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Multivariable $L_1$ Adaptive Depth and Attitude Control of MEROS Underwater Robot With Real-Time Experiments

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Abstract: This paper deals with $L_1$ adaptive control of a novel omnidirectional underwater vehicle called MEROS. To the best of our knowledge, this is the first study of depth and attitude (i.e. $\phi$, $\theta$, and $\psi$) tracking control on an underwater vehicle. We experimentally prove the effectiveness of the proposed control approach by commanding in closed-loop simultaneously the four aforementioned degrees of freedom (DoFs). The dynamic model of MEROS is highly nonlinear with coupling effects between the different DoFs making its stabilization and tracking control more challenging than conventional ROVs (remotely operated vehicles). Therefore, the use of a robust controller becomes essential, and the proposed scheme allows to ensure robustness whilst fast adaptation is achieved. Real-time experiments are performed to show the effectiveness of the proposed control design.

Keywords: Underwater robot, Mechatronic systems, Motion Control, Autonomous robotic systems, Attitude control, Robotics technology, $L_1$ adaptive control.

1. INTRODUCTION

During the last decades, small and medium ROVs, able to perform complex inspection tasks are getting an increasing attention in many applications including ship hull inspection, scientific and archaeological researches, aquaculture farms, dams and wind parks inspection, and also military applications. For those tasks, the need of a sophisticated control solution in order to successfully perform the aforementioned mission is of high interest. Typically, the robot should be able to follow a desired reference trajectory autonomously in spite of external disturbances and parametric uncertainties.

Different advanced control techniques have been proposed so far in the literature in order to perform this objective. One can cite nonlinear PID control Guerrero et al. (2020b), model predictive control Molero et al. (2011), robust $H_\infty$ control Roche et al. (2011), sliding mode control Pisano and Usai (2004), Shehu Tijjani et al. (2021), intelligent control Chang and Liu (2003), adaptive control Maalouf et al. (2015a), inverse dynamics control Salumae et al. (2016), and data-fusion control Remmas et al. (2021). These studies highlighted two important points related to underwater vehicles control. First, their dynamic models are difficult to identify because of their inherent nonlinearities and their evolution in a changing environment (salinity, buoyancy, currents, etc.). The second point is related to the robustness which is an important issue (persistent presence of external disturbances, unknown parameters, unprecise estimation). This motivates the development of adaptive controllers for such systems by many authors Guerrero et al. (2020a); Shehu Tijjani et al. (2021); Sun and Cheah (2009); Zhao and Yuh (2005). In these studies, the presented controllers have some drawbacks such as: a) the necessity of an adequate parameter initialization depending on the system configuration, we loose then the intuitiveness in the control tuning b) the increase in the adaptation gains that may lead to instability Antonelli (2007) and therefore, a compromise with good performances has to be found, and c) The need for the persistency in excitation can lead to a bad transient behaviour. To avoid the aforementioned drawbacks in adaptive control, Hovakimyan and Cao (2010) proposed $L_1$ adaptive control in order to guarantee robustness performances decoupled from adaptation. Indeed, it has the major advantage of ensuring good performances without any need of appropriate parameter initialization as it was shown on a small ROV in Maalouf et al. (2012, 2015b) for only 2 DoFs.

In most of the mentioned studies, the authors presented either only simulation results Fjelstad and Fossen (1994); Li et al. (2004); Perrier and Canudas-De-Witt (1996); Roche et al. (2011); Sun and Cheah (2009) or real-time experiments on one DoF close-loop control Smallwood and Whitcomb (2002) Molero et al. (2011) or two DoFs Kreuzer (1996); Steenson et al. (2012). Some authors presented a simultaneous control of position ($x$, $y$ and $z$) Yuh and Nie (2000), and others presented position and yaw control Hoang and Kreuzer (2008), or in some cases a stabilization of pitch and roll is added without any change in the desired attitude Zhao and Yuh (2005). According to our best knowledge, this is the first study presenting trajectory tracking of attitude (yaw pitch roll) which is necessary for complex inspection tasks.

