartite 2-outerplanar graph with girth 10 that does not map to  $T_6$ . By Theorem 4.2, there exists a bipartite outerplanar graph  $M$  with girth at least 10 such that for every  $T_6$ -coloring  $h$  of  $M$ , there exists a vertex  $v$  in  $M$  such that  $h(v) = c$ .

Let  $X$  be the graph obtained as follows. Take a main copy  $Y$  of  $M$ . For every vertex  $v$  of  $Y$ , take a copy  $Y_v$  of  $M$ . Since  $Y_v$  is bipartite, let  $A$  and  $B$  the two independent sets of  $Y_v$ . For every vertex  $w$  of  $A$ , we add a path consisting of 5 blue edges between  $v$  and  $w$ . For every vertex  $w$  of  $B$ , we add a path consisting of 4 edges colored (blue, blue, red, blue) between  $v$  and  $w$ .

Notice that  $X$  is indeed a bipartite 2-outerplanar graph with girth 10. We have seen in the previous proof that  $T_6$  contains no blue walk of length 5 from  $c$  to  $c$ . We also check that  $T_6$  contains no walk of length 4 colored (blue, blue, red, blue) from  $c$  to  $c$ . By the property of  $M$ , for every  $T_6$ -coloring  $h$  of  $X$ , there exist a vertex  $v$  in  $Y$  and a vertex  $w$  in  $Y_v$  such that  $h(v) = h(w) = c$ . Then  $h$  cannot be extended to the path of length 4 or 5 between  $v$  and  $w$ . So  $X$  does not map to  $T_6$ .

## 9 Proof of Theorem 6.1

Let  $g$  be the largest integer such that there exists a graph in  $P_g^{(1,0)}$  that does not map to  $\vec{T}_5$ . Let  $G \in P_g^{(1,0)}$  be a graph that does not map to  $\vec{T}_5$  and such that the underlying graph of  $G$  is minimal with respect to the homomorphism order.

Let  $G'$  be obtained from  $G$  by removing an arbitrary arc  $v_0v_3$  and adding two vertices  $v_1$  and  $v_2$  and the arcs  $v_0v_1, v_2v_1, v_2v_3$ . By minimality,  $G'$  admits a homomorphism  $\varphi$  to  $\vec{T}_5$ . Suppose for contradiction that  $\varphi(v_2) = c$ . This implies that  $\varphi(v_1) = \varphi(v_3) = d$ . Thus  $\varphi$  provides a  $\vec{T}_5$ -coloring of  $G$ , a contradiction. So  $\varphi(v_2) \neq c$  and, similarly,  $\varphi(v_2) \neq e$ .

Given a set  $S$  of vertices of  $\vec{T}_5$ , we say that we force  $S$  if we specify a graph  $H$  and a vertex  $v \in V(H)$  such that for every vertex  $x \in V(\vec{T}_5)$ , we have  $x \in S$  if and only if there exists a

$\vec{T}_5$ -coloring  $\varphi$  of  $H$  such that  $\varphi(v) = x$ . Thus, with the graph  $G'$  and the vertex  $v_2$ , we force a non-empty set  $\mathcal{S} \subset V(\vec{T}_5) \setminus \{c, e\} = \{a, b, d\}$ .

We use a series of constructions in order to eventually force the set  $\{a, b, c, d\}$  starting from  $\mathcal{S}$ . Recall that  $\{a, b, c, d\}$  induces the tournament  $\vec{T}_4$ . We thus reduce  $\vec{T}_5$ -coloring to  $\vec{T}_4$ -coloring, which is NP-complete for subcubic bipartite planar graphs with any given girth [4].

These constructions are summarized in the tree depicted in Figure 3. The vertices of this forest contain the non-empty subsets of  $\{a, b, d\}$  and a few other sets. In this tree, an arc from  $S_1$  to  $S_2$  means that if we can force  $S_1$ , then we can force  $S_2$ . Every arc has a label indicating the construction that is performed. In every case, we suppose that  $S_1$  is forced on the vertex  $v$  of a graph  $H_1$  and we construct a graph  $H_2$  that forces  $S_2$  on the vertex  $w$ .