The depth ($z$) is also controlled in closed-loop and the two remaining translational degrees of freedom ($x$ and $y$ for surge
and sway) are controlled in open loop by an operator. We talk about co-control concept: the goal here is to design a ROV with advanced capabilities (especially in orientations) while facilitating the inspection tasks enabling its control by one operator with no experience\footnote{Currently, industrial ROVs need at least two operators, one experienced operator for controlling the ROV, and a second one for visual inspection.}. The choice of not instrumenting the surge and sway is motivated by our desire to design a compact and relatively inexpensive ROV for a larger industrial use.

The rest of the paper is organized as follows. In section 2, MEROS prototype is presented as well as its dynamic modeling. In section 3, the proposed $\mathcal{C}_1$ adaptive control theory and its application to MEROS model are introduced. Then, the obtained experimental results are presented and discussed in section 4. Finally, conclusions and future works are given in the last section.

2. DESCRIPTION AND MODELLING OF MEROS UNDERWATER VEHICLE

2.1 Description of the underwater vehicle MEROS

MEROS is a six-degree-of-freedom omnidirectional underwater vehicle controlled by six thrusters Izard et al. (2015). It is shown in figure 1. It has been designed for inspection tasks up to 100$m$ of maximum depth. It is a mini-ROV that can be carried by one operator as it has a weight of only $13Kg$. Four degrees of freedom are controlled in closed loop (depth, roll, pitch, yaw), the two remaining degrees of freedom (translation X and Y) being controlled with a gamepad in open loop by the operator.

![Fig. 1. General view of MEROS underwater vehicle.](image)

MEROS was inspired from Pierrot et al. (1998) but adapted after some simulations (using Solidworks Flow Simulation) in order to limit the hydrodynamic effects between the thrusters. Two sensors are used: a pressure sensor (Series 33X from Keller) for depth and an inertial measurement unit (MTi from Xsens) for getting the orientation and the angular velocities. The IMU is the only sensor that may give data (acceleration) of surge and sway, but it is not enough accurate (drift effect due to integration) to be used for feedback control. MEROS has an isotropic configuration: the same forces and torques are possible in six DoFs. This may add some challenging issues in the control design due to the coupling effects and possible hydrodynamic disturbing forces. The necessity of designing a compact and low cost vehicle was also taken into account in the thruster choice. Indeed, the most suitable propellers in terms of compactness, cost and performance, were the model 280 from Tecnadyne. They have the major advantage of being compact enough when embedding an integrated controller which facilitates greatly the electronic design of the ROV. The control is performed through a computer and communication is done via the 90$m$ umbilical that embed a power cable for the thrusters and an RS485 cable for communicating with the sensors.

2.2 MEROS kinematics and dynamics

**Kinematic model** The kinematics of an underwater vehicle can be expressed in two frames (figure 2): the earth-fixed frame $(x_e, y_e, z_e)$ and the body-fixed frame $(x_b, y_b, z_b)$. They are represented by the following vectors:

$$\eta = [x, y, z, \phi, \theta, \psi]$$

(1)

$$v = [u, v, w, p, q, r]$$

(2)

$$\dot{\eta} = J(\eta)v$$

(3)

$\eta$ is the vector of cartesian positions and Euler angles in the earth-fixed frame space, $v$ is the vector of velocities in the body-fixed frame and $J(\eta) \in \mathbb{R}^{6 \times 6}$ represents the jacobian transformation matrix mapping the body and earth-fixed frames.

![Fig. 2. Illustration of the reference frames of MEROS $(x_e, y_e, z_e$: earth-fixed frame, $x_b, y_b, z_b$: body-fixed frame).](image)

**Dynamic model** The dynamic model of a ROV makes the link between the forces/torques applied on the vehicle and its positions, velocities and accelerations. A general model was proposed by Fossen (2002), and is based on SNAME notations. It can be written in a matrix form as:

$$M\ddot{v} + C(v)\dot{v} + D(v)v + g(\eta) = \tau + \omega_d$$

(4)

where the model matrices $M \in \mathbb{R}^{6 \times 6}$, $C \in \mathbb{R}^{6 \times 6}$ and $D \in \mathbb{R}^{6 \times 6}$ represent respectively the inertia (including added mass), Coriolis-Centripetal forces, and damping. $g$ is the vector of weight and buoyancy, $\tau \in \mathbb{R}^{6 \times 1}$ represents the vector of the control inputs and $\omega_d \in \mathbb{R}^{6 \times 1}$ represents external disturbances. As in Maalouf et al. (2015b), and as the final use of the robot will be for inspection tasks a relatively low velocity, we assume that Coriolis terms can be neglected $\dot{C}(v)v \approx 0$ leading to:

$$M\ddot{v} + D(v)v + g(\eta) = \tau + \omega_d$$

(5)

Equation (5) expresses the dynamics in the body-fixed frame, and it can be transformed in the earth-fixed frame using the following transformations Fossen (2002):
\[ \ddot{y} = J(\eta)v \]
\[ \ddot{M} = J^{-T}(\eta)v + \dot{J}(\eta)v \]
\[ M^*(\eta) = J^{-T}(\eta)M J^{-1}(\eta) \]
\[ D^*(\eta) = J^{-T}(\eta)D(\eta)J^{-1}(\eta) \]
\[ g^*(\eta) = J^{-T}(\eta)g(\eta) \]
\[ \tau^* = J^{-T}(\eta)\tau \]
\[ \omega_d^* = J^{-T}(\eta)\omega_d \]

with \( J^{-T} = (J^{-1})^T \). The vehicle’s dynamics in the earth-fixed frame can be expressed as follows:

\[ \dot{x} = A \dot{x} + B_1 u + B_2 w \]
\[ y = C \dot{x} \]
\[ x(0) = x_0 \]
\[ y(0) = C x(0) \]

\[ x_1(\tau) \in \mathbb{R}^n \]
\[ x_2(\tau) \in \mathbb{R}^n \]

\[(9)\]

\[(10)\]

\[(11)\]

\[(12)\]
These parameters are estimated using the error between the controlled system and the state predictor, and a projection operator is used to ensure their boundedness. The adaptation law of each estimated parameter is written as follows:

\[ \dot{\hat{\theta}}(t) = \Gamma \text{proj} \tilde{\theta}(t) - (\tilde{x}^T(t) PB_m)^T \|x(t)\|_\infty \]

\[ \dot{\hat{\sigma}}(t) = \Gamma \text{proj} \tilde{\sigma}(t) - (\tilde{x}^T(t) PB_m)^T \]

\[ \dot{\hat{\omega}}(t) = \Gamma \text{proj} \tilde{\omega}(t) - (\tilde{x}^T(t) PB_m)^T u_m^T(t) \]

(13)

\( \Gamma \) is the adaptation gain and \( \tilde{x}(t) = \tilde{z}(t) - x(t) \) is the prediction error. \( P \) is the solution of the algebraic Lyapunov equation: \( A_m^T P + PA_m = -Q \) for any arbitrary symmetric positive definite matrix \( Q = Q^T > 0 \).

- Control law with low pass filter:

The control input \( u_a \) is calculated with a low pass filter. It takes the following form in the Laplace domain:

\[ u_a(s) = -kD(d)\hat{q}_i(s) - g_\sigma r \]

(14)

\[ \hat{q}_i = \hat{\omega} u_a + \hat{\theta} \|x(t)\|_\infty + \hat{\sigma}(t) \]

(15)

\( k \) is a positive feedback gain, \( D(s) \) is an \( m \times m \) strictly proper transfer matrix that leads to the stable closed-loop filter: 
\[ C(s) = \frac{D(s)}{s + kD(s)} \]

where \( s \) is the Laplace variable. \( k_\sigma = -(CA_m^{-1}B_m)^{-1} \) is a feed-forward pre-filter applied to the reference signal \( r(t) \). The feedback gain \( k \) and the filter \( D(s) \) must be chosen to fulfill the \( L_1 \) norm condition in order to ensure the stability of the closed-loop system. The proof of stability can be found in Hovakimyan and Cao (2010).