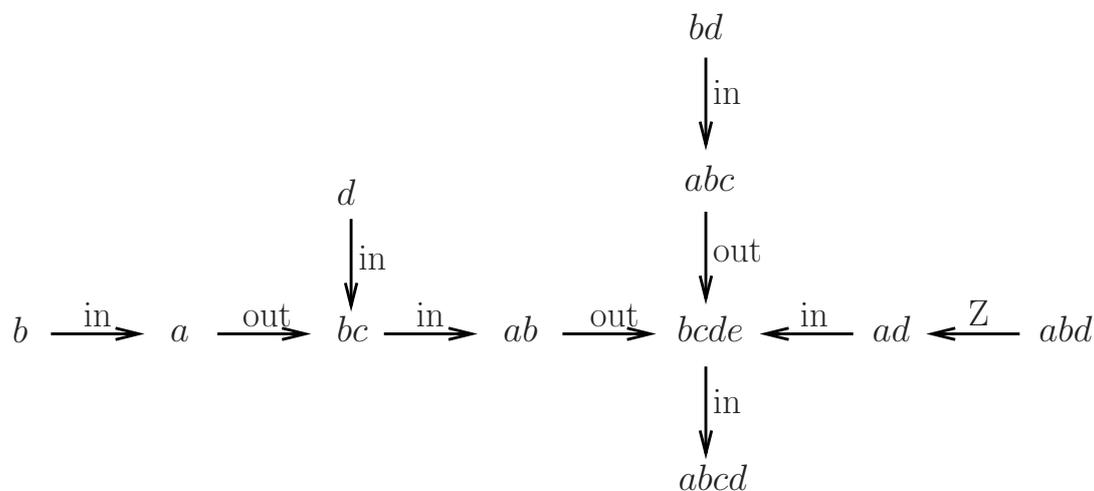


Figure 3: Forcing the set  $\{a, b, c, d\}$ .

- Arcs labelled "out": The set  $S_2$  is the out-neighborhood of  $S_1$  in  $\vec{T}_5$ . We construct  $H_2$  from  $H_1$  by adding a vertex  $w$  and the arc  $vw$ . Thus,  $S_2$  is indeed forced on the vertex  $w$  of  $H_2$ .
- Arcs labelled "in": The set  $S_2$  is the in-neighborhood of  $S_1$  in  $\vec{T}_5$ . We construct  $H_2$  from  $H_1$  by adding a vertex  $w$  and the arc  $wv$ . Thus,  $S_2$  is indeed forced on the vertex  $w$  of  $H_2$ .
- Arc labelled "Z": Let  $g'$  be the smallest integer such that  $g' \geq g$  and  $g' \equiv 4 \pmod{6}$ . We consider a circuit  $v_1, \dots, v_{g'}$ . For  $2 \leq i \leq g'$ , we take a copy of  $H_1$  and we identify its vertex  $v$  with  $v_i$ . We thus obtain the graph  $H_2$  and we set  $w = v_2$ . Let  $\varphi$  be any  $T_6$ -coloring of  $H_2$ . By construction,  $\{\varphi(v_2), \dots, \varphi(v_{g'})\} \subset S_1 = \{a, b, d\}$ . A circuit of length  $\not\equiv 0 \pmod{3}$  cannot map to the 3-circuit induced by  $\{a, b, d\}$ , so  $\varphi(v_1) \in \{c, e\}$ . If  $\varphi(v_1) = c$  then  $\varphi(v_2) = d$  and if  $\varphi(v_1) = e$  then  $\varphi(v_2) = a$ . Thus  $S_2 = \{ad\}$ .

## 10 Proof of Theorem 6.2

Let  $g$  be the largest integer such that there exists a graph in  $P_g^{(0,2)}$  that does not map to  $T_6$ . Let  $G \in P_g^{(0,2)}$  be a graph that does not map to  $T_6$  and such that the underlying graph of  $G$  is minimal with respect to the homomorphism order.