The overall control architecture is detailed in the block-diagram of Fig. 4.

3.2 Application to control MEROS underwater vehicle

In this paper, the architecture \( L_1 \) adaptive control architecture is applied to control four degrees of freedom (depth and attitude of MEROS vehicle). Combining equations (8) and (10) gives:

\[ \begin{bmatrix} \eta_1 \\ \eta_2 \end{bmatrix} = \begin{bmatrix} 0_{4 \times 4} & I_4 \\ 0_{4 \times 4} & M_\omega^{-1} \end{bmatrix} \begin{bmatrix} \eta_1 \\ \eta_2 \end{bmatrix} - \frac{1}{\omega_c} \begin{bmatrix} \omega u_a + \theta(t) \|\eta(t)\|_\infty + \sigma(t) \\ \end{bmatrix} \]

(16)

In this equation, \( \eta_1 = [z \ \varphi \ \psi]^T, \eta_2 = [\dot{z} \ \dot{\varphi} \ \dot{\psi}]^T \), and we consider \( \omega_c \in \mathbb{R}^{4 \times 4} \) being an identity matrix (we assume that we have a good knowledge of the characteristics of the vehicle thrusters). \( D_\ast \) is a diagonal matrix with the following form:

\[ D_\ast = \text{diag} \{ D_z^\ast, D^\ast, D_y^\ast, D^\ast \} \]

(17)

The same with \( M_\omega^\ast, g_\sigma^\ast \) and \( \omega_\sigma^\ast \). If we write equation (16) into the form of equation (11) with the state matrix \( A_m \), we can obtain:

\[ \begin{bmatrix} \dot{\eta}_1 \\ \dot{\eta}_2 \end{bmatrix} = A_m \begin{bmatrix} \eta_1 \\ \eta_2 \end{bmatrix} \begin{bmatrix} 0_{4 \times 4} \\ M_\omega^{-1} \end{bmatrix} \begin{bmatrix} \omega u_a + \theta(t) \|\eta(t)\|_\infty + \sigma(t) \\ \end{bmatrix} \]

\[ y = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} \eta_1 \\ \eta_2 \\ \varphi \\ \theta \\ \psi \end{bmatrix} \]

(18)

In this equation, \( A_m \in \mathbb{R}^{8 \times 8} \) is a Hurwitz matrix obtained with \( k_\sigma, B_m = \frac{0_{4 \times 4}}{M_\omega^{-1}} \in \mathbb{R}^{8 \times 4}, \theta \in \mathbb{R}^4 \) includes the uncertainties damping coefficients, with \( \theta = [\Delta(-D_z^\ast), \Delta(-D_y^\ast), \Delta(-D_y^\ast), \Delta(-D_y^\ast)]^T \) (\( \Delta \) refers to the uncertainty), \( \sigma \in \mathbb{R}^{4} \) includes the weight and buoyancy as well as the external disturbances, with \( \sigma = [-g_\omega^\ast + \omega_\omega^\ast - g_\phi^\ast + \omega_\phi^\ast, -g_\theta^\ast + \omega_\theta^\ast, -g_\psi^\ast + \omega_\psi^\ast]^T \).

\[ \|\eta(t)\|_\infty \] is the infinity norm of the state vector \( \eta \) at instant \( t \).

The control input \( u = u_m + u_a \) is computed in the earth fixed frame. The system outputs are \( Z, \varphi, \theta \) and \( \psi \).

4. OBTAINED REAL-TIME EXPERIMENTAL RESULTS

In this section, the obtained experimental results are presented and discussed. The tests have been performed in a 5 m3 pool without any input from the human user during the motions (i.e. autonomous mode). A large part of the umbilical is deployed in order to avoid any drag effect on the ROV. The reference tracking trajectory of each degree of freedom is described as follows: for \( Z \), from \( t = 0 \) s to \( t = 15 \) s, the depth decreases with 15 cm, at \( t = 30 \) s, the depth continues to fall 20 cm and remains at this depth until \( t = 210 \) s, then the depth decreases 20 cm. For \( \varphi, t = 70 \) s, the roll desired values rises by 30\(^\circ\) and remains at this value until \( t = 150 \) s, the desired roll returns to the initial value. For \( \theta \), at \( t = 50 \) s, the pitch order steps up 15\(^\circ\) and stays in this value until \( t = 130 \) s, and then, it returns to its initial value. For \( \psi \), at \( t = 100 \) s, the desired yaw increases by 30\(^\circ\) and stays in this value until \( t = 170 \) s, the desired yaw returns to its initial value. The autonomously non-controlled DoFs (i.e. surge and sway) can be controlled in open loop by the human during shared control, where an interaction (due to the coupling in dynamics) is noticed. The values of the tuned parameters (through numerical simulations first, then finely adjusted during experiments) are summarized in Table 1.

<table>
<thead>
<tr>
<th>Degree of freedom</th>
<th>Poles</th>
<th>( \Gamma )</th>
<th>( k )</th>
<th>( D(s) )</th>
<th>Initial values for ( \hat{\theta}(0) ) and ( \hat{\sigma}(0) )</th>
</tr>
</thead>
<tbody>
<tr>
<td>( Z )</td>
<td>((-7, -3))</td>
<td>10000</td>
<td>2</td>
<td>1/2</td>
<td>0</td>
</tr>
<tr>
<td>( \varphi )</td>
<td>((-7, -4))</td>
<td>45</td>
<td>1</td>
<td>1/2</td>
<td>0</td>
</tr>
<tr>
<td>( \theta )</td>
<td>((-7, -3))</td>
<td>80</td>
<td>2</td>
<td>1/2</td>
<td>0</td>
</tr>
<tr>
<td>( \psi )</td>
<td>((-7, -4))</td>
<td>30</td>
<td>1/6</td>
<td>1/2</td>
<td>0</td>
</tr>
</tbody>
</table>

The results are presented in Fig. 5. We can observe in this scenario, that when four degrees of freedom are controlled, there are some oscillations that are more significant for the pitch and yaw angles. This can be due to the effect of the umbilical that introduces external torques due to its weight and the torsion brought by the high roll desired angle. We also observe that these oscillations are more significant when there is a change in the desired trajectory of another DoF and this is logically due to the isotropic design of MEROS and the coupling effect. In general, when the four DoFs are controlled simultaneously we expect more hydrodynamic effects (even if the design was optimized to limit them) and this may have an additional disturbing effect. In terms of control inputs, there are no saturation effects. We also suppose that the numerical derivative used in the estimation of the velocities can be replaced by an observer in order to avoid the possible amplification of the measurement noise.
Fig. 4. Detailed block-diagram of the $\mathcal{L}_1$ adaptive controller architecture Hovakimyan and Cao (2010).

Fig. 5. Obtained real-time experimental results: Simultaneous control of depth, roll, pitch and yaw. (Left) evolution versus time of the controlled degrees of freedom w.r.t their respective reference trajectories, (Middle) evolution of their corresponding control inputs, (right) evolution versus time of the estimated parameters.
5. CONCLUSION AND FUTURE WORK

This paper deals with the control of an omnidirectional underwater vehicle. The proposed control solution, based on $\mathcal{L}_1$ adaptive control, has been experimentally validated for 4 DOFs (attitude and depth). Another interesting result is the ability of such a robust control to manage the strong couplings due to the isotropic design of the robot. These results have been obtained despite the relatively simplified model and this proves the effectiveness and robustness of the proposed $\mathcal{L}_1$ adaptive control. In the future, we would like to consider some enhancements through the use of the quaternion representation and take full advantage of the omnidirectional possibilities during inspection tasks. Furthermore, we observed that the umbilical of the robot is too stiff and may have a destabilizing effect on the vehicle (oscillations). Consequently, a new design of the umbilical can be planned. Besides, further tests and experiments will be performed in open water, with real operating conditions like dam or harbor inspection in order to confront the ROV to more realistic challenging applications.

REFERENCES