Let  $G'$  be obtained from  $G$  by subdividing an arbitrary edge  $v_0v_3$  twice to create the path  $v_0v_1v_2v_3$  such that the edges  $v_0v_1$  and  $v_1v_2$  are red and the edge  $v_2v_3$  gets the color of the original edge  $v_0v_3$ . By minimality,  $G'$  admits a homomorphism  $\varphi$  to  $T_6$ . Suppose for contradiction



- Blue arcs: The set  $S_2$  is the blue neighborhood of  $S_1$  in  $T_6$ . We construct  $H_2$  from  $H_1$  by adding a vertex  $w$  adjacent to  $v$  such that  $vw$  is blue. Thus,  $S_2$  is indeed forced on the vertex  $w$  of  $H_2$ .
- Red arcs: The set  $S_2$  is the red neighborhood of  $S_1$  in  $T_6$ . The construction is as above except that the edge  $vw$  is red.
- Dashed blue arcs: The set  $S_2$  is the set of vertices incident to a blue edge contained in the subgraph induced by  $S_1$  in  $T_6$ . We construct  $H_2$  from two copies of  $H_1$  by adding a blue edge between the vertex  $v$  of one copy and the vertex  $v$  of the other copy. Then  $w$  is one of the vertices  $v$ .
- Dashed red arcs: The set  $S_2$  is the set of vertices incident to a red edge contained in the subgraph induced by  $S_1$  in  $T_6$ . The construction is as above except that the added edge is red.
- Arc labelled "X": Let  $g' = 2 \lceil g/2 \rceil$ . We consider an even cycle  $v_1, \dots, v_{g'}$  such that  $v_1v_{g'}$  is red and the other edges are blue. For every vertex  $v_i$ , we take a copy of  $H_1$  and we identify its vertex  $v$  with  $v_i$ . We thus obtain the graph  $H_2$  and we set  $w = v_1$ . Let  $\varphi$  be any  $T_6$ -coloring of  $H_2$ . In any  $T_6$ -coloring of  $H_2$ , the cycle  $v_1, \dots, v_{g'}$  maps to a 4-cycle with exactly one red edge contained in the subgraph of  $T_6$  induced by  $S_1 = \{a, b, c, d, e\}$ . These 4-cycles are  $aedb$  with red edge  $ae$  and  $cdba$  with red edge  $cd$ . Since  $w$  is incident to the red edge in the cycle  $v_1, \dots, v_{g'}$ ,  $w$  can be mapped to  $a, e, c$ , or  $d$  but not to  $b$ . Thus  $S_2 = \{a, c, d, e\}$ .
- Arc labelled "Y": We consider an alternating cycle  $v_0, \dots, v_{8g-1}$ . For every vertex  $v_i$ , we take a copy of  $H_1$  and we identify its vertex  $v$  with  $v_i$ . We obtain the graph  $H_2$  by adding the vertex  $x$  adjacent to  $v_0$  and  $v_{4g+2}$  such that  $xv_0$  and  $xv_{4g+2}$  are blue. We set  $w = v_0$ . In any  $T_6$ -coloring  $\varphi$  of  $H_2$ , the cycle  $v_1, \dots, v_{g'}$  maps to the alternating 4-cycle  $acde$  contained in  $S_1 = \{a, c, d, e\}$  such that  $\varphi(v_i) = \varphi(v_{i+4 \pmod{8g}})$ . So, a priori, either  $\{\varphi(v_0), \varphi(v_{4g+2})\} = \{a, d\}$  or  $\{\varphi(v_0), \varphi(v_{4g+2})\} = \{c, e\}$ . In the former case, we can extend  $\varphi$  to  $H_2$  by setting  $\varphi(x) = b$ . In the latter case, we cannot color  $x$  since  $c$  and  $e$  have no common blue neighbor in  $T_6$ . Thus,  $\{\varphi(v_0), \varphi(v_{4g+2})\} = \{a, d\}$  and  $S_2 = \{a, d\}$ .

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